

## Chapter 3: Observations: Ocean

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14		

## 1 **Executive Summary**

2  
3 It is virtually certain that the oceans have warmed over the last four decades. Instrumental biases in historical  
4 upper ocean temperature measurements have been identified and largely removed, resulting in a dramatic  
5 reduction in the interdecadal variability of global annual average time series of temperature and upper ocean  
6 heat content, thus providing stronger evidence of ocean warming than in AR4. Globally averaged ocean  
7 temperature anomalies as a function of depth and time reveal warming to at least 1500 m depth over the  
8 relatively well-sampled last 43 years. The strongest warming is found near the sea surface ( $>0.1^{\circ}\text{C}$  per  
9 decade in the upper 75 m), decreasing to about  $0.017^{\circ}\text{C}$  per decade at 700 m. The surface intensification of  
10 the warming signal has increased the thermal stratification of the upper ocean by about 4% (between 0 and  
11 200 m depth) over the 43-year record.

12  
13 Ocean warming accounts for more than 93% of the increase in heat energy stored by the Earth system over  
14 the last 50 years. Three different estimates reveal an increase in globally-averaged upper ocean heat content  
15 from the 1950s to the present. Although the rates of energy gain differ depending on the strategy used to map  
16 changes in temperature in data-poor regions (from 77 to 170 TW in the relatively well-sampled period 1970–  
17 2003), the three estimates are all positive and there is high agreement and robust evidence that upper ocean  
18 heat content has increased.

19  
20 Analyses of ocean salinity changes over the last fifty years reveal large, robust and spatially coherent trends  
21 in the upper 2000 m. Surface salinity has increased in evaporation-dominated subtropical gyres and  
22 freshened in precipitation-dominated regions, and basin-to-basin contrasts have increased, consistent with an  
23 enhanced hydrological cycle. Significant trends have been observed in subsurface salinity, reflecting both  
24 changes in freshwater flux at the sea surface and poleward migration of isopycnal outcrops caused by ocean  
25 warming.

26  
27 The temperature and salinity of major water masses have changed in recent decades, in line with changes in  
28 surface waters in the formation regions. Surface waters have warmed in each basin and the thermocline  
29 waters renewed by surface waters sinking in the tropics and subtropics have generally become warmer,  
30 saltier and lighter. Intermediate waters formed at higher latitude have become fresher. Widespread warming  
31 has been observed in abyssal waters supplied by Antarctic Bottom Water, which has also freshened in the  
32 Indian and Pacific. The deep water masses of the North Atlantic vary strongly on interannual to multidecadal  
33 time-scales and no significant trend has been detected.

34  
35 Direct observations of ocean circulation are generally of short duration, of limited spatial extent and  
36 dominated by energetic variability on time-scales from years to decades. As a consequence, there is no clear  
37 evidence of trends in ocean circulation. Subsequent to the AR4 report, progress has been made developing a  
38 coordinated observing system to measure the Atlantic meridional overturning circulation (e.g., the  
39 RAPID/MOCHA array at  $26^{\circ}\text{N}$ ). The direct time series are either too short to estimate trends or measure  
40 only one component of the flow. Several indirect methods are commonly used to quantify changes in ocean  
41 circulation. A wide variety of these estimates in the North Atlantic agree that AMOC transport varies by 2–3  
42 Sv on interannual to interdecadal time scales. There is low agreement and limited evidence of a long-term  
43 trend in the AMOC volume transport in the last 50 years despite the changes in T/S characteristics and  
44 formation rates of the key deep water masses of the AMOC.

45  
46 Variability observed in ocean currents is largely consistent with changes in the wind-driven circulation.  
47 Changes in wind forcing, in turn, are dominated by the major climate modes of climate variability, including  
48 the North Atlantic Oscillation, ENSO and the Pacific Decadal Oscillation.

49  
50 An increase in global mean net heat flux into the ocean of  $<1\text{ W m}^{-2}$  is sufficient to account for the observed  
51 ocean heat content changes. This signal is too small to detect in surface flux data sets, whose uncertainties  
52 are typically an order of magnitude larger than this. Similarly, it is not yet possible to establish whether there  
53 is a significant trend in the freshwater flux over the past 50 years from surface flux estimates. Wind stress  
54 has increased over the past 30 years in the Southern Ocean, likely as a result of ozone loss at high southern  
55 latitudes, while there is no evidence for a trend in global mean wind stress.

1 Recent estimates of global mean sea level rates have not changed significantly since AR4; the rate of 20th  
2 century mean sea level rise is  $1.7 \pm 0.5 \text{ mm yr}^{-1}$ . Global mean sea level rates since 1993 continue to be  
3 significantly higher than the rates before 1990. The 17-year satellite altimeter record estimate is  $3.3 \pm 0.4$   
4  $\text{mm yr}^{-1}$ . Tide gauge measurements give a statistically consistent result ( $3.2 \pm 0.5 \text{ mm yr}^{-1}$ ) over the same  
5 period, so there is high confidence that this change in observed sea level rate is real and not an artefact of the  
6 different sampling or instruments. The overall pattern of sea level change from 1993 to 2010 is similar to the  
7 pattern from 1993 to 2003 discussed in AR4 and is still driven mainly by redistribution of heat associated  
8 with changes in the circulation. The warming of the upper ocean from 1961 to 2003 caused a mean  
9 thermosteric rate of  $0.5 \pm 0.1 \text{ mm yr}^{-1}$  (1 standard error), which is 40% higher than previous assessments that  
10 were affected by instrumental biases mentioned above. The warming trend of the deep southern and global  
11 abyssal ocean centred on 1992–2005 has contributed  $0.05 \pm 0.02 \text{ mm yr}^{-1}$  (95% confidence) to sea level rise.  
12 The mass component of mean sea level rates since 2003 was estimated to range from 1 to  $2 \text{ mm yr}^{-1}$ , with the  
13 most recent estimate being  $1.3 \pm 0.6 \text{ mm yr}^{-1}$  (90% confidence level). The uncertainty is dominated by  
14 uncertainty in the global isostatic adjustment correction required for satellite gravity measurements.  
15 Increases in mean sea level are likely responsible for the observed increase in extreme sea level events and  
16 storm surges.

17  
18 It is likely that surface wave height has increased over much of the Northern Hemisphere oceans since 1900  
19 with an acceleration from 1950s onwards. In the Southern Oceans south of  $45^\circ\text{S}$  this tendency holds over the  
20 last two decades. It is also very likely that extreme waves have grown over the last 60 years.

21  
22 The biogeochemical state of the ocean has changed. Three independent calculations of the inventory of  
23 anthropogenic carbon dioxide ( $C_{\text{ant}}$ ) agree within the uncertainties of each approach ( $\pm 25 \text{ PgC}$ ) and provide  
24 high confidence that the ocean inventory of  $C_{\text{ant}}$  has increased, from  $114 \pm 22 \text{ PgC}$  in 1994 to  $140 \pm 25 \text{ PgC}$   
25 in 2008. The marginal seas contribute an additional 6% of the global inventory. Regional observations of  $C_{\text{ant}}$   
26 inventory changes are in broad agreement with the expected change resulting from the increase in  
27 atmospheric  $\text{CO}_2$  concentrations and change in atmospheric  $\text{O}_2/\text{N}_2$  ratios.

28  
29 The uptake of  $\text{CO}_2$  by the ocean has resulted in a gradual acidification of seawater. Long time series from  
30 several ocean sites show declines in pH in the mixed layer between  $-0.0005$  and  $-0.0018 \text{ yr}^{-1}$ , consistent with  
31 results from repeat pH measurements along hydrographic transects. It is virtually certain that the pH decline  
32 in the surface ocean is solely attributable to the uptake of anthropogenic  $\text{CO}_2$ . In the ocean interior, pH can  
33 also be modified by natural physical and biological processes over decadal time scales.

34  
35 Dissolved oxygen in the oceanic thermocline decreased globally in the last 20 to 50 years at a rate of 3–5  
36  $\mu\text{mol kg}^{-1}$  per decade with strong regional variations. This long-term deoxygenation is consistent with a  
37 reduction in ventilation of the thermocline caused by warming-induced increases in stratification and with  
38 the fact that warmer water can hold less oxygen.

39  
40 The observed changes in global ocean heat content, salinity, water masses, sea level and biogeochemistry are  
41 consistent with changes in the surface ocean (warming, changes in salinity, and uptake of  $C_{\text{ant}}$ ) and their  
42 transfer into the interior ocean by known physical, chemical and biological processes. The consistency  
43 between the patterns of change revealed in unrelated parameters, using a variety of independent approaches,  
44 gives high confidence that the ocean state has changed in the last fifty years.

### 3.1 Introduction

The oceans influence climate by storing and transporting vast quantities of heat, freshwater, and carbon. The ocean has a large thermal inertia, both because of the large heat capacity of sea water relative to air and because ocean circulation connects the surface and interior ocean. More than three quarters of the flows of freshwater associated with the global water cycle take place over the oceans, through evaporation and precipitation. The ocean contains roughly 50–60 times more carbon than the atmosphere and is at present absorbing about 25% of human emissions, acting to slow the rate of climate change. It further slows the rate of climate change by taking up large amounts of heat. The ocean is also capable of relatively rapid change, with the potential for climate feedbacks. The evolution of climate on time-scales from weeks to millennia is therefore closely linked to the ocean.

The large inertia of the oceans means that they naturally integrate over short-term variability and often provide a clearer signal of longer-term change. Observations of ocean change therefore provide a means to track the evolution of climate change. Such observations also provide a rigorous and relevant test for climate models. For example, the climate sensitivity of a climate model is a strong function of ocean heat storage.

Documenting and understanding change in the ocean is a challenge because of the paucity of long-term measurements of the global ocean. However, significant progress has been made since AR4. The Argo array of profiling floats is now providing year-round measurements of temperature and salinity in the upper 2000 m for the first time. The satellite altimetry record is now approaching twenty years in length. Longer continuous time series of important components of the meridional overturning circulation begin to emerge, and one observational array to measure the Atlantic overturning circulation is in place since 2004. While these recent data sets do not solve the problem of a lack of historical data, by documenting the seasonal and interannual variability they help isolate longer-term trends in the incomplete observational record.

Significant progress has also been made in removing biases and errors in the historical measurements. The spatial and temporal coverage of biogeochemical measurements in the ocean has expanded. As a result of these advances, there is now stronger evidence for change in the ocean and our understanding of the causes of ocean change is improved.

This chapter summarizes the observational evidence of change in the ocean, with an emphasis on basin- and global-scale changes relevant to climate.

### 3.2 Changes in Ocean Temperature and Heat Content

[PLACEHOLDER FOR FIRST ORDER DRAFT]

#### 3.2.1 Background: Instruments and Sampling

The oceans have absorbed much of the build-up of energy in Earth's climate system over recent decades. Although temperature is the best measured subsurface ocean parameter, these measurements have been made by a variety of instruments with different accuracies, sampling depths, and precision. Both the mix of instruments and the overall sampling patterns have evolved in time and space (Boyer et al., 2009), complicating efforts to determine and interpret long-term change. Since AR4 the significant impact of measurement biases in some of these instruments (the XBT and MBT) on estimates of ocean temperature changes and upper ocean heat content anomalies (hereafter UOHCA) has been recognized (Gouretski and Koltermann, 2007). Careful comparison of measurements from the less-reliable instruments with those from the more reliable ones has allowed some of the biases to be identified and mitigated (Gouretski and Reseghetti, 2010; Ishii and Kimoto, 2009; Levitus et al., 2009; Wijffels et al., 2008). One major consequence of the data improvement has been the reduction of an artificial decadal variation in upper ocean heat storage that was apparent in data used for AR4.

Spatial and temporal variability in ocean temperature is large and complex to diagnose because of high temporal variability in air-sea heat and momentum fluxes (see 3.4). Upper ocean temperature (hence heat content anomalies) varies significantly over multiple time-scales ranging from seasonal (e.g., Roemmich and Gilson, 2009) to decadal (e.g., Carson and Harrison, 2010), and probably longer, given the close relation

1 between ocean warming and sea level rise together with the evidence of multi-decadal global sea level rise  
2 (see 3.7). The large amplitude of variations on shorter time and spatial scales might make estimating globally  
3 averaged temperature changes difficult in light of sparse historical sampling patterns. However, at least one  
4 error analysis that subsamples the well-resolved satellite record of SSH (and exploits its relation to upper  
5 ocean heat content anomalies- UOHCA) indicates that the historical data set begins to be reasonably well  
6 suited for this purpose starting around 1967 (Lyman and Johnson, 2008). Error estimates in another UOHCA  
7 study (Domingues et al., 2008), with uncertainties that shrink as sampling improves around 1970, support  
8 this conclusion, so we focus here on changes since 1967.  
9

### 10 **3.2.2 Upper Ocean Temperature Changes**

11  
12 Recent estimates of upper ocean temperature change (Gouretski and Reseghetti, 2010; Ishii and Kimoto  
13 2009; Levitus et al., 2009; Lyman et al., 2010) differ from one another in their corrections for measurement  
14 biases noted above, but also in their treatment of unsampled regions. Those based on optimal interpolation  
15 (e.g., Ishii and Kimoto, 2009) assume no temperature anomaly in unsampled regions, while other studies  
16 (e.g., Domingues et al., 2008) use techniques such as function fitting to interpolate anomalies from nearest  
17 sampled regions into unsampled ones, and still others assume that the averages of sampled regions are  
18 representative of the global mean in any given year (Lyman and Johnson, 2008). These differences in  
19 approach can lead to significant divergence in areal averages, but only in poorly sampled regions (e.g., the  
20 extra-tropical Southern Hemisphere prior to Argo). For the better sampled regions and times, different  
21 analyses of temperature changes are more convergent.  
22

23 Zonally averaged upper ocean temperature changes between the decades 1967–1976 (the first decade with  
24 substantial global upper ocean temperature sampling) and a more recent decade, 2000–2009, (Figure 3.1a)  
25 show warming at nearly all latitudes and depths, with the exception of three small bands of cooling. Maxima  
26 in warming at 30–60°S (Gille, 2008) and 30–65°N, extending to 700 m, are consistent with poleward  
27 displacement of the mean temperature field (Levitus et al., 2009, Figure 3.1a). Other zonally-averaged  
28 temperature changes seen in Figure 3.1a, for example cooling between 20°S and the equator, are also  
29 consistent with poleward displacement of the mean field. Globally averaged ocean temperature anomalies as  
30 a function of depth and time (Figure 3.1b) reveal warming at all depths over the relatively well-sampled 43-  
31 year time-period considered. Strongest warming is found closest to the sea surface, and the near-surface  
32 record is consistent with independently measured sea surface temperature (Chapter 2). The warming  
33 observed in the upper Southern Ocean (Gille, 2008) is thought to be at least partly due to southward shifts of  
34 the ACC that are in turn largely driven by southward migration and intensification of the westerly winds  
35 (Boning et al., 2008; Gille, 2008; Sokolov and Rintoul, 2009).  
36

37 The global average warming over this period exceeds 0.1°C per decade in the upper 75 m, decreasing to  
38 0.017°C per decade by 700 m (Figure 3.1b). As noted in AR4, warming over multi-decadal time-scales  
39 continues to at least 1500 m (Levitus et al., 2005), with the magnitudes decreasing with depth. Recently  
40 observed near-bottom warming is discussed in 3.2.4.  
41

42 The surface intensification of the warming signal means that the thermal stratification of the upper ocean has  
43 increased. A time-series of globally averaged temperature difference from 0 to 200 m (Figure 3.1c) shows  
44 thermal stratification has increased by about 4% over the 43-year record. The increase in thermal  
45 stratification is widespread in all the oceans, except the Southern Ocean south of about 40°S, based on the  
46 Levitus et al. (2009) temperature anomaly fields. The increase in thermal stratification would tend to inhibit  
47 the vertical exchange of properties such as heat and nutrients between the surface ocean and the ocean  
48 interior, but the magnitude of this effect has not yet been quantified.  
49

#### 50 **[INSERT FIGURE 3.1 HERE]**

51 **Figure 3.1: a)** Zonally-averaged temperature difference (latitude versus depth, colors in °C per decade)  
52 between the decades 1967–1976 and 2000–2009, with zonally averaged mean temperature over-plotted  
53 (black contours in °C). **b)** Globally-averaged temperature anomaly (time versus depth, colors in °C). **c)**  
54 Globally-averaged temperature difference between the ocean surface and 200-m depth (black: annual values,  
55 red: 5-year running mean). All plots are constructed from the optimal interpolation analysis of Levitus et al.  
56 (2009).  
57

1 It is virtually certain that the upper ocean has warmed since circa 1970, with the warming strongest near the  
2 sea surface. This result is supported by three independent and consistent methods of observation including (i)  
3 the subsurface measurements of  $T(z)$  described here, (ii) the sea surface temperature data from satellites and  
4 in situ measurements from surface drifters and ships, and (iii) the record of sea level rise, which is known to  
5 include a substantial component due to thermosteric expansion (e.g., Cazenave et al., 2008). The greatest  
6 remaining uncertainty in the upper ocean temperature evolution is in the magnitude and pattern of warming  
7 at high southern latitudes. Strongest warming is found closest to the sea surface ( $>0.1^{\circ}\text{C}$  per decade in the  
8 upper 75 m), decreasing to about  $0.017^{\circ}\text{C}$  per decade by 700 m. The surface intensification of the warming  
9 signal increases the thermal stratification of the upper ocean by about 4% (between 0 and 200 m depth) over  
10 the 43-year record.

### 11 3.2.3 Upper Ocean Heat Content Variability

12 Global upper ocean heat content has been estimated from ocean temperature measurements starting in the  
13 1950s (e.g., Domingues et al., 2008; Ishii and Kimoto, 2009; Levitus et al., 2009). Data used in AR4  
14 included substantial XBT and MBT instrument biases that introduced a spurious warming in the 1970s and  
15 cooling in the early 1980s. More recent analyses based on corrected data show more monotonic, and larger  
16 increases in upper ocean heat storage since 1970 (Figure 3.2). Ocean state estimates that assimilated partially  
17 corrected data also showed this artificial decadal variability (Carton and Santorelli, 2008), while more recent  
18 estimates assimilating better corrected data sets results in reduced decadal variability (Giese et al., 2011).  
19 With increasing convergence on instrument bias correction since AR4, the next largest sources of error are  
20 the different assumptions regarding temperature anomalies for sparsely sampled regions (Lyman et al.,  
21 2010). The differences among the estimates that use three different methods of estimating temperature  
22 anomalies in sparsely sampled regions give an indication of the mapping uncertainty (Figure 3.2).

23 Each of the three estimates in Figure 3.2 shows that upper ocean heat content has increased from the 1950s  
24 to the present. Fitting linear trends to UOHCA estimates from overlapping and relatively well-sampled  
25 period from 1970–2003 yields a power of 77 TW ( $10^{12}$  W) for an objective analysis (Ishii and Kimoto,  
26 2009), 102 TW for an optimal interpolation using longer length-scales (Levitus et al., 2009), and 177 TW for  
27 a mapping using function fitting (Domingues et al., 2008). While the rates of energy gain differ, they are all  
28 positive, so just as the upper ocean warming is unequivocal (see 3.2.2) this energy gain is unequivocal.

#### 29 [INSERT FIGURE 3.2 HERE]

30 **Figure 3.2:** Observation-based estimates of annual global mean ocean heat content anomaly in  $\text{ZJ}$  ( $10^{21}$  J)  
31 from 0 – 700 m from Domingues et al. (2008) (orange squares with one standard deviation), Ishii and  
32 Kimoto (2009) (blue crosses with one standard deviation), and Levitus et al. (2009) (green circles with one  
33 standard error) with linear trends fit to the 1970–2003 values for each estimate. The three curves are plotted  
34 relative to their means over that time period.

35 In the Arctic Ocean, with sea ice melt in recent years, the near surface layer does show some sign of  
36 warming from changes in albedo from 1993 to 2007 (Jackson et al., 2010). A regional upper ocean heat  
37 content inventory is not available. However, an albedo-based estimate of the additional surface heat flux into  
38 the Arctic Ocean owing to changes in ice cover between 1979 and 2005 (Perovich et al., 2007) suggests a 3.5  
39 TW rate of heat gain, smaller early on, and larger starting around 1998. However, some of that heat is likely  
40 used in melting ice, and not warming the ocean.

41 A potentially important impact of warming of the ocean is the effect on floating glacial ice and ice sheet  
42 dynamics. Enhanced submarine melting at the glacier terminus in a Greenland fjord by ambient warming of  
43 water was reported by Straneo et al. (2010), resulting in an acceleration of the flow of the glacier (Holland et  
44 al., 2008). There is evidence from hydrographic data, that the thinning and accelerated discharge of the West  
45 Antarctic ice sheet could also be attributed to basal melting by intrusions of warm water (Wahlin et al.,  
46 2010). The recent rapid thinning of Pine Island Glacier in West Antarctica revealed in satellite data has been  
47 attributed to increased basal melt due to warmer ocean temperatures (Rignot et al., 2008) (see Chapter 4). In  
48 the Arctic Ocean, pulses of relatively warm water of Atlantic origin entering the Arctic below the surface can  
49 be traced around the Eurasian Basin from 2003–2005 (Dmitrenko et al., 2008) intensifying further through  
50 2007 (Polyakov et al., 2010). The shoaling of the warming Atlantic water by 75–90 m was accompanied by a  
51 weakening of the stratification of the upper ocean in this basin. Using models, Polyakov et al. (2010) argued

1 that the observed changes lead to an increase in upward heat flux of  $0.5 \text{ W m}^{-2}$ , sufficient to thin sea ice by  
2 about 30cm in 50 years. This amount of thinning is comparable to the 29 cm of ice thickness loss due to local  
3 atmospheric thermodynamic forcing estimated from observations of fast-ice thickness decline.  
4

#### 5 **3.2.4 Deep Ocean Heat Content Variability**

6

7 The deep ocean is ventilated by sinking of Antarctic Bottom Water (AABW) around Antarctica (Orsi et al.,  
8 1999) and North Atlantic Deep Water (NADW) in the northern North Atlantic (LeBel et al., 2008). Most  
9 studies of changes in the deep ocean have focused on these two water masses. Sampling of the ocean below  
10 2000 m is limited to a number of repeat oceanographic transects, many occupied only in the last few  
11 decades, and several time-series stations, some of which extend over decades. This sparse sampling in space  
12 and time makes assessment of deep ocean heat content variability less certain than that for the upper ocean.  
13

14 In the North Atlantic, strong decadal variability in NADW temperature and salinity, largely associated with  
15 the North Atlantic Oscillation (NAO) (e.g., Yashayaev 2007b), complicates efforts to determine long-term  
16 trends from the relatively short record. In addition, there is longer multi-decadal variability in the North  
17 Atlantic Ocean heat content, possibly related to the North Atlantic thermohaline overturning circulation (e.g.,  
18 Polyakov et al., 2010). In the Southern Ocean, much of the water column warmed between 1992 and 2005  
19 (Purkey and Johnson, 2010a).  
20

21 Widespread warming of the abyssal ocean has occurred in recent decades, with the strongest signals found in  
22 basins close to Antarctica (Figure 3.3; Purkey and Johnson, 2010a). The rate of warming attenuates towards  
23 the north, but is largest in basins that are effectively ventilated by AABW. The warming of the global  
24 abyssal ocean and the Southern Ocean below 1000 m depth combined amount to a heating rate of  $48 (\pm 32)$   
25 TW, centered on 1992–2005 (Purkey and Johnson, 2010a). Global scale warming on relatively short multi-  
26 decadal time-scales is possible because of teleconnections established by planetary waves originating within  
27 the Southern Ocean, reaching even such remote regions as the North Pacific (Masuda et al., 2010).  
28

29 The bottom-intensified signature of AABW warming (Johnson, 2008) is strongest below the 3000-m depth  
30 limit of the Levitus et al. (2005) analysis of ocean heat content. Outside the source region (Weddell Sea),  
31 AABW flowing north through the Vema Channel of the South Atlantic shows little change in bottom  
32 temperature from 1970–1990, but a clear warming trend from 1990–2006 (Zenk and Morozov, 2007),  
33 consistent with a time lag between warming at the Weddell Sea Source and the Vema Channel several 1000s  
34 of km downstream.  
35

#### 36 **[INSERT FIGURE 3.3 HERE]**

37 **Figure 3.3:** Mean local heat fluxes through 4000 m implied by abyssal warming below 4000 m (thin black  
38 outlines) centered on 1992–2005 (black numbers and colorbar) with 95% confidence intervals within each of  
39 the 24 sampled basins (thick grey lines). The local contribution to the heat flux through 1000 m south of the  
40 SAF (magenta line) implied by deep Southern Ocean warming from 1000–4000 m is also given (magenta  
41 number) with its 95% confidence interval after Purkey and Johnson (2010a).  
42  
43

44 [START BOX 3.1 HERE]

#### 46 **Box 3.1: Change in Global Energy Inventory**

47

48 Earth has been in radiative imbalance, with more energy entering than exiting, for some decades (Hansen et  
49 al., 2005). While a small amount of this excess energy warms the atmosphere and continents and melts ice,  
50 the bulk of it warms the oceans. The ocean dominates the change in energy because of its large mass and  
51 high heat capacity compared to the atmosphere. Also, as a fluid, the oceans can transfer heat rapidly by  
52 ocean currents and turbulence, in contrast to the continents and ice. In addition, the oceans also have a very  
53 low albedo and so effectively absorbs solar radiation.  
54

55 Energy change inventories for the atmosphere, cryosphere, lithosphere, and hydrosphere relative to a 2003  
56 baseline can be obtained or derived from the literature (see Appendix 3.A.1). We reference these estimates  
57 to 2003 because that is the last common year of the upper ocean heat uptake estimates, which account for



1 much of the heat gain. We follow the estimates for all components and their sum backwards in time to 1970  
2 (Box 3.1, Figure 1), because around that year ocean sampling begins to be adequate for global upper ocean  
3 temperature estimates (Domingues et al., 2008; Lyman and Johnson, 2008). Also, many component  
4 estimates become less certain and some cease to be available for earlier years, as noted in the figure caption  
5 and appendix.  
6

7 **[INSERT BOX 3.1, FIGURE 1 HERE]**

8 **Box 3.1, Figure 1:** [PLACEHOLDER FOR FIRST ORDER DRAFT: figure will be updated and the change  
9 plotted relative to 1970] Plot of energy change inventory in ZJ ( $10^{21}$  J) within distinct components of Earth's  
10 climate system relative to 2003, and from 1970–2003 unless otherwise indicated. The combined upper and  
11 deep ocean warming (dark purple) dominates; with ice melt (light purple) for glaciers and ice caps,  
12 Greenland, Antarctica from 1996 on, and Arctic sea ice from 1979 on; continental warming (orange) from  
13 1970 on; and atmospheric warming (red) from 1979 on all adding small relative fractions. The ocean  
14 uncertainty also dominates the total uncertainty (dotted lines about the sum of all four components).  
15

16 Ocean warming dominates the total energy change inventory, accounting for 93% on average. Warming of  
17 the continents and melting of ice (including sea ice, ice sheets, and glaciers) each account for another 3% of  
18 the total. Warming of the atmosphere makes up the remaining 1%. There is unequivocal evidence that Earth  
19 has gained substantial energy from 1970–2003 — an estimated 208 ( $\pm 51$ ) ZJ ( $10^{21}$  J) with a trend of 158 TW  
20 ( $10^{12}$  W) over that time period. Both ocean warming and ice melt appear to be absorbing energy faster during  
21 the later part of the record: more than half the increase in energy (111 ( $\pm 12$ ) ZJ, with a trend of 30 TW,  
22 between 1993 and 2003) occurs in the last decade of the 33-year record. The ocean component of the trend  
23 for 1993–2003 is 287 TW, equivalent to a global mean net air-sea heat flux of  $0.79 \text{ W m}^{-2}$ , and that for  
24 1970–2003 is 146 TW, implying a mean net air-sea heat flux of  $0.41 \text{ W m}^{-2}$ .  
25

26 [END BOX 3.1 HERE]  
27  
28

29 **3.3 Changes in the Salinity and Freshwater Budget**

30 [PLACEHOLDER FOR FIRST ORDER DRAFT]  
31  
32

33 **3.3.1 Introduction**

34  
35 Exchange of moisture between the ocean and the atmosphere through evaporation and precipitation accounts  
36 for more than three-quarters of the global water cycle (Schmitt, 2008). The salinity of the surface ocean  
37 largely reflects this exchange of freshwater, with high surface salinity generally found in regions where  
38 evaporation exceeds precipitation, and low salinity found in regions of excess precipitation. Ocean  
39 circulation also affects the regional distribution of surface salinity. The subduction of surface waters  
40 transfers the surface salinity signal into the ocean interior, so that subsurface salinity distributions are also  
41 linked to patterns of evaporation, precipitation and continental run-off at the sea surface. At high latitudes,  
42 melting and freezing of ice (both sea ice and glacial ice) can also influence salinity.  
43

44 The water cycle is expected to intensify in a warmer climate, because warm air can hold more moisture. The  
45 dominant effect is due to the Clausius – Clapeyron relation: water vapour pressure increases by about 7% per  
46 degree C (at the current global average temperature of about  $14^\circ\text{C}$ ), with modifications due to feedbacks and  
47 atmospheric dynamics (e.g., Held and Soden, 2006; Wentz et al., 2007). However, observations of  
48 precipitation and evaporation are sparse and uncertain, particularly over the ocean where most of the  
49 exchange of moisture occurs. The uncertainties are so large in these individual terms that it is not yet  
50 possible to detect robust trends in the water cycle from these observations. Ocean salinity, on the other hand,  
51 naturally integrates the small difference between these two terms and can act as a sensitive and effective rain  
52 gauge. Diagnosis and understanding of ocean salinity trends is also important because salinity changes affect  
53 circulation and stratification, and therefore the ocean's capacity to store heat and carbon as well as biological  
54 productivity.  
55

1 In AR4, surface and subsurface salinity changes consistent with a warmer climate were highlighted, based on  
2 linear trends over 50 years in the historical global salinity data set (Boyer et al., 2005) as well as on more  
3 regional studies.

### 4 5 **3.3.2 Global to Basin-Scale Trends**

6  
7 [PLACEHOLDER FOR FIRST ORDER DRAFT]

#### 8 9 **3.3.2.1 Sea Surface Salinity**

10  
11 Robust and consistent trends in sea surface salinity have been found in studies published since AR4 (Boyer  
12 et al., 2007; Durack and Wijffels, 2010; Hosoda et al., 2009; Roemmich and Gilson, 2009), confirming the  
13 trends reported in AR4 based mainly on Boyer et al. (2005). The magnitude and spatial pattern of the trends  
14 are now estimated with greater certainty because of the longer time series and near-global coverage of the  
15 upper ocean by the Argo float array, some improvements in availability and quality control of historical data,  
16 and new analysis approaches.

17  
18 The spatial pattern of surface salinity change is similar to the distribution of surface salinity itself: salinity  
19 tends to increase in regions of high mean salinity, where evaporation exceeds precipitation, and tends to  
20 decrease in regions of low mean salinity, where precipitation dominates. For example, the surface salinity  
21 maxima formed in the evaporation-dominated subtropical gyres have increased in salinity. The surface  
22 salinity minima at subpolar latitudes and the intertropical convergence zones have freshened. Interbasin  
23 salinity differences are also enhanced: the relatively salty Atlantic has become more saline on average, while  
24 the relatively fresh Pacific has become fresher (Durack and Wijffels, 2010). Fifty-year salinity trends are  
25 statistically significant at the 99% level over 43.8% of the global ocean surface (Durack and Wijffels, 2010).

#### 26 27 **[INSERT FIGURE 3.4 HERE]**

28 **Figure 3.4:** **a)** The 1950–2000 climatological-mean surface salinity. Contours every 0.5 pss are plotted in  
29 black. **b)** The 50-year linear surface salinity trend [pss (50 year)<sup>-1</sup>]. Contours every 0.2 are plotted in white.  
30 Regions where the resolved linear trend is not significant at the 99% confidence level are stippled in grey. **c)**  
31 Ocean–atmosphere freshwater flux (m<sup>3</sup> yr<sup>-1</sup>) averaged over 1980–1993 (Josey et al., 1998). Contours are  
32 every 1 m<sup>3</sup> yr<sup>-1</sup> in black. (from Durack and Wijffels, 2010)

#### 33 34 **3.3.2.2 Upper Ocean Salinity**

35  
36 Changes in surface salinity are transferred into the ocean interior by subduction and flow along ventilation  
37 pathways. Consistent with observed changes in surface salinity, robust multi-decadal trends in subsurface  
38 salinity have been detected (Boning et al., 2008; Boyer et al., 2005; Durack and Wijffels, 2010; Helm et al.,  
39 2010; Wang et al., 2010). Global zonally-averaged 50-year salinity changes on pressure surfaces in the upper  
40 2000 m (Figure 3.9) show increases in salinity in the salinity maxima in the upper thermocline of the  
41 subtropical gyres, freshening of the low salinity intermediate waters sinking in the Southern Ocean and  
42 North Pacific (Subantarctic Mode Water, Antarctic Intermediate Water, and North Pacific Intermediate  
43 Water) (Durack and Wijffels, 2010; Helm et al., 2010), and freshening of the shallow freshwater pool near  
44 the equator.

45  
46 Changes in subsurface salinity at a given location and depth may result from two processes: water mass  
47 changes driven by changes in freshwater fluxes, or migration of density surfaces (or "heave", Bindoff and  
48 McDougall, 1994) along which waters subduct and ventilate the interior. Vertical or lateral heave of  
49 isopycnals can result from dynamical processes (e.g., wind-driven changes in ocean circulation) or  
50 thermodynamical processes (e.g., poleward migration of isopycnals as a result of surface warming).

51  
52 Analysis of property changes in the ocean interior on surfaces of constant pressure and surfaces of constant  
53 density allows the contribution of the two processes to be isolated. Both processes are found to contribute  
54 (Durack and Wijffels, 2010). Density layers that are ventilated in precipitation-dominated regions are  
55 observed to freshen, while those ventilated in evaporation-dominated regions have increased in salinity,  
56 consistent with an enhancement of the mean surface freshwater flux pattern (Helm et al., 2010). Warming of  
57 the upper ocean has caused a generally poleward migration of isopycnals. The observed pattern of change in

1 subsurface salinity is also consistent with subduction and ventilation along migrating isopycnals: salinity has  
2 increased on layers that have migrated to regions of higher mean salinity, and decreased along layers that  
3 have migrated into regions of lower mean salinity (Durack and Wijffels, 2010). A quantitative assessment of  
4 the relative contribution of these two processes to the total observed change in salinity has not yet been  
5 made.

### 6 7 **3.3.3 Regional Changes in Salinity**

8  
9 Regional changes in ocean salinity reinforce the conclusion that regions where precipitation dominates  
10 evaporation have generally become wetter, while regions of net evaporation have become drier.

#### 11 12 *3.3.3.1 Pacific and Indian Oceans*

13  
14 In the tropical Pacific, surface salinity has declined in the precipitation-dominated western equatorial regions  
15 and in the South Pacific Convergence Zone by 0.1 to 0.3 psu in 50 years, while surface salinity has increased  
16 in the evaporation-dominated zones in the southeastern and north-central tropical Pacific (Cravatte et al.,  
17 2009). The fresh, low density waters in the warm pool of the western equatorial Pacific have expanded in  
18 area as the surface salinity front has migrated eastward by 1500–2500 km in 50 years (Cravatte et al., 2009;  
19 Delcroix et al., 2007). Similarly, in the Indian Ocean, the net precipitation regions in the Bay of Bengal and  
20 the warm pool contiguous with the tropical Pacific warm pool have been freshening, while the saline  
21 Arabian Sea and south Indian ocean have been salinifying (Durack and Wijffels, 2010).

22  
23 In the North Pacific, the subtropical thermocline has freshened by 0.1 psu since the early 1990s, following  
24 surface freshening that began around 1984 (Ren and Riser, 2010); the freshening extends down through the  
25 intermediate water that is formed in the northwest Pacific (Nakano et al., 2007), continuing the freshening  
26 documented by Wong et al. (1999). Warming of the intermediate water is one reason for this signal, as the  
27 fresh water from the subpolar North Pacific now enters the subtropical thermocline at lower density.

#### 28 29 *3.3.3.2 North Atlantic*

30  
31 The net evaporative North Atlantic has become saltier as a whole over the past 50 years (Boyer et al., 2007;  
32 Durack and Wijffels, 2010). The maximum increase of 0.006 per decade occurred in the Gulf Stream region.  
33 The subpolar gyre freshened by up to 0.002 per decade (Wang et al., 2010). Decadal and multi-decadal  
34 variability in the subpolar gyre and Nordic Seas is vigorous and has been related to various climate modes  
35 such as the NAO, Atlantic multidecadal oscillation, and even ENSO (Polyakov et al., 2005; Yashayaev and  
36 Loder 2009), obscuring any long term trend. The 1970s–1990s freshening of the northern North Atlantic and  
37 Nordic Seas (Curry and Mauritzen, 2005; Curry et al., 2003; Dickson et al., 2002) reversed to salinification  
38 starting in the late 1990s (Boyer et al., 2007; Holliday et al., 2008). Reversals of similar amplitude and  
39 duration are apparent in subpolar salinity records in the early 20th century (Reverdin, 2010; Reverdin et al.,  
40 2002). Advection has played a role in moving higher salinity subtropical waters to the subpolar gyre (Bersch  
41 et al., 2007; Hatun et al., 2005; Lozier and Stewart, 2008). Uncertainties in freshwater exports from the  
42 Arctic (before the 1970s, in particular) make closing the freshwater budgets very challenging for the North  
43 Atlantic. The variability of the cross equatorial transport contribution to this budget is also highly uncertain.

44  
45 The salinity of the deep water masses of the subpolar North Atlantic showed interannual to decadal  
46 variability, most pronounced in the Labrador Sea, the formation area of the Labrador Sea Water, LSW  
47 (Yashayaev, 2007a; Yashayaev and Loder, 2009). The dominant cause for the LSW variability is the  
48 different intensity (in volume and depth) of LSW formation, and the anomalies are spread along the  
49 propagation pathways.

#### 50 51 *3.3.3.3 Arctic*

52  
53 Freshwater in the form of sea ice in the Arctic has declined significantly in recent decades (Kwok et al.,  
54 2009), but lack of historical observations makes it difficult to assess long-term trends in ocean salinity for the  
55 Arctic as a whole (Rawlins et al., 2010). Over the 20th century (1920–2003) the central Arctic Ocean  
56 became increasingly saltier with a rate of freshwater loss of 239–270 km<sup>3</sup> per decade (Polyakov et al., 2008).  
57 The fresh water content (FWC) anomalies generated on Arctic shelves (including anomalies resulting from

1 river discharge inputs) and those caused by net atmospheric precipitation were too small to trigger these  
2 variations, instead they tend to moderate the observed long-term FWC changes. Variability of the  
3 intermediate Atlantic Water did not have apparent impact on changes of the upper–Arctic Ocean water  
4 masses (Polyakov et al., 2008). Ice production and sustained draining of freshwater from the Arctic Ocean in  
5 response to winds are suggested as key contributors to the salinification of the upper Arctic Ocean over  
6 recent decades.

7  
8 Long-term (1920–2003) freshwater content (FWC) trends over the Siberian shelf show a general freshening  
9 tendency with a rate of 29–50 km<sup>3</sup> per decade. Upper ocean freshening has also been observed in the  
10 southern Canada basin (Proshutinsky et al., 2009; Yamamoto-Kawai et al., 2009), while the salinity of the  
11 upper ocean has increased in the European Arctic (McPhee et al., 2009) despite an increase in Siberian river  
12 discharge (Shiklomanov and Lammers, 2009). The contrasting changes in different regions of the Arctic  
13 have been attributed to the effects of Ekman transport and sea ice formation and melt.

#### 14 15 3.3.3.4 *Southern Ocean*

16  
17 Widespread freshening (trend of -0.01 per decade, significant at 95% confidence) of the upper 1000 m of the  
18 Southern Ocean was inferred by taking differences between modern data (mostly Argo) and a long-term  
19 climatology along mean streamlines (Boning et al., 2008). Both a southward shift of the Antarctic  
20 Circumpolar Current and water mass changes contribute to the observed trends (Meijers et al., 2011).

21  
22 The salinity of high-salinity shelf water in the Ross Sea has decreased by -0.03 per decade between 1958 and  
23 2008 (Jacobs and Giulivi, 2010). The freshening is attributed to increased inflow of glacial melt water to the  
24 Amundsen and Bellingshausen Seas (Rignot et al., 2008). Increased melt of floating glacial ice has, in turn,  
25 been linked to warmer ocean temperatures (Shepherd et al., 2004). Freshening of Antarctic Bottom Water  
26 between the 1970s to 2000s has been observed in the Indian and Pacific sectors (Aoki et al., 2005; Jacobs,  
27 2006; Johnson, 2008; Johnson et al., 2008a; Ozaki et al., 2009; Rintoul, 2007).

#### 28 29 3.3.4 *Evidence for Change of the Global Water Cycle from Salinity*

30  
31 The changes in salinity observed at the sea surface provide strong evidence to support the hypothesis that the  
32 water cycle is intensifying as the planet warms. The striking similarity between the salinity trends and both  
33 the mean salinity pattern and the distribution of evaporation — precipitation suggests the global hydrological  
34 cycle has been enhanced, as anticipated from thermodynamics and projected by climate models. Surface  
35 salinity differences have increased by about 2% per decade over the last 50 years, slightly faster than  
36 anticipated from the Clausius – Clapeyron relation (Durack and Wijffels, 2010). A similar conclusion was  
37 reached in AR4 (Bindoff et al., 2007), but recent studies, based on expanded data sets and more rigorous  
38 analyses, have substantially increased the level of confidence in the inferred change in the global water cycle  
39 (e.g., Durack and Wijffels, 2010; Helm et al., 2010; Hosoda et al., 2009; Roemmich and Gilson, 2009; Stott  
40 et al., 2008).

41  
42 Subsurface changes in salinity have also been interpreted as evidence for an increase in strength of the  
43 hydrological cycle (Helm et al., 2010). However, changes of salinity on density surfaces can also be caused  
44 by warming-driven migration of isopycnals through the mean salinity field (Durack and Wijffels, 2010), so  
45 changes in freshwater flux cannot be inferred directly from isopycnal salinity changes.

46  
47 In summary, robust changes in ocean salinity have been observed throughout the global ocean, both at the  
48 sea surface and in the ocean interior. These salinity changes provide compelling evidence that the amplitude  
49 of the global water cycle has increased as the Earth has warmed over the last 50 years.

#### 50 51 [INSERT FIGURE 3.5 HERE]

52 **Figure 3.5:** Estimated E-P anomalies (mm/yr) calculated from the linear salinity trend based on the  
53 difference between the 1960–1989 salinity climatology (WOD05) and Argo salinity (2003–2007), assumed  
54 to be representative of the upper 100 m of the ocean. The per cent change in E-P is relative to the mean  
55 NCEP flux. (Hosoda et al., 2009).

### 3.4 Changes in Ocean Surface Fluxes

[PLACEHOLDER FOR FIRST ORDER DRAFT]

#### 3.4.1 Introduction

Ocean circulation is driven by the exchange of heat, water and momentum (equivalently wind stress) at the sea surface. Changes in air-sea fluxes may result from variations in the driving surface meteorological state variables (air temperature and humidity, wind speed, cloud cover, precipitation, SST) and can impact both water mass formation rates and ocean circulation. Air-sea fluxes also influence temperature and humidity in the atmosphere and, therefore, the hydrological cycle and atmospheric circulation. Any anthropogenic climate change signal in surface fluxes is expected to be small compared to their long term mean values and natural variability, and associated uncertainties. AR4 concluded that, at the global scale, the accuracy of the observations is insufficient to permit a direct assessment of anthropogenic changes in surface fluxes. As described below, while substantial progress has been made since AR4, this remains the case in this assessment.

The net air-sea heat flux is the sum of four terms that comprise two turbulent (latent and sensible) and two radiative (shortwave and longwave) components; in the following we adopt a sign convention in which ocean heat gain from the atmosphere is positive. The latent and sensible heat fluxes are computed from the state variables using bulk parameterizations; they primarily depend on the products of wind speed and the vertical near-sea-surface gradients of humidity and temperature respectively. The air-sea freshwater flux is the difference of precipitation (P) and evaporation (E). It is linked to heat flux through the evaporation/latent heat flux duality. Thus, when considering potential trends in the global hydrological cycle, consistency between observed heat budget and evaporation changes is required in areas where evaporation is the dominant term in hydrological cycle changes. Ocean surface shortwave and longwave radiative fluxes can be inferred from satellite measurements using radiative transfer models, or computed using empirical formulae, involving astronomical parameters, atmospheric humidity, cloud cover and SST. The wind stress is given by the product of the wind speed squared and the drag coefficient. For detailed discussion of all terms see e.g., Gulev et al. (2010) and Josey (2011).

#### 3.4.2 Air-Sea Heat Flux

[PLACEHOLDER FOR FIRST ORDER DRAFT]

##### 3.4.2.1 Turbulent Heat Fluxes and Evaporation

Annual mean global values of the latent and sensible heat fluxes are approximately  $-90 \text{ W m}^{-2}$  and  $-10 \text{ W m}^{-2}$  respectively, with strong regional variations approaching  $-300 \text{ W m}^{-2}$  for the latent heat flux and significant seasonal cycles. Estimates of these terms have many potential sources of error (e.g., sampling issues, instrument biases, uncertainty in the flux computation algorithms) which are difficult to quantify and strongly spatially dependent (e.g., up to  $80\text{--}100 \text{ W m}^{-2}$  for sampling uncertainty) (Gulev et al., 2007). The overall uncertainty of each term is likely in the range 10–20 % for the annual mean at a given location i.e., up to  $50 \text{ W m}^{-2}$  for the latent heat flux; this error is likely to be reduced by spatial and temporal averaging. Spurious temporal trends may also arise, in particular as a result of variations in instrument type. In comparison, changes in individual heat flux components expected as a result of anthropogenic climate change are at the level of  $1\text{--}2 \text{ W m}^{-2}$  over the past 50 years (Pierce et al., 2006).

A significant advance in global air-sea flux dataset development since AR4 is the Objectively Analysed Air-Sea heat flux (OAFlux) product that covers 1958–2009 and for the first time synthesizes reanalysis and remotely sensed state variables (sea surface temperature, air temperature and humidity, wind speed) prior to flux calculation (Yu and Weller, 2007). By combining these data sources, OAFlux avoids the severe spatial sampling problems that limit datasets based on ship observations and offers significant potential for studies of temporal variability. However, the balance of data sources used for OAFlux changed significantly in the mid-1980s, with the advent of satellite data, and the consequences of this change need to be assessed. A wide range of other flux datasets have also become available as a result of higher resolution reanalyses, new

1 versions of ship based climatologies and refinements to satellite flux estimation techniques; these are fully  
2 reviewed in Gulev et al. (2010).

3  
4 Analysis of OAFlux reveals that variations of global mean evaporation are characterized by decadal and  
5 interdecadal variability (Li et al., 2011; Yu, 2007; and Figure 3.6 left panel). Given the error range associated  
6 with this time series, and the magnitude of the decadal variability, it is not yet possible to establish whether  
7 there is a trend in evaporation from observations. It should also be noted that remote sensing data only  
8 became available in the mid-1980s, which coincides with an upward phase of the decadal oscillation. Prior to  
9 this time, OAFlux is based entirely on reanalysis (NCEP and ERA40) fields and it is possible that changes in  
10 the data sources are in part responsible for the variability observed. During the upward phase between 1977  
11 and 1999, there is an increase of about  $11 \text{ cm yr}^{-1}$  in E, with a corresponding  $9 \text{ W m}^{-2}$  increase in latent heat  
12 loss (time series of global mean latent and sensible heat flux determined from OAFlux are shown in Figure  
13 3.6 center and right hand panels; the latent heat flux variations closely follow those in evaporation but do not  
14 scale exactly as there is an additional minor dependence on sea surface temperature through the latent heat of  
15 evaporation). The  $9 \text{ W m}^{-2}$  latent heat increase would induce a significant reduction in ocean temperature  
16 ( $0.8^\circ\text{C}$  if mixed over 100 m) which is inconsistent with the general increase in ocean heat content over this  
17 period (Section 3.2.3) and may indicate problems due to changes in data type.

#### 18 19 **[INSERT FIGURE 3.6 HERE]**

20 **Figure 3.6:** Time series of globally averaged annual mean ocean evaporation (E), latent and sensible heat  
21 flux from 1958 to 2010 determined from OAFlux (shaded bands show uncertainty estimates; updated from  
22 Yu (2007)).

23  
24 Regional studies report significant differences in turbulent flux trends among datasets. In the Southern  
25 Ocean, Liu et al. (2011) find both positive and negative trends in latent heat flux (up to  $3 \text{ W m}^{-2}$  per decade  
26 for the period 1989–2005) depending on dataset considered. In the Gulf Stream, there is some consistency  
27 between different analyses which report increases in latent heat flux (Gulev and Belyaev, 2011; Shaman et  
28 al., 2010; Yu, 2007). Gulev and Belyaev (2011) also note a change in the flux probability distribution  
29 towards higher occurrence of extreme turbulent fluxes. In the tropics, Liu and Curry (2006) find little  
30 consistency in trends of the latent heat flux in the tropical/subtropical band  $35^\circ\text{S}$ – $35^\circ\text{N}$ . Taken together, these  
31 results indicate that the quality of evaporation/latent heat flux datasets and time base of the satellite record  
32 are not yet sufficiently mature to reliably identify basin and global scale trends at the  $< 5 \text{ W m}^{-2}$  level  
33 expected for an anthropogenic signal.

#### 34 35 *3.4.2.2 Surface Fluxes of Shortwave and Longwave Radiation*

36  
37 Annual mean values of the shortwave and longwave flux components are up to  $250 \text{ W m}^{-2}$  and  $-70 \text{ W m}^{-2}$ ,  
38 respectively, with strong regional variations and seasonal cycles in the shortwave. The overall uncertainty of  
39 each term is again likely in the range of 10–20 % for the annual mean at a given location (Gulev et al., 2010).  
40 Global integrated estimates of the incoming solar radiation are currently lacking. Estimates based on data  
41 over both ocean and land show increases of the globally averaged solar radiation (global brightening) by  
42 about  $3 \text{ W m}^{-2}$  per decade from 1991–1999 (Romanou et al., 2006; Wild et al., 2005) and have been  
43 attributed predominantly to aerosol optical depth decreases and cloud changes (Cermak et al., 2010;  
44 Mishchenko and Geogdzhayev, 2007). The brief interlude of global brightening in the 1990s has been  
45 preceded and followed by periods of decreasing surface insolation (global dimming) by about  $2.5 \text{ W m}^{-2}$  per  
46 decade for 1983–1991 and  $5 \text{ W m}^{-2}$  per decade for 1999–2004 (Hinkelman et al., 2009). Patterns of regional  
47 variability may differ significantly from the global signal (Hinkelman et al., 2009). Estimates of radiative  
48 flux variability over the oceans prior to the advent of satellite observations in the 1980s are available from  
49 ship based observations and reanalyses but these are unlikely to be accurate enough to detect trends of  $< 5 \text{ W}$   
50  $\text{m}^{-2}$  per decade.

#### 51 52 *3.4.2.3 Net Heat Flux and Ocean Heat Storage Constraints*

53  
54 The most reliable source of information for changes in the global mean net heat flux comes from the  
55 constraints provided by analyses of changes in ocean heat storage. The increase in global ocean heat content  
56 over the past 40 years range from 77 TW to 177 TW (Section 3.2), corresponding to a mean heat flux of 0.2–  
57  $0.5 \text{ W m}^{-2}$ . This flux is small, and extremely challenging to detect from observations given the strength of

1 signals associated with natural variability, the uncertainties in the flux estimates, and the lack of satellite  
2 measurements prior to the 1980s. Closure of the global mean net heat flux budget to within  $20 \text{ W m}^{-2}$  has still  
3 not been reliably achieved (e.g., Trenberth, 2009). Since AR4, some studies have shown consistency in  
4 regional net heat flux variability at sub-basin scale since the 1980s; notably in the Tropical Indian Ocean (Yu  
5 et al., 2007) and North Pacific (Kawai et al., 2008). However, detection of the longer term, multi-decadal  
6 ocean warming signal remains beyond the ability of currently available observational surface flux datasets.  
7

### 8 **3.4.3 Ocean Surface Precipitation and Freshwater Flux**

9  
10 [PLACEHOLDER FOR FIRST ORDER DRAFT]

#### 11 **3.4.3.1 Ocean Surface Precipitation**

12  
13  
14 Precipitation estimates are available from remote sensing since 1979 (see Annex) and from atmospheric  
15 model reanalyses. Smith et al. (2010) have reconstructed global precipitation for the period 1900–2005  
16 (Figure 3.7). This reconstruction suggests superimposed multidecadal and decadal variability in global ocean  
17 precipitation, which is generally decreasing from 1900 to the mid-1950s by about  $0.02 \text{ mm/day}$  and  
18 subsequently increasing through to the 2000s by  $0.35 \text{ mm/day}$ .

19  
20 In the satellite era, analysis of GPCP data reveals slightly increasing global mean precipitation of  $0.02 \text{ mm}$   
21  $\text{day}^{-1}$  per decade from 1979–2005 with a stronger increase over the tropical ocean of  $0.06 \text{ mm day}^{-1}$  per  
22 decade (Gu et al., 2007). Andersson et al. (2010) present time series from several satellite based products  
23 which show divergent behaviour over the period 1999–2005 with HOAPS global mean precipitation  
24 increasing and TRMM decreasing. Thus, there is some disagreement between the satellite datasets over the  
25 sign of any trend in the past decade although the longer term GPCP estimates are consistent with Smith  
26 (2010). Reanalysis based estimates of precipitation over the ocean are widely regarded to be too uncertain in  
27 the tropics (Simmons et al., 2007) to be of use for establishing trends. However, they are in agreement with  
28 coastal rain gauge based estimates of multi-decadal variability in the North Atlantic mid-high latitudes  
29 (Josey and Marsh, 2005).

30  
31 **[INSERT FIGURE 3.7 HERE]**

32 **Figure 3.7:** Low-pass filtered annual global averages over ocean for each of the indicated rotated empirical  
33 orthogonal functions (REOFs) applied by Smith et al. (2010) for the reconstruction. The REOF(GPCP) is the  
34 GPCP data filtered using the reconstruction modes.  
35

#### 36 **3.4.4 Wind Stress**

37  
38 Wind stress fields are available from reanalyses, ship-based datasets and ship observations. Xue et al. (2010)  
39 analysed wind stress dynamics for the period 1979–2009 in different reanalyses (Figure 3.8). Over the global  
40 ocean NCEP-2 shows a positive trend in the wind stress of about  $0.001 \text{ N/m}^2$  per decade. However, this  
41 signal is not confirmed by NCEP1 and ERA-40 as well as the recent CSFR coupled reanalysis, which shows  
42 a slight negative trend in wind stress over this period. Thus, there is no observation based evidence for a  
43 trend in global mean wind stress. In the Southern Ocean, all reanalyses, however, show increasing wind  
44 stress over the last 30 years (Xue et al., 2010). This trend is the largest ( $0.014 \text{ N m}^{-2}$  per decade) in NCEP-2  
45 and is the smallest in CSFR. These results largely agree with Yang et al. (2007) who found a positive trend  
46 of Southern Ocean surface wind stress during two recent decades using 40-year ECMWF reanalysis data, in  
47 situ observations in a number of locations and SSM/I winds. They argued that this signal is closely linked  
48 with changes in the wind pattern known as the Southern Annular Mode driven by spring Antarctic ozone  
49 depletion.  
50

51 **[INSERT FIGURE 3.8 HERE]**

52 **Figure 3.8:** Time series of 1-year running mean of zonal wind stress over global ocean (top) and Southern  
53 Ocean (bottom) for CFSR (shading), R1 (red line), R2 (green line) and ERA40 (black line). Units are  $\text{N m}^{-2}$   
54 (Xue et al., 2010).  
55

56 Reconstructed time series of the wind stress over the Equatorial Pacific for the period 1875–1947 (Deng and  
57 Tang, 2009) show that wind stress declined from 1875 to nearly 1920 and then increased until 2005

1 consistent with Xue (2010). Changes in wind stress curl over the North Atlantic from 1950 to early 2000s  
2 from NCEP-1 and ERA-40 have leading modes that are highly correlated with NAO and East Atlantic  
3 circulation patterns with the first one (NAO-linked) demonstrating a slight positive trend over the whole  
4 period with the most evident upward change from the early 1960s to the late 1990s (Sugimoto and Hanawa,  
5 2010).

### 6 7 **3.4.5 Conclusions**

8  
9 The global mean net heat flux signal expected from observed ocean heat content changes is extremely small  
10 ( $0.2\text{--}0.5\text{ W m}^{-2}$ ) and beyond the detection ability of currently available observational datasets. An increase in  
11 global mean ocean net surface evaporation from the early 1980s to early 2000s has been followed by a  
12 decline over the past decade and it is not yet possible to establish whether the hydrological cycle has  
13 strengthened from air-sea flux datasets.

## 14 15 **3.5 Changes in Water Mass Properties and Ventilation**

16  
17 The ocean is ventilated by water masses that are formed in particular locations and subducted into the ocean  
18 interior. The formation and export of water masses to a large extent sets the ocean's capacity to store heat,  
19 freshwater, carbon and other properties. Changes in water mass properties are therefore relevant to an  
20 assessment of ocean climate change.

21  
22 Spatially coherent changes in the temperature, salinity, and density of major water masses have been  
23 observed over the last 50 years (Figure 3.9). Surface waters have warmed in each basin and thermocline  
24 waters, which are renewed by water sinking from the surface in the subtropics and tropics, have generally  
25 become warmer, saltier and lighter. The intermediate waters that originate at high latitudes, (Antarctic  
26 Intermediate Water (AAIW) and North Pacific Intermediate Water (NPIW)) have generally become fresher,  
27 and those originating in the subtropics (Mediterranean Outflow Water and Red Sea Water) have become  
28 saltier (see Figure 3.9b for location of water masses). The Antarctic Bottom Water (AABW) have been  
29 freshening and warming. The North Atlantic deep water masses (Labrador Sea Water and the two overflow  
30 water masses) are characterized by large interannual to decadal variability in temperature and salinity,  
31 making a trend difficult to detect. The observed changes are to first order what would be expected from a  
32 warming climate and an enhanced hydrological cycle in which hydrographic anomalies passively follow the  
33 general (steady-state) circulation. A similar conclusion was reached in AR4, but more recent studies based  
34 on improved data and more sophisticated analyses have provided clearer evidence of water mass changes.

35  
36 The large-scale changes in temperature and salinity are accompanied by density changes, which introduce  
37 deviations from the first-order, passive, oceanic response, through changes in subduction and circulation. For  
38 instance, a cooling signal is emerging at the base of the ventilated thermocline in the North Atlantic at  $24^{\circ}\text{N}$   
39 (Velez-Belchi et al., 2010). The cooling signal is much more pronounced in the Indian and Pacific Oceans  
40 (Figure 3.9g-i), and is primarily a result of vertical heave of isopycnals, i.e., vertical upwelling of colder  
41 waters from beneath (Durack and Wijffels, 2010), not a consequence of surface cooling.

42  
43 The evolution of the LSW, has been anything but monotonic over the last 50 years. Atmospheric modes of  
44 variability (NAO and related modes) have varied on decadal time-scales, resulting in temperature and  
45 salinity anomalies that have tended to compensate each other in density space. The difference between the  
46 warm and saline LSW of the 1960s–1970s and the cold and fresh LSW of the 1990s were well documented  
47 in AR4, and are a response to this decadal variability. Since 1997, only lighter modes of LSW ( $27.68 < \sigma_{\theta} <$   
48  $27.74\text{ kg m}^{-3}$  vs.  $27.74 < \sigma_{\theta} < 27.80\text{ kg m}^{-3}$ ) have been produced (Kieke et al., 2007; Rhein et al., 2011;  
49 Yashayaev, 2007b), and in reduced amounts: CFCs have been used to quantify a change in formation rate of  
50 LSW from  $7.7\text{ Sv}$  ( $10^6\text{ m}^3\text{ s}^{-1}$ ) in 1997–1999 to roughly  $0.5\text{ Sv}$  in 2003–2005 (Rhein et al., 2011). In the mid-  
51 1990s the subpolar gyre was flushed by warm, saline thermocline waters of subtropical origin (Holliday et  
52 al., 2008; Johnson and Gruber, 2007). Indications from altimeter data (Hakkinen et al., 2008) hint to a  
53 weakening of the subpolar gyre from 1994 to 2005, but with large interannual variability (Hakkinen et al.,  
54 2008). The temperature and salinity time series of the North Atlantic overflow water masses also show large  
55 interannual to decadal variability throughout the subpolar North Atlantic.



1 There is a stark contrast between the patterns of change observed in intermediate waters formed in the North  
2 Atlantic and those formed in the North Pacific and Southern Ocean. In the Southern Hemisphere, warming,  
3 in most places accompanied by freshening, leads to an AAIW that is markedly lighter and shallower (Figure  
4 3.9d-f; Schmidtko and Johnson, 2011). The warming and freshening extends well beneath 1000 m and is  
5 fairly monotonic from the 1960s to the 2000s (Boning et al., 2008; Garabato et al., 2009; Purkey and  
6 Johnson, 2010a). The North Pacific Intermediate Water has also steadily warmed, by roughly 0.5°C from  
7 1955 to 2004, accompanied by significant oxygen depletion (Nakanowatari et al., 2007).

8  
9 Increased evaporation over the Mediterranean Sea has resulted in significant changes in the hydrography of  
10 that sea (Mariotti et al., 2008), and Mediterranean Outflow Water in the North Atlantic has exhibited a (fairly  
11 monotonic) warming trend of 0.16°C per decade and an increase in salinity of 0.05 per decade over the past  
12 five decades (Fusco et al., 2008), as well as an increasing thickness.

13  
14 The AABW has warmed and freshened in recent decades, most noticeably near its source regions (Aoki et  
15 al., 2005; Johnson et al., 2008b; Purkey and Johnson, 2010b; Rintoul, 2007), but with warming detectable  
16 into the North Pacific and even the North Atlantic oceans. In the Indian Ocean, AABW in the Australian-  
17 Antarctic Basin and the Princess Elizabeth Trough has warmed and freshened between the 1990s and the  
18 2000s, (Johnson et al., 2008a; Rintoul, 2007). In the Pacific sector, closest to Antarctica, there are indications  
19 of abyssal freshening, consistent with long-term freshening in some of the Antarctic source regions for these  
20 waters (Jacobs, 2004; Jacobs and Giulivi, 2010). Warming of the abyssal waters derived from Antarctica has  
21 been observed throughout the Pacific, all the way to the Aleutian Islands (Fukasawa et al., 2004; Johnson et  
22 al., 2007; Kawano et al., 2006). In the Atlantic, repeat hydrography show that abyssal waters have warmed  
23 considerably over the last few decades in all the deep western basins of the South Atlantic (Johnson and  
24 Doney, 2006) and in the western basins of the North Atlantic as well (Johnson et al., 2008b). More frequent  
25 bottom temperature data in a few deep passages such as the Vema Channel (Zenk and Morozov, 2007) and  
26 the equatorial Atlantic (Andrié et al., 2003) also show monotonic warming since around 1990.

27  
28 The observed variability and change in properties of the main water masses are large, often monotonic over  
29 decades, and explainable, given knowledge of the ocean's general circulation and the anomalous external  
30 forcing imposed. Many of the water mass changes would be expected in a warming world, with influences of  
31 increasing sea surface temperature (Chapter 2, Section 3.2) and changes in air-sea freshwater fluxes driven  
32 by a strengthened hydrological cycle (Section 3.3). The variability of the Labrador Sea Water in the North  
33 Atlantic is, however, primarily related to the NAO, making it harder to identify any influence of long-term  
34 global warming there.

### 35 [INSERT FIGURE 3.9 HERE]

36 **Figure 3.9:** [PLACEHOLDER FOR FIRST ORDER DRAFT: FIGURE IN PREPARATION] Upper 2000 m  
37 zonal average distribution of changes in salinity (row 1) and neutral density (row 2) and potential  
38 temperature (row 3), for the Atlantic (column 1), Pacific (column 2) and Indian (column 3) Oceans over the  
39 past 50 years (1950–2000). Mean density is overlaid in black (contour interval 1.0 kg m<sup>-3</sup> thick contours, and  
40 26.5 to 27.75 in increments of 0.25 kg m<sup>-3</sup> thin contours), and density changes are contoured in white  
41 (contour interval 0.1 kg m<sup>-3</sup> from -0.3 to +0.3 kg m<sup>-3</sup>). Data provided from the analysis of Durack & Wijffels  
42 (2010). Main intermediate water masses are indicated in row 1.

## 43 44 45 **3.6 Evidence for Change in Ocean Circulation**

46 [PLACEHOLDER FOR FIRST ORDER DRAFT]

### 47 48 49 **3.6.1 Observing Ocean Circulation Variability**

50  
51 The present-day ocean observing system includes global observations of velocity made at the sea surface by  
52 the Global Drifter Program (Dohan et al., 2010), and at 1000 m depth by the Argo Program (Freeland et al.,  
53 2010). In addition, Argo observes the geostrophic shear between 2000 m and the sea surface. These two  
54 recently implemented observing systems, if sustained, will continue to document the large-spatial scale long-  
55 timescale variability of circulation in the upper ocean.

1 Historical measurements of ocean circulation are much sparser, so estimates of decadal and longer changes  
2 in ocean circulation are very limited. Since 1992, high-precision satellite altimetry has measured the time  
3 variations in sea surface height (SSH), whose horizontal gradients are proportional to the surface geostrophic  
4 velocity. In addition, from 1991–1997 a single global top-to-bottom hydrographic survey was carried out by  
5 the World Ocean Circulation Experiment (WOCE), measuring geostrophic shear as well as mid-depth  
6 velocity. A subset of WOCE transects is being repeated at 5–10 year intervals (Hood et al., 2010).

7  
8 Foci of ocean circulation studies in relation to climate include variability in the wind-driven gyres (Section  
9 3.6.2) and changes in the meridional overturning circulations (MOCs, Section 3.6.3) that are thought to be  
10 mainly driven by buoyancy loss and water-mass formation at middle and high latitude. The MOCs are  
11 responsible for much of the ocean’s capacity to carry excess heat from the tropics to middle latitudes, and  
12 also are important in the ocean’s sequestration of carbon. The connections between ocean basins (Section  
13 3.6.4) have also been subject to study both because of the significance of inter-basin exchanges in wind-  
14 driven and thermohaline variability, and also because these can be logistically advantageous regions for  
15 measurement (“chokepoints”). In the following sections, the best-studied and most significant aspects of  
16 circulation variability and change are assessed including wind-driven circulation in the Pacific, the Atlantic  
17 MOC, and selected interbasin exchanges.

18  
19 An assessment is now possible of the recent mean and the changes in global geostrophic circulation over the  
20 previous decade (Figure 3.10, and discussion in Section 3.6.2). In general, changes in the slope of SSH  
21 across ocean basins indicates change in the major gyres and the interior component of MOCs (western  
22 boundary-current components may also be important but are not resolved in these observations). Changes  
23 occurring in high gradient regions such as the Antarctic Circumpolar Current (ACC) indicate shifts in the  
24 location of those currents.

### 25 26 **[INSERT FIGURE 3.10 HERE]**

27 **Figure 3.10:** The mean SSH (cm, black contours) for the Argo era is the sum of the geostrophic pressure  
28 field at 1000 m based on Argo trajectory data (Katsumata and Yoshinari, 2010) plus the relative pressure  
29 field (0/1000 dbar steric height) based on Argo profile data from Roemmich and Gilson (2009). The SSH  
30 difference (cm, color shading) between the Argo era (2004–2009) and the first decade of altimetry (1993–  
31 2002) is based on the AVISO altimetry “reference” product (Duquet et al., 2000).

### 32 33 **3.6.2 Wind-Driven Circulation Variability in the Pacific Ocean**

34  
35 The upper Pacific Ocean is less influenced than the Atlantic by the thermohaline circulation (the North  
36 Pacific has no deep water formation), and variability in the horizontal gyre circulations of the Pacific is  
37 mostly wind-driven. Changes in circulation throughout the Pacific in the past two decades seen in satellite  
38 and in-situ measurements are consistent with changes in the wind stress forcing.

39  
40 The subarctic gyre in the North Pacific poleward of about 40°N consists of the Alaska Gyre to the east and  
41 the Western Subarctic Gyre (WSG) to the west. Over the past two decades, the cyclonic Alaska Gyre has  
42 strengthened while shrinking in size. The shrinking is due to strengthening and northward expansion of the  
43 North Pacific Current (NPC, the high gradient region centered about 40°N in Figure 3.10) and has been  
44 described in the satellite altimeter, XBT/hydrography and, more recently Argo profiling float data (Cummins  
45 and Freeland, 2007; Douglass et al., 2006). A similar trend is detected in the WSG, with the northern WSG  
46 in the Bering Sea strengthened while the southern WSG south of the Aleutian Islands has weakened. These  
47 decadal changes are attributable to strengthening and northward expansion of the Pacific High and Aleutian  
48 Low atmospheric pressure systems over the subarctic North Pacific Ocean (Carton et al., 2005).

49  
50 Accompanying the NPC’s northward expansion, the subtropical gyre in the North Pacific expanded along its  
51 southern boundary over the past two decades. The North Equatorial Current (NEC) shifted southward along  
52 the 137°E meridian (Qiu and Chen, 2011; also note the SSH increase east of the Philippines in Figure 3.10  
53 indicating the southward shift). The NEC’s bifurcation latitude along the Philippine coast migrated  
54 southward from a mean latitude of 13°N in the early 1990s to 11°N in the late 2000s (Qiu and Chen 2010).  
55 These changes along the tropical and subtropical gyre boundaries in the western North Pacific Ocean are due  
56 to a strengthening of the Walker circulation generating a positive wind stress curl anomaly (Mitas and  
57 Clement, 2005; Tanaka et al., 2004). This regional positive wind stress curl is also responsible for the

1 enhanced regional sea level rise,  $>10 \text{ mm yr}^{-1}$ , in the western tropical North Pacific Ocean (Timmermann et  
2 al., 2010; Figure 3.10) during the same period.

3  
4 Variability in the mid-latitude South Pacific over the past two decades is characterized by a broad increase in  
5 SSH in the  $35^{\circ}\text{S}$ – $50^{\circ}\text{S}$  band and a decrease south of  $50^{\circ}\text{S}$  along the path of the ACC (Figure 3.10). These  
6 dipolar SSH fluctuations are induced by the intensification in the Southern Hemisphere westerlies,  
7 generating positive and negative wind stress curl anomalies north and south of  $50^{\circ}\text{S}$  over the Pacific sector  
8 of the Southern Ocean, respectively. Reflecting these large-scale SSH changes, the southern limb of the  
9 South Pacific subtropical gyre has intensified in the past two decades (Cai, 2006; Qiu and Chen, 2006;  
10 Roemmich et al., 2007). Intensification in the wind-driven subtropical gyre has further been found to cause a  
11 southward expansion of the East Australian Current (EAC) into the Tasman Sea (Hill et al., 2008; Ridgway,  
12 2007). While both of the poleward limbs of the North and South Pacific subtropical gyres have intensified  
13 over the past two decades, the intensification in the South Pacific gyre extends to a greater depth than that in  
14 the North Pacific gyre (Roemmich and Gilson, 2009). Responding to this same Southern Hemisphere  
15 westerly wind intensification, the ACC has been observed to shift poleward on the decadal timescale (Gille,  
16 2008).

### 17 18 **3.6.3 The Atlantic Meridional Overturning Circulation (AMOC)**

19  
20 **[INSERT FIGURE 3.11 HERE]**

21 **Figure 3.11:** [PLACEHOLDER FOR FIRST ORDER DRAFT: final figure will include time series from  
22 RAPID and MOVE array] Merged time series of Atlantic MOC transport based on 3 different estimates: (i)  
23 Rapid/MOCHA array at  $26^{\circ}\text{N}$  from 2004 to present, (ii) Willis (2010) estimate at  $41^{\circ}\text{N}$  based on  
24 Argo+altimetry, 2002 to present, and from altimetry alone, 1993–2001, (iii) Grist et al. (2009) estimate based  
25 on surface thermohaline forcing.

26  
27 The mean transport of the AMOC is thought to range from 14–22 Sv, depending on latitude (Ganachaud and  
28 Wunsch, 2003; Lumpkin and Speer, 2007; Talley, 2008). Observations of the AMOC are directed toward  
29 detecting possible long-term changes in its amplitude, its northward energy transport, and in the ocean's  
30 capacity to absorb excess heat and greenhouse gases, as well as characterizing short-term variability and its  
31 relationship to changes in forcing.

32  
33 Since AR4, progress has been made developing a coordinated observing system to measure the AMOC  
34 (Cunningham et al., 2010; Rintoul et al., 2010). Presently, changes in the AMOC are being estimated on the  
35 basis of direct observations of the full MOC at  $26.5^{\circ}\text{N}$  (Cunningham et al., 2007; Johns et al., 2011; Kanzow  
36 et al., 2007) or of observations that target one component of the AMOC (e.g., a specific current or ocean  
37 layer, e.g., Kanzow et al., 2008; Meinen et al., 2010; Toole et al., 2011; Willis, 2010). Other estimates are  
38 indirect, from measurements of forcing fields such as air-sea fluxes (e.g., Grist et al., 2009; Josey et al.,  
39 2009; Marsh, 2000; Speer, 1997) or from properties that may be related to MOC changes, such as abyssal  
40 temperature or salinity (e.g., Johnson et al., 2008b) or changes in water mass formation rate (e.g., Kieke et  
41 al., 2007; Myers and Donnelly, 2008).

42  
43 Longer, continuous time series of transports and water mass features of components of the AMOC start to  
44 emerge in the Atlantic, for instance at Fram Strait (since 1997, Schauer and Beszczynska-Möller, 2009), at  
45 the sills between Greenland and Scotland (since 1999 and 1995 respectively, Olsen et al., 2008), as well as  
46 DWBC transport arrays at  $53^{\circ}\text{N}$  (since 1997, Fischer et al., 2010), and at  $39^{\circ}\text{N}$  (Line W, since 2004, Toole et  
47 al., 2011). The deep transports at  $16^{\circ}\text{N}$  in the western Atlantic have been continually measured since 2000  
48 (Kanzow et al., 2009). The only array to continually observe the full MOC is operational since 2004 and  
49 located at  $26.5^{\circ}\text{N}$ , the RAPID/MOCHA array (Cunningham et al., 2010). These observational time series —  
50 when sustained — will be even more important in the future to fill the lack of long time series we currently  
51 face.

52  
53 Knowledge of changes in the AMOC is presently limited by the short duration of the direct time-series  
54 measurements as well as by lack of understanding of the complex relationships between the AMOC strength  
55 and its individual elements or indirect indices, for which longer time-series are available. Three measurement  
56 systems are highlighted here that progress from direct estimates of the AMOC (the Rapid/MOCHA/WBTS

1 program at 26N), to partially direct (Willis, 2010) to indirect (Josey, 2011), while also progressing from the  
2 shortest to the longest time series.

3  
4 Since 2004, the vertical structure, strength and meridional heat flux of the AMOC has been monitored  
5 continuously (Cunningham et al., 2007; Kanzow et al., 2007; Kanzow et al., 2010) (Figure 3.11) by the  
6 extensive Rapid/MOCHA array along 26.5°N in the North Atlantic. These data show a mean AMOC  
7 magnitude of 18.5 Sv  $\pm$  1.3 between April 2004 and April 2009, with 10-day values ranging from 3–32 Sv.  
8 There is a large seasonal cycle with amplitude 6.7 Sv  $\pm$  1.2. The mean meridional heat transport at this  
9 latitude is 1.33 PW  $\pm$  0.12 (Johns et al., 2011), and is dominated (>90%) by the AMOC. The variability in  
10 heat transport is proportional to the variability in the AMOC transport. At periods greater than 180 days the  
11 variance of the upper-mid ocean transport dominates AMOC variance. From this limited time series with  
12 large variability, no long-term trends can be determined.

13  
14 To estimate AMOC strength and variability at 41°N, Willis and Fu (2008) and Willis (2010) combine  
15 velocities from Argo drift trajectories, Argo temperature and salinity profiles and satellite altimeter data.  
16 Here the AMOC magnitude is 15.5 Sv  $\pm$  2.2 from 2002–2009. This study suggests an increase in the AMOC  
17 strength of about 2.4 Sv from 1993–2010, though of uncertain confidence because it is based on SSH alone  
18 in the pre-Argo interval of 1993–2001.

19  
20 The third study that derives an estimate of the annual average AMOC strength and variability (Grist et al.,  
21 2009; Josey et al., 2009) uses air-sea fluxes to calculate surface diapycnal transports as a function of latitude  
22 (30°N–80°N) and time from NCEP-NCAR reanalysis fields. From this surface-forced AMOC  
23 streamfunction they reconstruct a time series for 1960–2007. Decadal fluctuations of about 3 Sv are seen, but  
24 there is no trend. The decadal variability is generally in phase across the latitude range, except in the 1990s  
25 when anomalies north and south of 60°N are out of phase. This pattern may be associated with relative  
26 variations in dense water formation in the Labrador, Irminger and Nordic Seas. This study finds a reduction  
27 in AMOC transport by about 2 Sv from 2000–2005 at 45°N, in contrast to the transport increase found by  
28 Willis (2010) in that interval.

29  
30 Other studies of the AMOC trend are also contradictory, with some reporting a decrease in the AMOC or its  
31 components (e.g., Bryden et al., 2005; Lherminier et al., 2007; Lherminier et al., 2010; Longworth et al.,  
32 2011; Wunsch and Heimbach, 2006) while others suggest no change or an increase (e.g., Kohl and Stammer,  
33 2008; Lumpkin et al., 2008; Olsen et al., 2008; Schott et al., 2009; Zhang, 2008). Transport time series in the  
34 Deep Western Boundary Current (the main deep AMOC pathway in the North Atlantic) at 60°N (Sarafanov  
35 et al., 2009; Sarafanov et al., 2010), at 39°N (Toole et al., 2011) and in the Florida Strait at 26°N (Meinen et  
36 al., 2010) reveal no trend in transport.

37  
38 Estimates of AMOC strength at various latitudes in the North Atlantic, inferred with different methods,  
39 covering different time periods agree that the range of interannual- to interdecadal variability is 2–3 Sv  
40 (Figure 3.11). These estimates do not paint a coherent picture of the long-term trends, in either the  
41 subtropical nor in the subpolar gyre. This may partly reflect the fact, that the AMOC is not one, coherent  
42 structure, but contains many shortcuts that will tend to de-correlate variability (e.g., Koltermann et al., 1999;  
43 Lozier et al., 2010). But it likely also reflects our current, limited, state of understanding. It is as likely as not  
44 that there has been a discernable long-term trend in AMOC during the past fifty years.

#### 45 46 **3.6.4 Water Exchange Between Ocean Basins**

47  
48 [PLACEHOLDER FOR FIRST ORDER DRAFT]

##### 49 50 **3.6.4.1 The Indonesian Throughflow (ITF)**

51  
52 The transport of water from the Pacific to the Indian Ocean via the Indonesian archipelago is the only low-  
53 latitude exchange between oceans and is significant because it is a fluctuating sink/source respectively for  
54 very warm tropical water in the two oceans. ITF transport has been estimated from hydrographic and XBT  
55 transects between Australia and Indonesia and from moorings in the principal Indonesian passages. The most  
56 comprehensive observations were obtained in 2004–2006 in three main passages by the INSTANT mooring  
57 array (Sprintall et al., 2009), and show a transport of 15.0 ( $\pm$ 4) Sv. On a longer timescale, Wainwright et al.

(2008) analyzed data along the IX1 Australia-Indonesia XBT transect and found a change in the slope of the thermocline for data before and after 1976, indicating a decrease in geostrophic transport by 23%, consistent with a weakening of the trade winds (e.g., Vecchi et al., 2006). Other transport estimates based on the IX1 transect show correlation with ENSO variability (Potemra and Schneider, 2007) and no significant trend for the period since 1984 having continuous sampling along IX1 (Sprintall et al., 2002). Overall, there is not sufficient evidence to conclude with high confidence that a trend in ITF transport has been seen.

#### 3.6.4.2 *The Antarctic Circumpolar Current (ACC)*

Westerly winds in the Southern Ocean have increased since the 1970's (Marshall, 2003). Climate models forced by these increasing winds suggest the ACC transport should be increasing as well (Wainer et al., 2004). Variations in the transport of the ACC have been measured across the Drake Passage since 1975 (Cunningham et al., 2003) using repeat hydrographic sections, and there is significant interannual variability associated with changes in the Southern Annular Mode (Meredith et al., 2004). However, with large cruise-to-cruise variability (Cunningham et al., 2003; Gladyshev et al., 2008; Koshlyakov et al., 2007; Koshlyakov et al., 2011) no significant trend is seen in the net transport. Recent estimates derived from non-repeating hydrographic sections and temperature data throughout the Southern Ocean support these findings (Boning et al., 2008; Gille, 2008). Observations from satellite altimetry have been used to show a significant increase in eddy kinetic energy 2–3 years after peaks in the interannual wind forcing, which suggests that the climate models may be overestimating the transport response as they do not resolve mesoscale eddies (Meredith and Hogg, 2006).

#### 3.6.4.3 *North Atlantic / Nordic Seas Exchange*

There is inconclusive evidence of changes during the past two decades in the flow across the Greenland-Scotland Ridge (GSR), which connects the North Atlantic with the Norwegian and Greenland Seas. Hakkinen and Rhines (2009), analyzing surface drifter tracks in the North Atlantic, found a greater tendency after 2000 for drifters in the North Atlantic Current to continue northward across 50°N rather than recirculating toward the southeast. However, a recent surface drifter study in the Nordic Seas (Andersson et al., 2011) finds no change in the surface currents between the two time periods. Moreover, direct measurements since 1994 of the warmest northward flow across the Faroe Shetland Channel (>8°C; roughly 4 Sv), show no trend in the transport (Bers et al., 2011).

The two primary pathways for the deep southward overflows across the GSR are the Denmark Strait and Faroe Bank Channel. Moored measurements of the Denmark Strait overflow demonstrate significant interannual transport variations (Macrander et al., 2005), but the time-series is not long enough to detect a multi-decadal trend. Similarly, a ten-year time-series of moored measurements in the Faroe Bank channel (Olsen et al., 2008) does not show a trend in transport.

### 3.6.5 *Conclusion*

In summary, recent observations have strengthened evidence for variability in major ocean circulation systems on time scales from years to decades. Much of the variability observed in ocean currents can be linked to changes in wind forcing, including changes in winds associated with the modes of climate variability. Given the short duration of direct measurements of ocean circulation, it is not possible to distinguish multi-decadal trends from decadal variability.

## 3.7 **Sea Level Change, Ocean Waves and Storm Surges**

[PLACEHOLDER FOR FIRST ORDER DRAFT]

### 3.7.1 *Observations of Long-Term Trends and Patterns in Sea Level*

Direct observations of sea level change rely on two different measurements systems: tide gauges and satellite radar altimeters. Although there are differences between the two systems related not only to how the measurements are made, but also to the spatial and temporal sampling, length of time-series, and the reference frames, numerous studies have compared the two data and verify that they agree at the level of 0.5

1 mm yr<sup>-1</sup> or better over periods of several years and longer, once tide gauge data are corrected for estimates of  
2 vertical land motion (Beckley et al., 2010; Merrifield et al., 2009; Nerem et al., 2010). Although satellite  
3 altimeters provide more global observations than tide gauges, tide gauges are vital to understanding sea level  
4 change before continuous satellite altimeter observations began in 1992. Several tide gauge sites have made  
5 regular observations since the late 1800s.

6  
7 In order to quantify the change in the total volume of the ocean over time, observations are spatially  
8 averaged to estimate the global mean sea level change (Figure 3.12). Recent estimates of global mean sea  
9 level rates of change have not changed significantly since AR4, which assessed the rate of 20th century mean  
10 sea level rise as  $1.7 \pm 0.5$  mm yr<sup>-1</sup> (Bindoff et al., 2007). Holgate (2007), using the average of 9 gauges with  
11 very long, nearly continuous records, found the mean-rate over the century (1904–2003) to be  $1.7 \pm 0.2$  mm  
12 yr<sup>-1</sup> (1 standard error). Jevrejeva et al. (2006) used more stations (1023), many of which were much shorter  
13 in time, to first compute mean sea level change in 12 ocean areas, then averaged the 12 areas in order to  
14 obtain a mean rate from 1900 to 2000 of 1.8 mm yr<sup>-1</sup>. Merrifield et al. (2009) used 134 stations, selected to  
15 reduce regional clustering, and estimated area-weighted mean sea level rates of  $1.5 \pm 0.5$  mm yr<sup>-1</sup> (1 standard  
16 error) for the second half of the 20th century (1955–1990). Based on these new studies, we find no  
17 significant change from the AR4 assessment, and continue to assess the rate of 20th century sea level rise  
18 (1900–2000) as  $1.7 \pm 0.5$  mm yr<sup>-1</sup>, with the uncertainty representing the 90% confidence level.

19  
20 Sea level rates from tide gauge measurements are calculated after correcting for glacial isostatic adjustment  
21 (GIA) (Peltier, 2001), in order to calculate the direct volume change of the ocean and reduce the effects of  
22 vertical motion of the land to which the gauge is referenced. However, in many areas with tectonic activity  
23 or ground-water mining, GIA is not the largest source of vertical land motion and using only a GIA model  
24 may potentially bias the true rate. Some authors choose gauges that have no evidence of tectonic activity or  
25 subsidence in order to reduce this potential bias (e.g., Holgate, 2007). Merrifield et al. (2009) examined the  
26 potential magnitude of biases due to not accounting for vertical land motion (VLM) in their analysis, by  
27 using a VLM correction derived from the difference in rates between each gauge and nearby altimetry data  
28 after 1993. They found that their inferred VLM correction was consistent with VLM measured by  
29 independent global positioning system (GPS) receivers at many sites. More importantly, the differences in  
30 15-year rates estimated with and without the VLM correction ranged from 0 to 0.5 mm yr<sup>-1</sup>, well within the  
31 estimated uncertainty, which gives increased confidence that the 20th century rates are not biased high due to  
32 unmodeled vertical land motion at the gauges.

33  
34 Global mean sea level rates of change have been significantly higher since 1993 than before 1990. Estimates  
35 from the now 17-year long record of continuous satellite altimeter missions is  $3.3 \pm 0.4$  mm yr<sup>-1</sup> (Beckley et  
36 al., 2010; Leuliette and Scharroo, 2010; Nerem et al., 2010, Figure 3.12). Tide gauge measurements give a  
37 statistically consistent result ( $3.2 \pm 0.5$  mm yr<sup>-1</sup>) over the same period (Merrifield et al., 2009), so there is  
38 high confidence that this change in observed sea level rate is real and not an artifact of the different sampling  
39 or instruments. We assess that the rate of mean sea level rise since 1993 is  $3.3 \pm 0.7$  mm yr<sup>-1</sup>, with the  
40 uncertainty representing the 90% confidence level.

#### 41 [INSERT FIGURE 3.12 HERE]

42 **Figure 3.12:** Global mean sea level from a) tide gauges (1870–2007), updated from Church and White  
43 (2006) and Jevrejeva et al. (2006) and b) altimetry (1993–2010) updated from Nerem et al. (2010), GRACE  
44 (2003–2010) updated from Chambers et al. (2010), and thermosteric (1993–2005) updated from Domingues  
45 et al. (2008). The Church and White (2006) data are yearly averages, while the Jevrejeva et al. (2006) data  
46 are monthly values integrated from low-pass filtered weighted-average annual trends of sea level. The  
47 altimetry, GRACE, and thermosteric data have been smoothed with a 6-month running mean filter. All  
48 uncertainty bars are 1-standard error. The tide gauge records are plotted relative to a mean in 1900; the  
49 altimeter, thermosteric, and GRACE are plotted relative to a mean in 2003.

50  
51  
52 Local sea level rates are often higher or lower than the global mean due to redistribution of heat and salt  
53 related to changing ocean circulation (Section 3.6). Because of the nearly global distribution of satellite  
54 altimetry data, we can map the pattern since 1993 with high precision. The overall pattern of sea level  
55 change from 1993 to 2010 is similar to the pattern from 1993 to 2003 discussed in AR4 and is still driven  
56 mainly by redistribution of heat associated with changes in the large-scale circulation (Section 3.5, Figure  
57 3.6). Sea level rise rates throughout the Atlantic basin are near the global mean rate, rates in the Warm Pool

1 of the western Pacific are up to 3 times larger, while rates over much of the Eastern Pacific are near zero or  
2 negative (Beckley et al., 2010). The largest changes in pattern are found in the Indian Ocean. Previous  
3 studies (Cazenave and Nerem, 2004) found a dipole-structure in the rates similar to that in the Pacific, with  
4 negative rates on the western side of the Indian Ocean and positive rates than the on the eastern side. The  
5 pattern is now more homogeneous over the Indian Ocean with a value near the global mean rate (Beckley et  
6 al., 2010).

7  
8 It is still uncertain how long such patterns of regional sea level change can last. Previous studies, based on  
9 reconstructing global maps from tide gauge data projected onto empirical orthogonal functions (EOFs)  
10 computed from modern altimetry (Church et al., 2004) suggest that there can be similar patterns with rises  
11 twice the mean rate (or significantly negative falls) that persist for several decades. However, the patterns are  
12 still highly uncertain, as the method relies on assuming that the EOFs since 1993 represent patterns in  
13 previous decades. There is growing evidence that the period after 1993 is significantly different from  
14 previous decades, with sea level trends in different ocean basins becoming more consistent over the last 20-  
15 years (Jevrejeva et al., 2006; Merrifield et al., 2009). Merrifield et al. (2009) point out that much of the  
16 change has occurred in the Southern Ocean, where in previous decades sea level changes were out of phase  
17 with the tropics, but after 1990 have been largely in phase with the rest of the world's oceans.

### 19 **3.7.2 Observations of Decadal Variations in Trends and Accelerations**

20  
21 It is clear from the observational record (Figures 3.12) that global mean sea level rise is not strictly linear,  
22 but includes interannual and interdecadal variability. For example, the rate since 2005 has been about 1.4  
23 mm yr<sup>-1</sup> lower than the rate from 1993 to 2005, which has been linked to the extended La Niña event in  
24 2007–2008 (Nerem et al., 2010). Many analyses have examined trends over running 10 year intervals in the  
25 tide gauge data and have found rates of change in mean sea level of the order of  $\pm 2$  mm yr<sup>-1</sup> away from the  
26 long-term mean (Church and White, 2006; Holgate, 2007). These fluctuations have been linked to natural  
27 climate modes like ENSO and PDO, as well as an oceanic response to volcanic eruptions (Church et al.,  
28 2005; Jevrejeva et al., 2006).

29  
30 More recently, historical rates over periods longer than 10-years have been estimated, either using longer  
31 windows (Merrifield et al., 2009) or using filters to separate nonlinear trends from decadal-scale quasi-  
32 periodic variability (Jevrejeva et al., 2006). Both approaches find that the variability in the rate over periods  
33 longer than 10-years is less variable, with maximum variations of  $\pm 0.5$  mm yr<sup>-1</sup> away from the long-term  
34 mean between 1950 and 1990. Merrifield et al. (2009) found that the observed 15-year rates after 1990 have  
35 steadily grown to the currently observed rate of 3.3 mm yr<sup>-1</sup> with no significant reversals which is well  
36 outside of the variability they found in previous decades. The analysis of Jevrejeva et al. (2006; 2008)  
37 suggests, however, that there are multidecadal oscillations in the rate of mean sea level with periods of 60 to  
38 70 years, with the decades since 1990 being at a peak rate similar to one previously observed in the 1940s  
39 and 1950s, although this result is based on a much smaller number of tide gauges and so has a higher  
40 uncertainty.

41  
42 It is difficult to quantify accelerations in the observational record from tide gauges, since the number of  
43 gauges changed dramatically between the late-1800s and 1950s, when more gauges were put in place.  
44 Between 1870 and 1900, there are only 50 tide gauges, mostly in the Northern Hemisphere, while before  
45 1850, there were only 5, with none in the Southern Hemisphere. Thus, estimates of mean sea level before  
46 1950 have much higher uncertainty (e.g., Figure 3.12). However, even accounting for these uncertainties,  
47 quadratic fits do show non-zero accelerations at the 95% confidence level. Church and White (2006) find  
48 that the acceleration from 1870 to 2001 is  $0.013 \pm 0.006$  mm yr<sup>-2</sup>, with most of the change occurring in the  
49 1930s. The estimated acceleration for the 20th century alone is not significantly different than zero.  
50 Jevrejeva et al. (2008), using different methods to process the tide gauge data, find that the rate of mean sea  
51 level rise has been growing steadily since before 1870, with a mean acceleration equal to that found by  
52 Church and White (2006).

### 54 **3.7.3 Measurements of Components of Sea Level Change**

55  
56 Sea level will rise as water warms or fresh water mass is added to it from changes in the global water cycle  
57 or from runoff from ice sheets and glaciers. Tide gauges and satellite altimetry measure the combined effect

1 of these two components. Although variations in the density related to upper-ocean salinity changes will  
2 cause regional changes in sea level, when globally averaged the effect on sea level rise is about an order of  
3 magnitude smaller than the thermal effects (Antonov et al., 2002).  
4

5 Most of the thermal contribution to sea level rise comes from the upper ocean (Section 3.2). Thermosteric  
6 sea level change is typically computed at annual resolution from in situ temperature measurements, mainly in  
7 the upper 700 m of the ocean (Section 3.2). After correcting for the biases in older XBT data (Section 3.2),  
8 Dominques et al. (2008) estimate that the warming of the upper ocean from 1961 to 2003 caused a mean  
9 thermosteric rate of rise of  $0.5 \pm 0.1 \text{ mm yr}^{-1}$  (1 standard error), which is 40% higher than previous  
10 assessments that were affected by the XBT biases (Antonov et al., 2005). Observations of the contribution of  
11 deep-ocean warming to sea level rise are still highly uncertain due to limited historical data, especially in the  
12 Southern Ocean, and are generally computed over longer time scales (Levitus et al., 2005). Purkey and  
13 Johnson (2010a) used available repeat hydrographic sections to estimate that the warming trend of the deep  
14 abyssal ocean (less than 4000 m) centered on 1992–2005 has contributed  $0.05 \pm 0.02 \text{ mm yr}^{-1}$  (95%  
15 confidence) to sea level rise. In addition, they have found an even greater contribution from the warming of  
16 the waters between 1000 and 4000 m in the Sub-Antarctic Front ( $0.09 \pm 0.08 \text{ mm yr}^{-1}$ ), for a total  
17 contribution of warming below 1000 m of  $0.14 \pm 0.08 \text{ mm yr}^{-1}$  (95% confidence).  
18

19 The mass component of mean sea level rise has previously been inferred from averaging observed salinity  
20 changes in the global ocean and assuming the salinity change is wholly from fresh water entering the ocean  
21 from continents and not from melting of sea ice or changes in E-P (Antonov et al., 2002). Rates from this  
22 inference are quite uncertain due to the very sparse salinity measurements and ignoring the contribution from  
23 changes in E-P (Section 3.3) and long-term changes in sea ice mass. Starting in 2003, the mass component  
24 has been inferred from satellite measurements of time-variable gravity at monthly time-scales (Chambers et  
25 al., 2004), based on the fact that the majority of observed gravity changes are driven by water mass transport  
26 in the Earth system (Wahr et al., 1998). The estimated rates since 2003 range from 1 to 2  $\text{mm yr}^{-1}$  (Cazenave  
27 et al., 2009; Leuliette and Miller, 2009), with the most recent estimate being  $1.3 \pm 0.6 \text{ mm yr}^{-1}$  (90%  
28 confidence level) (Willis et al., 2010; Figure 3.12). The uncertainty is dominated by uncertainty in the GIA  
29 correction required for satellite gravity measurements (Chambers et al., 2010). There are also significant  
30 interannual fluctuations in the ocean mass trends similar to those in total sea level rates, which are caused by  
31 variations in the Earth's water cycle (Willis et al., 2008). It will take a time-series much longer than a decade  
32 to average out these transient fluctuations in order to determine the long-term rate of ocean mass increase  
33 with high confidence.  
34

35 Several studies have compared the sum of observed thermosteric and mass components with the total sea  
36 level data in order to quantify how well the sea level budget closes. Early attempts at this suffered from  
37 problems due to biases in the altimetry and thermosteric data, sampling issues with the thermosteric data, and  
38 the GIA correction used. After each of these issues has been corrected, the sea level budget closes at the  
39 level of  $0.1 \text{ mm yr}^{-1}$  over a common time period (Chambers et al., 2010), which gives increased confidence  
40 that the current ocean observing system is capable of resolving the long-term rate of sea level rise and its  
41 components, assuming continued measurements.  
42

#### 43 **3.7.4 Extreme Sea Level and Storm Surges**

44

45 As mean sea level rises, the frequency of events exceeding a certain threshold will increase. Since storm  
46 surge and extreme sea level events are often perceived as a regional problem, global analyses of the changes  
47 in storm surge are limited, and most reports are based on analysis of regional data (see Lowe et al., 2010 for  
48 a review). Methods used to derive changes in changing storm surge and extreme sea level rely either on the  
49 analysis of local tide gauge data, or on multi-decadal hindcasts of a dynamical model (WASA-Group 1998).  
50 Many analyses have focused on regions in Europe (e.g., Haigh et al., 2010; Letetrel et al., 2010; Marcos et  
51 al., 2009; Tsimplis and Shaw, 2010; Vilibic and Sepic, 2010) [(von Storch et al., 2010)], North America  
52 (Park et al., 2010b; Thompson et al., 2009), South America (D'Onofrio et al., 2008) and Australia (Church et  
53 al., 2006). A global analysis of tide gauge records has been performed for data from the 1970s onwards when  
54 the 'global' data set has been reasonably copious (Menendez and Woodworth, 2011; Woodworth and  
55 Blackman, 2004).  
56



1 A primary focus of these studies is whether there is evidence for extremes having changed at different rates  
2 to MSL in recent years. Although extreme sea levels have been found to have increased at most locations  
3 around the world, as suggested by many anecdotal reports of increased coastal flooding, once the  
4 corresponding annual median sea level has been subtracted from the extreme sea levels, there is a reduction  
5 in the magnitude of trends at most stations (Menendez and Woodworth, 2011), leading to the conclusion that  
6 much of the change in extremes is due to change in the MSL. A related question concerns whether extreme  
7 levels have become more frequent at most locations since the 1970s. Again, when median sea level values  
8 are subtracted from the high percentiles, only small long-term changes in the frequency of extreme events  
9 were found. The studies have also pointed to the importance of climate variability on the extreme sea level  
10 trends, including in particular the El Niño – Southern Oscillation (ENSO) and North Atlantic Oscillation  
11 (NAO) (e.g., Abeyvirigunawardena and Walker, 2008; Haigh et al., 2010).

12  
13 There is evidence in some records that extremes appear to be rising faster than MSL. One example is in areas  
14 where deltas are shrinking due to water extraction and soil compaction, leading to stronger storm surges than  
15 those caused by climate variability (changes in MSL and storminess) (Syvitski et al., 2009). Another  
16 example is in British Columbia, Canada, where Abeyvirigunawardena and Walker (2008) found sea level  
17 extremes have risen about twice the rate of MSL rise, although much of this was linked to ENSO-related  
18 regional interannual variability.

19  
20 In conclusion, while the evidence points to increasing extreme sea level and stronger storm surges in coastal  
21 areas, most of the change can be attributed to rising mean sea level.

### 22 23 **3.7.5 Changes in Surface Waves**

24  
25 Surface wind waves are generated by direct wind forcing and are partitioned into two components, namely  
26 wind sea (wind-forced waves propagating slower than surface wind) and swell (resulting from the wind sea  
27 development and propagating typically faster than surface wind). Significant wave height (SWH) represents  
28 the measure of the wind wave field consisting of wind sea and swell and is frequently attributed to the  
29 highest one-third of wave heights. Local wind changes influence wind sea properties, while changes in  
30 remote storms affect swell. Wind sea integrates characteristics of atmospheric dynamics over different scales  
31 and serves as an effective indicator of climate variability and change. Variability patterns of wind sea and  
32 surface wind may not necessarily be consistent since wind sea integrates wind properties over larger domain.  
33 Variability of swell is affected by both local and remote storms. Global and regional time series of wind sea  
34 characteristics are available from buoy data, Voluntary Observing Ship (VOS) reports, satellite  
35 measurements and model wave hindcasts with no source being superior, as all have their strengths and  
36 weaknesses.

37  
38 AR4 reported statistically significant positive SWH trends during 1900–2002 in the North Pacific (up to 8  
39 cm per decade) and stronger trends (up to 14 cm per decade) from 1950–2002 for most of the mid-latitude  
40 North Atlantic and North Pacific, with insignificant trends, or small negative trends, in most other regions  
41 (Trenberth et al., 2007). Since AR4, further studies have provided confirmation of previously reported trends  
42 with more detailed quantification and regionalization.

43  
44 On centennial scale, VOS wave observations for 1880–2008 (Grigorieva and Gulev, 2011) and the hindcast  
45 based on 20C Reanalysis (Wang et al., 2009) for 1871–2008 confirm a growing tendency in SWH over the  
46 subtropical and midlatitude Pacific, but indicate no significant trends in the mid-latitude North Atlantic.  
47 Starting from the 1950s, however, both observational data and forced model experiments are in agreement  
48 (Figure 3.13), indicating trends in SWH varying from 8 cm per decade to 20 cm per decade in winter months  
49 in the North Atlantic with smaller magnitudes in the North Pacific (Gulev and Grigorieva, 2006, 2011; Sterl  
50 and Caires, 2005; Wang and Swail, 2006; Wang et al., 2009). An ERA-40-WAM model hindcast covering  
51 1958–2002 (Semedo et al., 2011) also shows an upward trend in both wind sea and swell heights in the  
52 North Atlantic and the North Pacific with the changes in SWH (1.18% per decade in the North East Pacific  
53 and nearly 1% per decade in the North East Atlantic) mainly related to the increase in swell heights.  
54 Importantly, there is also an evidence of increasing peak wave period during 1953–2009 in the Northeast  
55 Atlantic of up to 0.1 s per decade (Dodet et al., 2010), confirmed by the hindcast of Wang et al. (2009) for  
56 the same period and by visual VOS observations (Grigorieva and Gulev, 2011) for the period after 1970.  
57

1 **[INSERT FIGURE 3.13 HERE]**

2 **Figure 3.13:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Caption still needs to be written and Figure  
3 needs to be made.] Global map of trends in SWH from one source.  
4

5 Analysis of reliable long-term trends in SWH in the Southern Hemisphere remains a challenge due to limited  
6 in-situ data and temporal in-homogeneity in the data used for reanalysis products. Studies comparing  
7 altimeter-derived SWH with data from buoys and output from models indicate that while there are some  
8 areas with statistically significant increases in waves, they occur in a narrower area than the models predict,  
9 or with smaller trends (Hemer, 2010; Hemer et al., 2010). Positive trends in the data occur mainly south of  
10 45°S (Hemer et al., 2010).

11  
12 Methods for estimating extreme waves are influenced by the choice of the thresholds for what classifies as  
13 an extreme wave and by sampling inhomogeneity for VOS data. Estimates from VOS data (Gulev and  
14 Grigorieva, 2011) for the last 60 years indicate growing extreme waves in the Northeast Atlantic and central  
15 midlatitude North Pacific with a tendency of about 20–30 cm per decade. These results are largely consistent  
16 with model hindcasts (Sterl and Caires, 2005; Wang et al., 2009). Trends in extreme waves have been  
17 reported in numerous locations since the late 1970s, including the North American Atlantic coast (Komar  
18 and Allan, 2008), the North American Pacific coast (Menendez et al., 2008), the western tropical Pacific  
19 (Sasaki et al., 2005) and south of Tasmania (Hemer, 2010).

20  
21 In conclusion, given the scarcity of direct measurements, as well as differences in statistical methods and  
22 metrics used, we conclude that it is likely that SWH has been increasing over much of the North Pacific  
23 since 1900, and in the North Atlantic from the 1950s. In the Southern Oceans south of 45°S this tendency  
24 holds over the last two decades. It is also very likely that extreme wave heights have been growing over the  
25 last 60 years.  
26

### 27 **3.8 Ocean Biogeochemical Changes, Including Anthropogenic Ocean Acidification**

28 [PLACEHOLDER FOR FIRST ORDER DRAFT]  
29

#### 30 **3.8.1 Ocean Carbon**

31  
32 The reservoir of inorganic carbon in the ocean is roughly 60 times that of the atmosphere. Thus, even small  
33 changes in the ocean reservoir may have a significant impact on the atmospheric concentration of CO<sub>2</sub>. The  
34 fraction of dissolved inorganic carbon (DIC) in the ocean due to increased atmospheric CO<sub>2</sub> concentrations  
35 (i.e., the anthropogenic CO<sub>2</sub>, C<sub>ant</sub>) cannot be measured directly but various techniques exist to infer C<sub>ant</sub> from  
36 observations of interior ocean properties. Currently, approximately 25% of the CO<sub>2</sub> released to the  
37 atmosphere by burning of fossil fuels and land-use change enters the ocean across the air-sea interface. The  
38 global ocean inventory of C<sub>ant</sub> (excluding marginal seas) in 2008 is estimated via a Green's function  
39 approach to be 140 ± 25 PgC (Khatiwala et al., 2009). The corresponding uptake rate was 2.3 ± 0.6 PgC yr<sup>-1</sup>,  
40 consistent with the 2.2 ± 0.6 Pg C yr<sup>-1</sup> value estimated on the basis of atmospheric O<sub>2</sub>/N<sub>2</sub> measurements from  
41 1993 to 2003 (Manning and Keeling, 2006) and 2.0 ± 1 Pg C yr<sup>-1</sup> from surface water CO<sub>2</sub> measurements  
42 normalized to the year 2000 (Takahashi et al., 2009). Recent models indicate that the uptake of  
43 anthropogenic CO<sub>2</sub> emissions by the ocean has increased from 1.5 ± 0.4 Pg C yr<sup>-1</sup> in the decade of the 1960s  
44 to 2.3 ± 0.4 Pg C yr<sup>-1</sup> in 2008 (Le Quere et al., 2009). Superimposed on this multi-decadal trend are  
45 significant regional and temporal variations in uptake due to changes in wind, temperature,  
46 evaporation/precipitation, ocean circulation, and biological production, that are often related to climate  
47 modes such as the ENSO and NAO (Bates, 2007; Feely et al., 2006).  
48  
49

##### 50 *3.8.1.1 Long-Term Trends and Variability in the Ocean Uptake of Carbon from Observations*

51  
52 The air-sea flux of CO<sub>2</sub> is computed from the observed CO<sub>2</sub> partial pressure difference across the air-water  
53 interface ( $\Delta p\text{CO}_2$ ), the solubility of CO<sub>2</sub> in seawater, and the gas transfer velocity (Wanninkhof et al., 2009).  
54 Significant uncertainties exist in global and regional fluxes due to the limited geographic and temporal  
55 coverage of the  $\Delta p\text{CO}_2$  measurement as well as uncertainties in wind forcing and transfer velocity  
56 parameterizations. The terms in the flux formulation are frequently related to climate modes such as ENSO;  
57 in the Eastern and Central Equatorial Pacific, increases in  $\Delta p\text{CO}_2$  between El Niño and La Niña can reach

1 over 100  $\mu\text{atm}$  (Feely et al., 2006). However, fluxes are often impacted by shorter-term forcing variability.  
2 Therefore, most regional estimates of decadal trends in fluxes are uncertain ( $\pm 50\%$ ), and no robust global  
3 trends in  $\text{CO}_2$  fluxes based on this approach alone have been obtained. Some quantitative information on  
4 regional trends of surface ocean  $p\text{CO}_2$  and uptake are available for selected locations.  
5

6 Globally, the  $\Delta p\text{CO}_2$  remains unchanged, i.e., on average, surface ocean waters have kept pace with the  
7 atmospheric  $\text{CO}_2$  increase although it varies geographically. While these local variations have little effect on  
8 the atmospheric  $\text{CO}_2$  growth rate in the short term, they provide important information on changes in the  
9 functioning of the ocean and possible longer-term climate feedbacks.

10  
11 Regional changes in uptake include the strong increase/decrease of  $\text{CO}_2$  effluxes in response to El Niño and  
12 La Niña in the Pacific, along with an appreciable overall increase in efflux in the Equatorial Pacific since  
13 1998–2000 due a change in circulation associated with the PDO and increasing winds (Feely et al., 2006).  
14 The North Atlantic has seen a dramatic decrease in  $\text{CO}_2$  uptake of  $0.24 \text{ Pg C yr}^{-1}$  over the decade from 1994  
15 to 2003 (Schuster and Watson, 2007) with a partial recovery since then (Watson et al., 2009). No clear  
16 correlation with the predominant climate index in the North Atlantic, the NAO, has been found, although  
17 Bates (2007) suggests that several indices contribute to the flux anomalies, often with appreciable lags. The  
18 Southern Ocean has seen decreased uptake in response to increases in wind that in turn have increased  
19 surface divergence, upwelling and outgassing of natural  $\text{CO}_2$ . The wind changes have been attributed to the  
20 trend towards the positive phase of the Southern Annular Mode (SAM) in recent decades as a result of ozone  
21 depletion at high southern latitudes (Lovenduski et al., 2007). The systematic changes in uptake are masked  
22 by seasonal to multi-annual changes that cause a RMS variability of about  $\pm 0.14 \text{ Pg C yr}^{-1}$  over the last 30  
23 years as determined by numerical and empirical models (Park et al., 2010a).  
24

### 25 3.8.1.2 Variations in $\text{CO}_2$ Inventories with Time over the Past Four Decades

26  
27 Three independent data-based estimates for the global ocean inventory of  $C_{\text{ant}}$  for the reference year 1994 are  
28 now available: (1)  $106 \pm 17 \text{ PgC}$  based on the  $\Delta C^*$  method (Sabine et al., 2004); (2)  $94\text{--}121 \text{ PgC}$  based on  
29 the transit time distribution (TTD) method (Waugh et al., 2006); and (3)  $114 \pm 22 \text{ PgC}$  using a Green's  
30 function approach (Khatiwala et al., 2009). All three approaches assume steady state ocean circulation and  
31 use tracer information, which tends to underestimate natural variability and changes in ocean  
32 biogeochemistry. The first two methods additionally assume constant air-sea disequilibrium of  $\text{CO}_2$  over the  
33 industrial period while the third approach relaxes this assumption, resulting in a time-evolving reconstruction  
34 of  $C_{\text{ant}}$  in the ocean over the industrial period. Even though these estimates agree within their uncertainty,  
35 there are significant differences in the distribution of  $C_{\text{ant}}$ , particularly at high latitudes.  
36

#### 37 [INSERT FIGURE 3.14 HERE]

38 **Figure 3.14:** Compilation of 2008 column inventories ( $\text{mol m}^{-2}$ ) of anthropogenic  $\text{CO}_2$ : the global Ocean  
39 excluding the marginal seas (Khatiwala et al., 2009)  $140 \pm 25 \text{ PgC}$ ; Arctic Ocean (Tanhua et al., 2009)  $2.6\text{--}$   
40  $3.4 \text{ PgC}$ ; the Nordic Seas (Olsen et al., 2010)  $1.0\text{--}1.5 \text{ PgC}$ ; the Mediterranean Sea (Schneider et al., 2010)  
41  $1.5\text{--}2.4 \text{ PgC}$ ; the East Sea (Sea of Japan) (Park et al., 2006)  $0.40 \pm 0.06 \text{ Pg C}$ .  
42

43 Perturbations in oceanic DIC concentrations due to anthropogenically forced changes in large-scale  
44 circulation, ventilation, or biological activity are only partially included in these estimates. The change in  
45 DIC or  $C_{\text{ant}}$  concentration between two time periods, i.e., the storage rate, is less dependent on such  
46 assumptions. Regional observations of the storage rate are in broad agreement with the expected storage rate  
47 of  $C_{\text{ant}}$  resulting from the increase in atmospheric  $\text{CO}_2$  concentrations, but with significant spatial and  
48 temporal variations (Figure 3.15).  
49

50 For instance, the North Atlantic is an area with high variability in circulation and deep water formation,  
51 influencing the  $C_{\text{ant}}$  inventory and its changes. As a result of the decline in the LSW formation rates since  
52 1997 (Rhein et al., 2011), the  $C_{\text{ant}}$  increase between 1997 and 2003 was smaller in the subpolar North  
53 Atlantic than expected from the atmospheric increase, in contrast to the subtropical and equatorial Atlantic  
54 (Steinfeldt et al., 2009). Perez et al (2010) also noticed the dependence of the  $C_{\text{ant}}$  storage rate in the North  
55 Atlantic on the NAO, with high  $C_{\text{ant}}$  storage rate during phases of high NAO (i.e., high LSW formation rates)  
56 and low storage during phases of low NAO (low formation). Wanninkhof et al. (2010) confirmed the lower  
57 inventory increase in the North Atlantic compared to the South Atlantic.

1  
2 **[INSERT FIGURE 3.15 HERE]**

3 **Figure 3.15:** [PLACEHOLDER FOR FIRST ORDER DRAFT: figure will be improved] Observed storage  
4 rates of anthropogenic carbon ( $\text{mol m}^{-2} \text{y}^{-1}$ ) for the three oceans as observed from repeat hydrography and the  
5 global mean storage rate from tracer measurements. Measurements for the Northern Hemisphere are drawn  
6 as solid lines, the tropics as dash-dotted lines, and dashed lines for the Southern Hemisphere; the color  
7 schemes refer to different studies. Estimates of uncertainties are shown as vertical bars with matching colors  
8 in the left hand side of the panels. The data sources as indicated in the legend are: 1) Khatiwala et al. (2009),  
9 2) Wanninkhof et al. (2010), 3) Murata et al. (2008), 4) Friis et al. (2005), 6) Olsen et al. (2006), 7) Perez et  
10 al. (2008), 8) Peng et al. (1998), 9) Murata et al. (2010), 10) Murata et al. (2007), 11) Murata et al. (2009),  
11 12) Sabine et al. (2008), 13) Peng et al. (2003), 14) Wakita et al. (2010), 15) Matear and McNeil (2003).

### 13 **3.8.2 Anthropogenic Ocean Acidification**

14  
15 The uptake of carbon dioxide by the ocean changes the chemistry of seawater through chemical equilibrium  
16 of  $\text{CO}_2$  with seawater. Dissolved  $\text{CO}_2$  forms a weak acid and, as  $\text{CO}_2$  in seawater increases, the pH and  
17 carbonate ion concentration [ $\text{CO}_3^{2-}$ ] of seawater decrease. The mean pH of surface waters ranges between 7.8  
18 and 8.4 in the open ocean, so the ocean remains mildly basic ( $\text{pH} > 7$ ) at present (Feely et al., 2004; Orr et al.,  
19 2005). Ocean uptake of  $\text{CO}_2$  results in gradual acidification of seawater; this process is termed ocean  
20 acidification (Caldeira and Wickett, 2003). A decrease in ocean pH of 0.1 corresponds to a 26% increase in  
21 the concentration of  $\text{H}^+$  in seawater, assuming that alkalinity and temperature remain constant. The  
22 consequences of changes in pH, carbonate ion, and saturation states for  $\text{CaCO}_3$  minerals on marine  
23 organisms and ecosystems remain poorly understood.

24  
25 Direct observations of oceanic dissolved inorganic carbon ( $\text{DIC} = \text{CO}_2 + \text{carbonate} + \text{bicarbonate}$ ) and  
26 computed partial pressure of  $\text{CO}_2$  ( $p\text{CO}_2$ ) reflect changes in both the natural carbon cycle and the uptake of  
27 anthropogenic  $\text{CO}_2$  from the atmosphere. Ocean time series stations in the North Atlantic and North Pacific  
28 record decreasing pH (Figure 3.16) with rates ranging between  $-0.0018$  and  $-0.0005 \text{ yr}^{-1}$  (Bates, 2007; Dore  
29 et al., 2009; Gonzalez-Davila et al., 2010; Santana-Casiano et al., 2007). Directly measured pH differences in  
30 the surface mixed layer along a transect in the North Pacific Ocean between Hawaii and Alaska showed a -  
31  $0.0017 \text{ yr}^{-1}$  decline in pH between 1991 and 2006, which is in agreement with observations at the time series  
32 sites (Figure 3.17; Table 3.1; Byrne et al., 2010) and the repeat transects of  $\text{CO}_2$  and pH measurements in the  
33 western Pacific (winter:  $-0.0018 \pm 0.0002 \text{ yr}^{-1}$ ; summer:  $-0.0013 \pm 0.0005 \text{ yr}^{-1}$ ) (Midorikawa et al., 2010).

34  
35  
36 **[START BOX 3.2 HERE]**

#### 38 **Box 3.2: Ocean Acidification**

39  
40 What is ocean acidification? Ocean acidification refers to a reduction in pH of the ocean over an extended  
41 period, typically decades or longer, caused primarily by the uptake of carbon dioxide from the atmosphere,  
42 but can also be caused by other chemical additions or subtractions from the oceans. This can result from both  
43 natural (e.g., increased volcanic activity, methane hydrate releases, long-term changes in biogenic  
44 production/respiration, etc.) and/or human-induced (e.g., carbon dioxide releases from the burning of fossil  
45 fuels, release of nitrogen and sulfur compounds into the atmosphere, etc.) processes. Anthropogenic ocean  
46 acidification refers to the component of pH reduction that is caused by human activity.

47  
48 Since the beginning of the industrial era, the release of carbon dioxide ( $\text{CO}_2$ ) from our collective industrial  
49 and agricultural activities has resulted in atmospheric  $\text{CO}_2$  concentrations that have increased from  
50 approximately 280 ppm to about 392 ppm. The atmospheric concentration of  $\text{CO}_2$  is now higher than  
51 experienced on Earth for at least the last 800,000 years and is expected to continue to rise (Luthi et al.,  
52 2008). The oceans have absorbed approximately 525 billion tons of carbon dioxide from the atmosphere,  
53 about one quarter of the human-introduced carbon dioxide emissions released over the last two and a half  
54 centuries (Le Quere et al., 2009). This natural process of absorption has benefited humankind by  
55 significantly reducing the greenhouse gas levels in the atmosphere and minimizing some of the impacts of  
56 global warming. However, the ocean's uptake of 22 million tons per day of carbon dioxide is starting to have  
57 a significant impact on the chemistry of seawater. The pH of ocean surface waters has already fallen by

1 about 0.1 units from an average of about 8.2 to 8.1 since the beginning of the industrial revolution (Feely et  
2 al., 2009; Orr et al., 2005; Box 3.2, Figure 1). Estimates of future atmospheric and oceanic carbon dioxide  
3 concentrations indicate that, by the end of this century, the surface ocean pH decrease could result in a pH  
4 that is lower than it has been for more than 20 million years (Feely et al., 2004; Orr et al., 2005).

5  
6 The major controls on seawater pH are atmospheric CO<sub>2</sub> exchange and the production and remineralization  
7 of dissolved and particulate organic matter in the water column. Oxidation of organic matter lowers  
8 dissolved oxygen concentrations, adds CO<sub>2</sub> to solution, and thereby lowers the pH of seawater in subsurface  
9 waters (Byrne et al., 2010). As a result of these processes, minimum pH values in the oceanic water column  
10 are generally found near the depths of the oxygen minimum layer (Box 3.2, Figure 2). When CO<sub>2</sub> reacts with  
11 seawater it forms carbonic acid, which is highly reactive and reduces the concentration of carbonate ion,  
12 critical to shell formation for marine animals such as corals, plankton, and shellfish. This process could  
13 affect some of the most fundamental biological and chemical processes of the sea in coming decades (Doney  
14 et al., 2009; Fabry et al., 2008). The cold waters of the high-latitude oceans tend to absorb carbon dioxide  
15 more rapidly than is absorbed by the warmer seawater to the south, making it more vulnerable to  
16 acidification (Steinacher et al., 2009).

17  
18 Anthropogenic ocean acidification may be one of the most significant and far-reaching consequences of the  
19 buildup of human-induced carbon dioxide in the atmosphere. Results from laboratory, field, and modeling  
20 studies, as well as evidence from the geological record clearly indicate that marine ecosystems are highly  
21 susceptible to the increases in oceanic CO<sub>2</sub> and the corresponding decreases in pH (Doney et al., 2009).  
22 Clams, oysters, and other calcifying organisms such as corals will be increasingly affected by a decreased  
23 capability to produce their shells or skeletons (Kroeker et al., 2010). Other species of fish and shellfish will  
24 also be negatively impacted in their physiological responses due to a decrease in pH levels of their cellular  
25 fluids. Ocean acidification is an emerging scientific issue and much research is needed before all of the  
26 ecosystems responses are well understood. However, to the limit that the scientific community understands  
27 this issue right now, the potential for environmental, economic, and societal risk is high (Cooley et al.,  
28 2009).

29  
30 **[INSERT BOX 3.2, FIGURE 1 HERE]**

31 **Box 3.2, Figure 1:** National Center for Atmospheric Research Community Climate System Model 3.1  
32 (CCSM3)-modeled decadal mean pH at the sea surface centered around the years 1875 (top) and 1995  
33 (middle). Global Ocean Data Analysis Project (GLODAP)-based pH at the sea surface, nominally for 1995  
34 (bottom). Deep coral reefs are indicated with darker grey dots; shallow-water coral reefs are indicated with  
35 lighter grey dots. White areas indicate regions with no data (after Feely et al., 2009).

36  
37 **[INSERT BOX 3.2, FIGURE 2 HERE]**

38 **Box 3.2, Figure 2:** [PLACEHOLDER FOR FIRST ORDER DRAFT: figure will be improved] Distribution  
39 of: a) pH and b) CO<sub>3</sub><sup>2-</sup> concentration in the Pacific, Atlantic, and Indian oceans. The data are from the World  
40 Ocean Circulation Experiment/Joint Global Ocean Flux Study/Ocean Atmosphere Carbon Exchange Study  
41 global CO<sub>2</sub> survey [(Sabine et al., 2005)]. The lines show the average aragonite (solid line) and calcite  
42 (dashed line) saturation CO<sub>3</sub><sup>2-</sup> concentration for each of these basins. The color coding shows the latitude  
43 bands for the data sets (after Feely et al., 2009).

44  
45 **[END BOX 3.1 HERE]**

46  
47  
48 **[INSERT FIGURE 3.16 HERE]**

49 **Figure 3.16:** Long-term trends of surface seawater *p*CO<sub>2</sub> (top), pH (middle), and carbonate ion (bottom)  
50 concentration at three subtropical ocean time series in the North Atlantic and North Pacific Oceans,  
51 including: (1) Bermuda Atlantic Time Series Study (BATS, 31°40'N, 64°10'W; **green** line) and Hydrostation  
52 S (32°10', 64°30'W) from 1983 to present (Bates, 2007); (2) Hawaii Ocean Time Series (HOT) at Station  
53 ALOHA (A Long-term Oligotrophic Habitat Assessment; 22°45'N, 158°00'W; **orange** line) from 1988 to  
54 present (Dore et al., 2009), and; (3) European Station for Time Series in the Ocean (ESTOC, 29°10'N,  
55 15°30'W; **blue** line) from 1994 to present (Gonzalez-Davila et al., 2010). Atmospheric *p*CO<sub>2</sub> (**black** line)  
56 from Hawaii is shown in the top panel.

Seawater chemistry changes at the ocean time series sites and in the North Pacific Ocean result from uptake of anthropogenic CO<sub>2</sub> (Doney et al., 2009), but also include other changes imparted by local physical and biological variability. As an example, while pH changes in the mixed layer of the North Pacific Ocean can be explained solely in terms of equilibration with atmospheric CO<sub>2</sub>, declines in pH between 800 m and the mixed layer between 1991 and 2006 were attributed in approximately equal measure to anthropogenic and natural variations (Byrne et al., 2010). Figure 3.17 (Byrne et al., 2010) shows pH changes between the surface and 1000 m that were attributed solely to the effects of anthropogenic CO<sub>2</sub>. The summary observations given in Table 3.1, which include both anthropogenic and natural variations, show that seawater pH and [CO<sub>3</sub><sup>2-</sup>] have decreased by 0.03–0.04 and about 8–10 μmoles kg<sup>-1</sup>, respectively, over the last 20 years (Table 3.1: 1988–2009 trends). Over longer time periods, anthropogenic changes in ocean chemistry are likely to become increasingly prominent relative to changes imparted by physical and biological variability. An anthropogenically induced decrease in surface water pH of 0.08 from 1765 to 1994 for the global ocean was calculated from the estimated uptake of atmospheric CO<sub>2</sub> (Sabine et al., 2004), with the largest reduction (-0.10) in the northern North Atlantic and the smallest reduction (-0.05) in the subtropical South Pacific. These results are consistent with the generally lower buffer capacities of the high latitude oceans compared to lower latitudes (Egleston et al., 2010).

**[INSERT FIGURE 3.17 HERE]**

**Figure 3.17:** ΔpH<sub>ant</sub>: pH change attributed to the uptake of anthropogenic carbon between 1991 and 2006 (from Byrne et al., 2010).

**Table 3.1:** Published and updated long-term trends of atmospheric ( $p\text{CO}_2^{\text{atm}}$ ) and seawater carbonate chemistry (i.e., surface-water  $p\text{CO}_2$ , pH, [CO<sub>3</sub><sup>2-</sup>], and aragonite saturation state  $\Omega_a$ ) at four ocean time series in the North Atlantic and North Pacific oceans: (1) Bermuda Atlantic Time Series Study (BATS, 31°40'N, 64°10'W) and Hydrostation S (32°10', 64°30'W) from 1983 to present (Bates 2007); (2) Hawaii Ocean Time Series (HOT) at Station ALOHA (A Long-term Oligotrophic Habitat Assessment; 22°45'N, 158°00'W) from 1988 to present (Dore et al., 2009); (3) European Station for Time Series in the Ocean (ESTOC, 29°10'N, 15°30'W) from 1994 to present (Gonzalez-Davila et al., 2010); and (4) Iceland Sea (IS, 68.0°N, 12.67°W) from 1985 to 2006 (Olafsson et al., 2009). Trends at each time series site are from observations that have been seasonally detrended. Also reported are the wintertime trends in the Iceland Sea as well as the pH difference trend for the North Pacific Ocean between transects in 1991 and 2006 (Byrne et al., 2010).

Site	Period	$p\text{CO}_2^{\text{atm}}$ (μatm yr <sup>-1</sup> )	$p\text{CO}_2^{\text{sea}}$ (μatm yr <sup>-1</sup> )	pH* (yr <sup>-1</sup> )	[CO <sub>3</sub> <sup>2-</sup> ] (μmol kg <sup>-1</sup> yr <sup>-1</sup> )	$\Omega_a$ (yr <sup>-1</sup> )
<b>a) published trends</b>						
BATS	1983–2005 <sup>a</sup>	1.78 ± 0.02	1.67 ± 0.28	-0.0017 ± 0.0003	-0.47 ± 0.09	-0.007 ± 0.002
	1983–2005 <sup>b</sup>	1.80 ± 0.02	1.80 ± 0.13	-0.0017 ± 0.0001	-0.52 ± 0.02	-0.006 ± 0.001
ALOHA	1988–2007 <sup>c</sup>	1.68 ± 0.03	1.88 ± 0.16	-0.0019 ± 0.0002	-	-0.0076 ± 0.0015
	1998–2007 <sup>d</sup>	-	-	-0.0014 ± 0.0002	-	-
ESTOC	1995–2004 <sup>e</sup>	-	1.55 ± 0.43	-0.0017 ± 0.0004	-	-
	1995–2004 <sup>f</sup>	1.6 ± 0.7	1.55	-0.0015 ± 0.0007	-0.90 ± 0.08	-0.0140 ± 0.0018
IS	1985–2006 <sup>g</sup>	1.69 ± 0.04	2.15 ± 0.16	-0.0024 ± 0.0002	-	-0.0072 ± 0.0007 <sup>g</sup>
N.Pacific	1991–2006 <sup>h</sup>	-	-	-0.0017	-	-
N.Pacific	1983–2008 <sup>i</sup>			Summer -0.0013 ± 0.0005 Winter -0.0018 ± 0.0002		
<b>b) updated trends<sup>i,k</sup></b>						
BATS	1983–2009	1.66 ± 0.01	1.34 ± 0.07	-0.0013 ± 0.0001	-0.29 ± 0.03	-0.0046 ± 0.0007
	1985–2009	1.67 ± 0.01	1.38 ± 0.10	-0.0013 ± 0.0001	-0.34 ± 0.05	-0.0052 ± 0.0006
	1988–2009	1.73 ± 0.01	1.39 ± 0.10	-0.0013 ± 0.0001	-0.38 ± 0.05	-0.0059 ± 0.0009
	1995–2009	1.90 ± 0.01	0.74 ± 0.16	-0.0005 ± 0.0002	-0.15 ± 0.08	-0.0040 ± 0.0031
ALOHA	1988–2009	1.73 ± 0.01	1.82 ± 0.07	-0.0018 ± 0.0001	-0.52 ± 0.04	-0.0083 ± 0.0007
	1995–2009	1.92 ± 0.01	1.58 ± 0.13	-0.0015 ± 0.0001	-0.40 ± 0.07	-0.0061 ± 0.0028
ESTOC	1995–2009	1.88 ± 0.02	1.83 ± 0.15	-0.0017 ± 0.0001	-0.72 ± 0.05	-0.0123 ± 0.0015
IS	1985–2009	1.65 ± 0.01	1.01 ± 0.37	-0.0010 ± 0.0005	-0.03 ± 0.16	-0.0004 ± 0.0025

1985–2009 <sup>l</sup>	1.75 ± 0.01	2.07 ± 0.15	-0.0024 ± 0.0002	-0.47 ± 0.04	-0.0071 ± 0.0006
1988–2009 <sup>l</sup>	1.70 ± 0.01	1.96 ± 0.22	-0.0023 ± 0.0003	-0.48 ± 0.05	-0.0073 ± 0.0008
1995–2009 <sup>l</sup>	1.90 ± 0.01	2.01 ± 0.37	-0.0022 ± 0.0004	-0.40 ± 0.08	-0.0062 ± 0.0012

Notes:

\*pH on the total scale

a) Bates (2007, Table 1) - simple linear fit

a) Bates (2007, Table 2) - seasonally detrended (including linear term for time)

c) Dore et al. (2009) - linear fit with calculated pH and pCO<sub>2</sub> from measured DIC and TA(full time series);

corresponding  $\Omega_a$  from Feely et al. (2009)

d) Dore et al. (2009) - linear fit with measured pH (partial time series)

e) Santana-Casiano et al. (2007) - seasonal detrending (including linear terms for time and temperature)

f) González-Dávila et al. (2010) - seasonal detrending (including linear terms for time, temperature, and mixed-layer depth)

g) Olafsson et al. (2009) - multivariable linear regression (linear terms for time and temperature) for winter data only

h) Byrne et al. (2010) - meridional section originally occupied in 1991 and repeated in 2006

i) Midorikawa et al. (2010) - winter and summer observations along 137°E.

j) Trends are for linear time term in seasonal detrending with harmonic periods of 12, 6, and 4 months. Harmonic analysis made after interpolating data to regular monthly grids (except for IS, which was sampled much less frequently):

1983–2009 = Sep 1983 to Dec 2009 (BATS/Hydrostation S sampling period),

1985–2009 = Feb 1985 to Dec 2009 (IS sampling period),

1988–2009 = Nov 1988 to Dec 2009 (ALOHA/HOT sampling period), and

1995–2009 = Sep 1995 to Dec 2009 (ESTOC sampling period).

k) Atmospheric pCO<sub>2</sub> trends computed from same harmonic analysis (12-, 6-, and 4-month periods) on the GLOBALVIEW-CO<sub>2</sub> (2010) data product for the marine boundary layer referenced to the latitude of the nearest atmospheric measurement station (BME for Bermuda, MLO for ALOHA, IZO for ESTOC, and ICE for Iceland).

l) Winter ocean data, collected during dark period (between 19 January and 7 March), as per Olafsson et al. (2009) to reduce scatter from large interannual variations in intense short-term bloom events, undersampled in time, fit linearly ( $y=at+bT+c$ )

### 3.8.3 Oxygen

The assessment of long-term changes in the oceanic content of dissolved oxygen is limited by data quality issues, and the general sparseness of marine observations. Nevertheless, thanks to the early introduction of standardized methods and the relatively wide interest in the distribution of oxygen, the historical record of marine oxygen observations is richer than that of nearly all other biogeochemical observations. To date, the most thorough assessment of global-scale oxygen changes in open ocean environments reveals overall a decreasing trend in the last 20 to 50 years, i.e., a large-scale deoxygenation of the ocean's thermocline at a rate of about 3–5  $\mu\text{mol kg}^{-1}$  per decade, but with strong regional differences (Keeling et al., 2010).

Detailed analysis of time series records from a few selected spots with sufficient data coverage in the tropical ocean reveals negative trends for the last 50 years in all ocean basins (Stramma et al., 2008), resulting in a substantial expansion of the oxygen minimum zones there. A more spatially expansive analysis conducted by comparing data between 1960 and 1974 with those from 1990 to 2008 supports the spot analysis in that it identified oxygen decreases in most tropical regions with an average rate of 2–3  $\mu\text{mol kg}^{-1}$  per decade (Stramma et al., 2010). Also, many observations from the high latitudes tend to suggest decreasing oxygen levels (Keeling et al., 2010). Observations from one of the longest time series sites in the subpolar North Pacific (Station Papa, 50°N, 145°W) reveal a persistent declining trend in the thermocline for the last 50 years (Whitney et al., 2007), although this trend is superimposed on oscillations on timescales of a few years to two decades. In addition, a 50-year trend analysis of data from the entire North Atlantic shows an overall deoxygenation of the thermocline (Stendardo and Gruber, 2011). However, several open ocean regions also have experienced an increase in dissolved oxygen in the thermocline (Figure 3.18). Stramma et al. (2010) identified long-term oxygen increases in vast areas of the subtropical gyres and the Labrador Sea Water over the past few decades (Johnson and Gruber, 2007).

The long-term deoxygenation of the open ocean thermocline is consistent with the expectation that warmer waters can hold less oxygen (solubility effect), and that warming-induced stratification leads to a decrease in the resupply of oxygen into the thermocline from near surface waters (stratification effect). Models suggest a

1 heat uptake to oxygen loss ratio of about 6 to 7 nmol O<sub>2</sub> per joule of warming, which is about twice the value  
2 expected from the reduction of the oxygen solubility alone, meaning that increased stratification is of about  
3 equal importance as the solubility effect. For example, several tropical open ocean regions in the Atlantic  
4 and Pacific have experienced a decrease in dissolved oxygen in the thermocline (Figure 3.15). In contrast,  
5 Stramma et al. (2010) identified long-term oxygen increases in vast areas of the subtropical gyres and the  
6 Labrador Sea Water has gained substantial oxygen in the past few decades (Johnson and Gruber, 2007).

#### 7 8 **[INSERT FIGURE 3.18 HERE]**

9 **Figure 3.18:** Long-term evolution of oxygen in 4 representative locations in the tropical ocean: A and C,  
10 tropical Atlantic; D and E, Tropical Pacific (adapted from Stramma et al., 2008).

11  
12 Coastal regions have also experienced long-term oxygen changes. Bograd et al. (2008) reported a substantial  
13 reduction of the thermocline oxygen content in the southern part of the California Current from 1984 until  
14 2002, resulting in a shoaling of the hypoxic boundary (60 μmol kg<sup>-1</sup>). Off the Oregon coast, previously  
15 unreported hypoxic conditions have been observed on the inner shelf since 2000, with hypoxia being  
16 especially severe in 2006 (Chan et al., 2008). These changes along the west coast of North America appear  
17 to have been largely caused by the open ocean oxygen decrease and local processes associated with  
18 decreased vertical oxygen transport following near-surface warming and increased stratification. Gilbert et  
19 al. (2010) found evidence for greater oxygen decline rates in the coastal ocean than in the open ocean.

20  
21 In nearshore areas, the analysis of oxygen changes has largely been driven by the observation of a strong  
22 increase in the number of dead zones since the 1960s (Diaz and Rosenberg, 2008). The formation of dead  
23 zones has been exacerbated by the increase of primary production and consequent worldwide coastal  
24 eutrophication fueled by riverine runoff of fertilizers and the burning of fossil fuels.

#### 25 26 **3.8.4 Regional and Long-Term Trends in Nutrient Distributions in the Oceans**

27  
28 Human impacts and shifting physical processes are altering the supply of nutrients to the oceans, thereby  
29 exerting a control on the magnitude and variability of the ocean carbon biological pump. For example, the  
30 large-scale warming of the surface oceans appears to increase stratification, thereby decreasing ventilation  
31 and the vertical flux of nutrients and, in low latitudes, reducing primary production. Kamykowski and  
32 Zentara (2005) estimated global ocean trends in nitrate availability to the pelagic ocean through the 20th  
33 century and found that nitrate supply generally decreased during warming periods. Their results indicated  
34 that global nitrate supply was lower in the last several decades than at any other time in the past century.  
35 Consistent with rising SST and reduced nutrient availability, oligotrophic gyres in four of the world's major  
36 oceans expanded at average rates of 0.8% to 4.3% yr<sup>-1</sup> from 1998 to 2006, and this growth outpaced model  
37 projections (Polovina et al., 2008). In the ocean's thermocline, it is anticipated that given the current rate of  
38 deoxygenation, nitrate and phosphate should be increasing at rates of about 0.3–0.5 and about 0.02–0.03  
39 μmol kg<sup>-1</sup> per decade, respectively. This hypothesis was used to partially explain trends of increasing  
40 nutrient concentrations in upwelled water (Pérez et al., 2010), and has been found in modeling studies  
41 (Rykaczewski and Dunne, 2010). Superimposed on the long-term trends are large interannual and multi-  
42 decadal fluctuations in nutrients. Modeling and observational studies demonstrate that these fluctuations are  
43 coupled with eddy pumping, and variability of mode water and the NAO in the Atlantic Ocean (Cianca et al.,  
44 2007; Pérez et al., 2010); climate modes of variability in the Pacific Ocean (Di Lorenzo et al., 2009; Wong et  
45 al., 2007); and variability of subtropical gyre circulation in the Indian Ocean (Álvarez et al. 2011). As a  
46 likely consequence, recent changes in global net primary production have been dominated by natural, multi-  
47 year oscillations (e.g., ENSO) and clearly show the close coupling between ocean ecology and climate  
48 (Behrenfeld et al., 2006; Chavez et al., 2011).

#### 49 50 **3.9 Synthesis**

51  
52 Significant progress has been made since AR4 in documenting and understanding change in the ocean. The  
53 major findings of this chapter are largely consistent with those in AR4, but in many cases statements can  
54 now be made with a greater degree of confidence. The level of confidence has increased because more data  
55 is available, biases in historical data have been identified and reduced, and more rigorous analytical  
56 approaches have been applied.



1 It is virtually certain that the oceans have warmed since 1970. More than 93% of the extra energy stored by  
2 the Earth system over the last 50 years is found in the oceans. Correction of biases in older XBT data reveals  
3 a greater and more continuous rate of warming, in better agreement with climate model simulations of the  
4 20th century. Warming has been largest near the sea surface, increasing the thermal stratification of the  
5 upper ocean. The warming of the ocean between 1961 and 2003 caused a thermosteric rise in sea level of  
6  $0.5 \pm 0.1 \text{ mm yr}^{-1}$ , an increase of 40% over earlier assessments that were affected by instrumental biases.  
7 Significant, spatially coherent changes in surface salinity have been observed over much of the global ocean.  
8 The spatial pattern of change in surface salinity has acted to reinforce the mean salinity pattern, and is  
9 similar to the distribution of evaporation – precipitation, consistent with an increase in intensity of the  
10 hydrological cycle. Changes in salinity penetrate to depths of 2000 m in many parts of the world ocean,  
11 reflecting subduction of surface salinity anomalies produced by changes in freshwater flux and by migration  
12 of density surfaces caused by warming.

13  
14 Observations of changes in ocean circulation have increased in number and quality since AR4, but the time  
15 series are still too short to reveal significant trends given the presence of energetic variability revealed by the  
16 records. Much of the variability observed in the ocean circulation can be linked to changes in wind forcing,  
17 including wind changes associated with the major modes of climate variability such as NAO, SAM, ENSO,  
18 and the PDO.

19  
20 Three independent methods confirm that the oceans continue to absorb about 25% of anthropogenic  
21 emissions of  $\text{CO}_2$ . The ocean inventory of anthropogenic  $\text{CO}_2$  increased from  $114 \pm 22 \text{ PgC}$  to  $140 \pm 25 \text{ PgC}$   
22 between 1994 and 2008. The uptake of anthropogenic  $\text{CO}_2$  is virtually certain to have caused acidification of  
23 the ocean, with pH in the surface mixed layer having decreased by between  $-0.0018$  and  $-0.0005$  per year.  
24 Other chemical changes observed in the ocean include widespread deoxygenation of the ocean thermocline  
25 at a rate of  $3\text{--}5 \mu\text{mol kg}^{-1}$  per decade and a possible increase in nutrient concentrations.

26  
27 Observed changes in the ocean are summarised in a schematic in Figure X (in preparation). Taken together,  
28 the observations summarised here give very high confidence that the physical and chemical state of the  
29 oceans has changed. The spatial patterns of change are consistent with changes in the surface ocean  
30 (warming, changes in freshwater flux and an increase in  $C_{\text{ant}}$ ) and the subsequent propagation of anomalies  
31 into the ocean interior along ventilation pathways. The consistency of the observed patterns of change with  
32 known physical and chemical processes in the ocean enhances the level of confidence associated with the  
33 conclusion that the ocean state has changed.

34  
35 Improvements in the quality and quantity of ocean observations strengthen conclusions reached in AR4, but  
36 substantial uncertainties remain. In many cases, the observational record is too short or incomplete to detect  
37 trends in the presence of energetic variability on time-scales of years to decades. Recent improvements in the  
38 ocean observing system, most notably the Argo profiling float array, mean that temperature and salinity are  
39 now being sampled routinely in most of the global ocean above 2000 m depth for the first time. If these  
40 observations are sustained, and complemented with time series, measurements of the deep ocean and  
41 expanded biogeochemical sampling, the evolution of ocean climate will be able to be assessed with greater  
42 confidence.

43  
44  
45 [START FAQ 3.1 HERE]

### 46 47 **FAQ 3.1: Is the Ocean Warming?**

48  
49 Yes, the ocean is warming, and an important part of climate change. Over time scales longer than a decade  
50 the average temperature of the upper ocean has increased at least since 1970, when data coverage began to  
51 be adequate for estimating global averages. Observations suggest that the coldest waters at the bottom of the  
52 ocean have been warming overall since around 1990.

53  
54 Ocean temperature in a given location can vary largely with the march of the seasons and can also fluctuate  
55 substantially from year-to-year or even decade-to-decade owing to variability in the heat exchange between  
56 ocean and atmosphere as well as variations in ocean currents. But when we average over longer times and  
57 larger regions, an upward trend in ocean heat content becomes increasingly apparent.

1  
2 Archived historical ocean temperature measurements extend back for centuries, but not until around 1970 are  
3 the measurements in any given year sufficient in number and sufficiently global in their spatial distribution  
4 to estimate global upper ocean temperature with confidence. Until the Argo program first achieved global  
5 coverage in 2004, the global average upper ocean temperature anomaly for any given year is sensitive to the  
6 methodology used to estimate it. In spite of the large uncertainty, the increase of the global mean over  
7 decadal time scales since 1970 is a robust result.

8  
9 Temperature anomalies enter the subsurface ocean by multiple paths (FAQ3.1, Figure 1). In addition to  
10 mixing from above, colder waters from high latitude regions can sink down from the surface and slide  
11 equatorward under warmer waters from more tropical regions. As these sinking waters become warmer, they  
12 increase temperatures in the deep ocean much more quickly than would downward mixing of surface heating  
13 alone. There are a few locations — in the Northern North Atlantic and around Antarctica — where ocean  
14 water is cooled enough so that it sinks to great depths, even to the ocean bottom. It spreads out from these  
15 locations to fill much of the rest of the deep ocean. The temperature of these deep waters varies from decade  
16 to decade in the North Atlantic, sometimes warming, sometimes cooling, depending on the prevailing winter  
17 atmospheric patterns there. Around Antarctica, the bottom waters appear to have been warming relatively  
18 fast since at least 1990, perhaps owing to the strengthening and poleward shift of the westerly winds around  
19 the Southern Ocean over the last several decades.

20  
21 **[INSERT FAQ 3.1, FIGURE 1 HERE]**

22 **FAQ 3.1, Figure 1:** Ocean warming pathways. The ocean is stratified, with the coldest water, Antarctic  
23 Bottom Water (dark blue) sinking around Antarctica and spreading northward along the ocean floor into the  
24 central Pacific (bottom panel, left) and western Atlantic (bottom panel, right) oceans, as well as the Indian  
25 Ocean (not shown). North Atlantic Deep Water (lighter blue) sinks in the northern North Atlantic Ocean and  
26 spreads south above the Antarctic Bottom Water and then around Antarctica and into the Pacific and Indian  
27 Oceans. Similarly, in the upper ocean (top left panel) Intermediate Waters (cyan) sink in subpolar regions  
28 and slip equatorward under Subtropical Waters (green), which in turn slip equatorward under tropical waters  
29 (orange) in all three oceans. Excess heat entering at the ocean surface also mixes slowly downward (squiggly  
30 red arrows).

31  
32 From the ocean surface to about 60-m depth, the global average rate of ocean warming has been around  
33  $0.1^{\circ}\text{C}$  per decade from 1967–2009. The global average rate of ocean warming generally gets smaller with  
34 increasing depth, reducing to about  $0.04^{\circ}\text{C}$  per decade by 200 m and under  $0.02^{\circ}\text{C}$  per decade by 500 m.  
35 While the deep warming rates can be small (for instance about  $0.03^{\circ}\text{C}$  per decade since the 1990s in the deep  
36 and bottom waters around Antarctica), they occur over a large volume, so the deep ocean warming  
37 contributes a notable fraction to the total increase in ocean heat content. To put the huge heat capacity of the  
38 ocean into context, and the ocean's overall role in climate, the estimated energy it has absorbed between  
39 1970 and 2003 is about 93% of the total heat gain by the combined air, sea, land, and cryosphere that make  
40 up the climate system. In other words, as the Earth is absorbing more heat than it is emitting back into space,  
41 nearly all of the excess heat is entering the oceans.

42  
43 Estimates of change in global average ocean temperature have improved since AR4 was published in 2007,  
44 largely through reductions in systematic measurement errors. Careful comparison of less accurate  
45 measurements with sparser but more accurate ones at nearby locations and times has made the historical  
46 record more consistent and removed spurious variability. With the biases ameliorated, it is seen that the  
47 global average ocean temperature has increased much more steadily from year to year than what was  
48 reported in AR4. However, the global average warming rate may not be uniform in time: There are years  
49 when it appears faster than average, and years where it seems to slow to almost nothing.

50  
51 The ocean's large mass and high heat capacity (their product is over 1000 times the atmosphere's) mean that  
52 it can store huge amounts of energy. This fact, coupled with its long time-scales for exchange of water from  
53 the surface to its depths, means that the ocean has significant thermal inertia. It takes decades for near-  
54 surface ocean temperatures to adjust in response to climate forcing such as changes in greenhouse gas  
55 concentrations. It will take centuries to millennia for deep ocean temperatures to warm in response to  
56 changes occurring today. Thus, even if greenhouse gas concentrations could be held constant at their present  
57 levels into the future, Earth's surface would continue to warm for decades. Furthermore, sea level would

1 continue to rise for centuries to millennia as the deep oceans continued to warm and expand (even absent the  
2 contributions of melting land ice).

3  
4 [END FAQ 3.1 HERE]

5  
6  
7 [START FAQ 3.2 HERE]

### 8 9 **FAQ 3.2: How Does Anthropogenic Ocean Acidification Relate to Climate Change?**

10  
11 Anthropogenic ocean acidification refers to an increase in the ocean's hydrogen ion concentration (in other  
12 words, a lowering of pH or increase in acidity) caused by human activities, including the uptake of  
13 atmospheric carbon dioxide (CO<sub>2</sub>) derived from the burning of fossil fuels, land-use changes, and cement  
14 production. Implicit with the pH change are the associated changes in the concentrations of the dissolved  
15 carbon species (CO<sub>2(aq)</sub>, H<sub>2</sub>CO<sub>3</sub>, HCO<sub>3</sub><sup>-</sup>, CO<sub>3</sub><sup>2-</sup>). Results from laboratory, field, and modeling studies, as well  
16 as evidence from the geological record, clearly indicate that marine ecosystems are highly susceptible to the  
17 increases in oceanic CO<sub>2</sub> and the corresponding decreases in pH (Doney et al., 2009). Ocean acidification  
18 describes the direction of pH change rather than the end point; that is, ocean pH is decreasing but is not  
19 expected to become acidic (pH<7).

20  
21 Both climate change and anthropogenic ocean acidification are caused by increasing carbon dioxide  
22 concentration in the atmosphere. Rising levels of CO<sub>2</sub>, along with other greenhouse gases, indirectly alter the  
23 climate system by trapping heat that perturbs the Earth's radiation budget. Anthropogenic ocean acidification  
24 is a direct consequence of rising CO<sub>2</sub> concentrations as seawater absorbs CO<sub>2</sub> from the atmosphere. Climate  
25 change and anthropogenic ocean acidification do not act independently, as both processes affect the  
26 exchange of CO<sub>2</sub> between the atmosphere and ocean. CO<sub>2</sub> stored in the ocean does not contribute to  
27 greenhouse warming. However, the solubility of carbon dioxide in seawater decreases with increasing  
28 temperature; ocean warming thus reduces the amount of CO<sub>2</sub> the oceans can absorb from the atmosphere.  
29 For example, under doubled preindustrial CO<sub>2</sub> concentrations and a 2°C temperature increase, seawater  
30 absorbs about 10% less CO<sub>2</sub> than it would with no temperature increase (FAQ 3.2, Table 1). Temperature  
31 has a much smaller effect on pH; under doubled CO<sub>2</sub> conditions, a 2°C increase reduces the pH shift by less  
32 than 1% (FAQ 3.2, Table 1). Thus, a warmer ocean is less efficient at reducing greenhouse warming in the  
33 atmosphere, but still experiences ocean acidification.

34  
35  
36 **FAQ 3.2, Table 1:** Oceanic pH and carbon system parameter changes for a CO<sub>2</sub> doubling from the preindustrial  
37 atmosphere without and with a 2°C warming.

Parameter	preindustrial (280 ppmv) 20°C	2x preindustrial (560 ppmv) 20°C	% change relative to preindustrial	2x preindustrial (560 ppmv) 22°C	% change relative to preindustrial
pH	8.17	7.92	-3.1	7.92	-3.1
CO <sub>2</sub> * (μmol kg <sup>-1</sup> )	9.04	18.09	100.0	17.13	89.4
HCO <sub>3</sub> <sup>-</sup> (μmol kg <sup>-1</sup> )	1727.51	1939.50	12.3	1916.78	11.0
CO <sub>3</sub> <sup>2-</sup> (μmol kg <sup>-1</sup> )	231.68	146.02	-37.0	155.50	-32.9
DIC (μmol kg <sup>-1</sup> )	1968.23	2103.60	6.9	2089.41	6.2

38  
39 [END FAQ 3.2 HERE]

40  
41  
42 [START FAQ 3.3 HERE]

### 43 44 **FAQ 3.3: Is There Evidence for Changes in the Earth's Water Cycle?**

45  
46 The Earth's water cycle involves evaporation and precipitation of moisture at the Earth's surface. Most of  
47 the exchange of freshwater between the atmosphere and the surface takes place over the 70% of the Earth's  
48 surface that is covered by ocean. The water cycle is expected to intensify in a warmer climate, because warm

1 air can hold more moisture: the atmosphere can hold about 7% more water vapor for each degree C of  
2 warming.

3  
4 Directly observing a change in the water cycle is difficult, however, because observations of precipitation  
5 and evaporation are sparse and uncertain, particularly over the ocean where most of the exchange of  
6 moisture occurs. The uncertainties are so large in the individual terms that it is not possible to detect robust  
7 trends from these observations. Ocean salinity, on the other hand, naturally integrates the net freshwater flux  
8 resulting from the difference between precipitation and evaporation and can therefore act as a sensitive and  
9 effective rain gauge. (Ocean salinity can also be affected by run-off of water from the continents and by the  
10 melting and freezing of sea ice or floating glacial ice.)

11  
12 The distribution of salinity at the ocean surface largely mirrors the distribution of evaporation – precipitation.  
13 High salinity is observed in the subtropics, where evaporation exceeds precipitation, and low salinity is  
14 observed at high latitudes and in the tropics, where there is more rainfall than evaporation. The Atlantic, the  
15 saltiest ocean basin, loses more freshwater through evaporation than it gains from precipitation, while the  
16 reverse is true for the Pacific. Transport of moisture as water vapor in the atmosphere connects the regions of  
17 net freshwater gain or loss by the ocean.

18  
19 Changes observed in ocean salinity in the last 50 years provide strong evidence that the global water cycle is  
20 increasing in intensity as the Earth warms, as anticipated from the fact that warmer air can hold more  
21 moisture. Changes in surface salinity have reinforced the mean salinity pattern: the evaporation-dominated  
22 subtropical regions have become saltier, while the precipitation-dominated subpolar and tropical regions  
23 have become fresher. The observed changes in surface salinity are statistically significant at the 99% level of  
24 confidence over more than 40% of the surface of the global ocean (Durack and Wijffels, 2010).

25  
26 [The rest of this FAQ requires input from other chapters on evidence for changes in the water cycle based on  
27 land observations.]

28  
29 [END FAQ 3.3 HERE]

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## Chapter 3: Observations: Ocean

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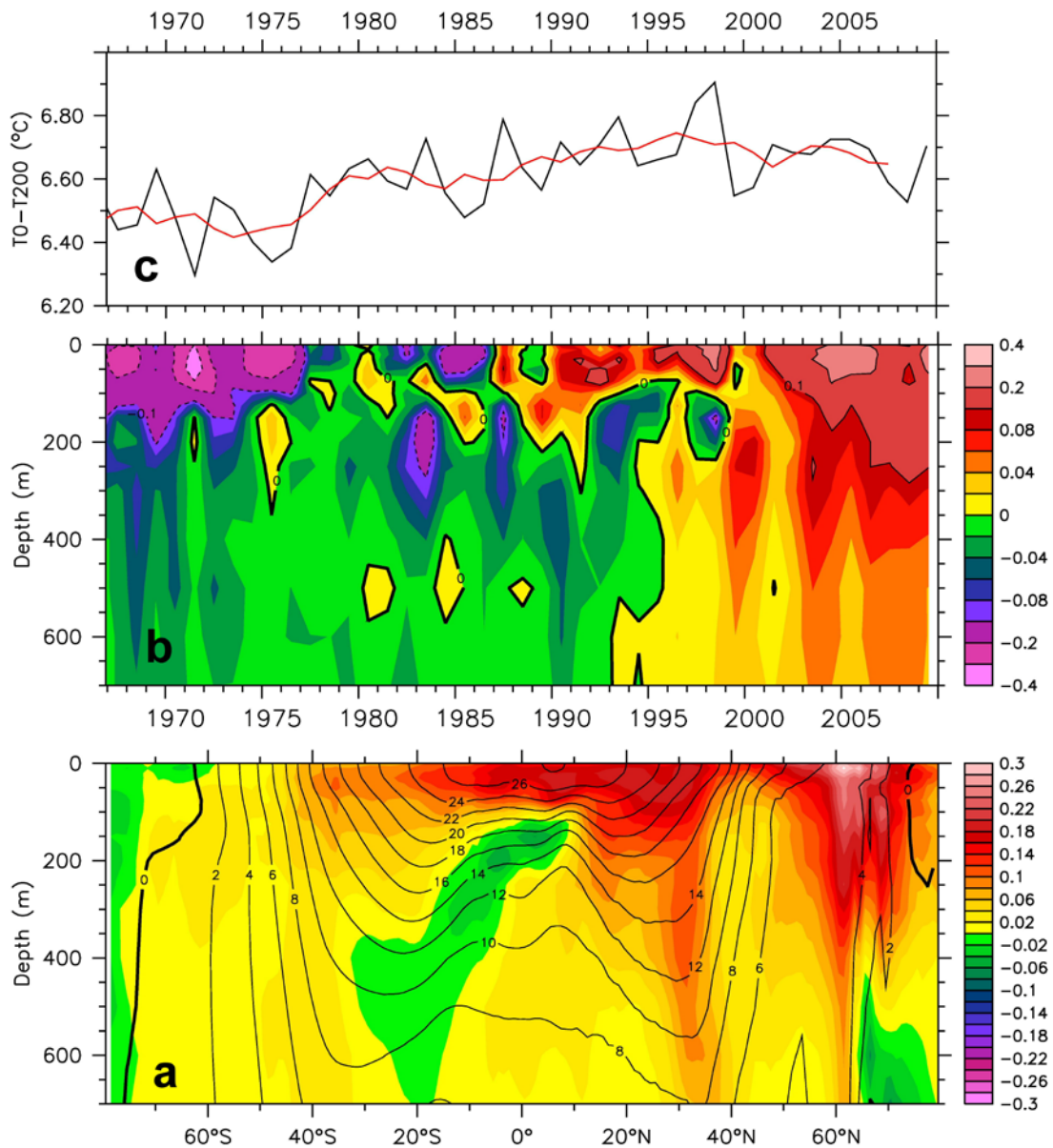
**Notes:** TSU Compiled Version

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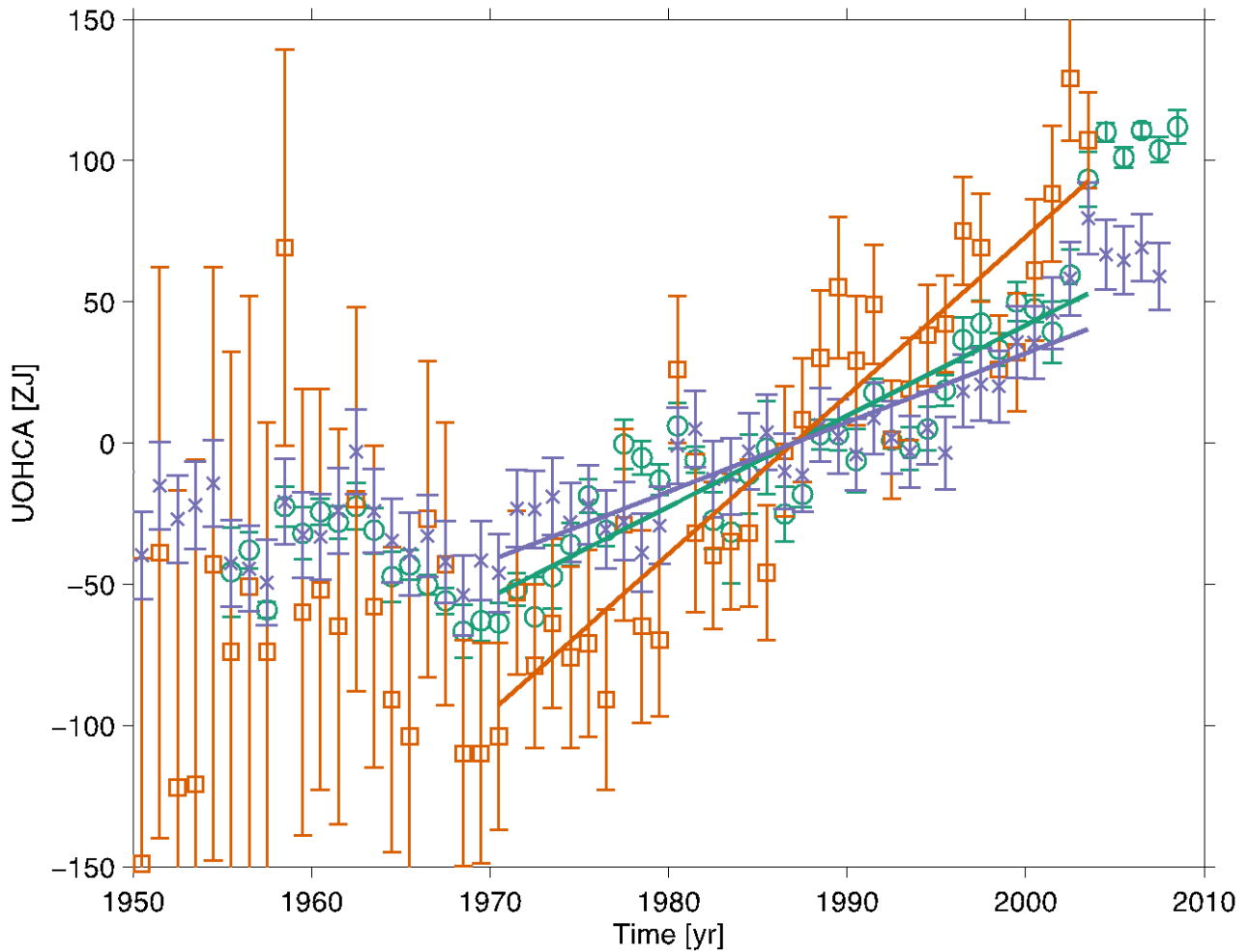
1 **Figures**

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5 **Figure 3.1:** **a)** Zonally-averaged temperature difference (latitude versus depth, colors in °C per decade)  
 6 between the decades 1967–1976 and 2000–2009, with zonally averaged mean temperature over-plotted  
 7 (black contours in °C). **b)** Globally-averaged temperature anomaly (time versus depth, colors in °C). **c)**  
 8 Globally-averaged temperature difference between the ocean surface and 200-m depth (black: annual values,  
 9 red: 5-year running mean). All plots are constructed from the optimal interpolation analysis of Levitus et al.  
 10 (2009).  
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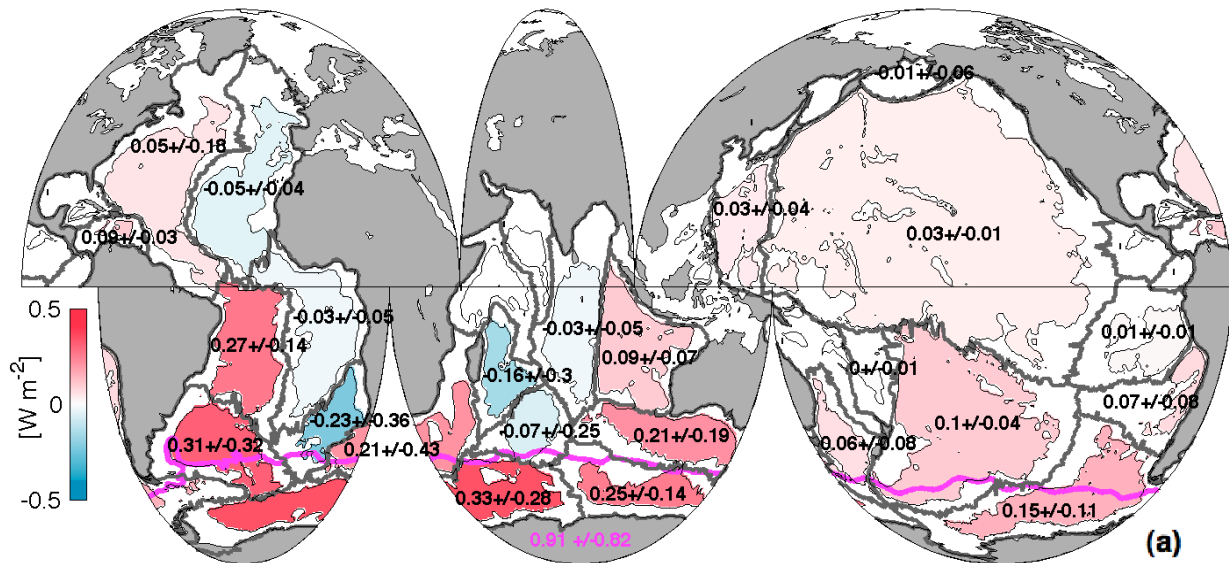
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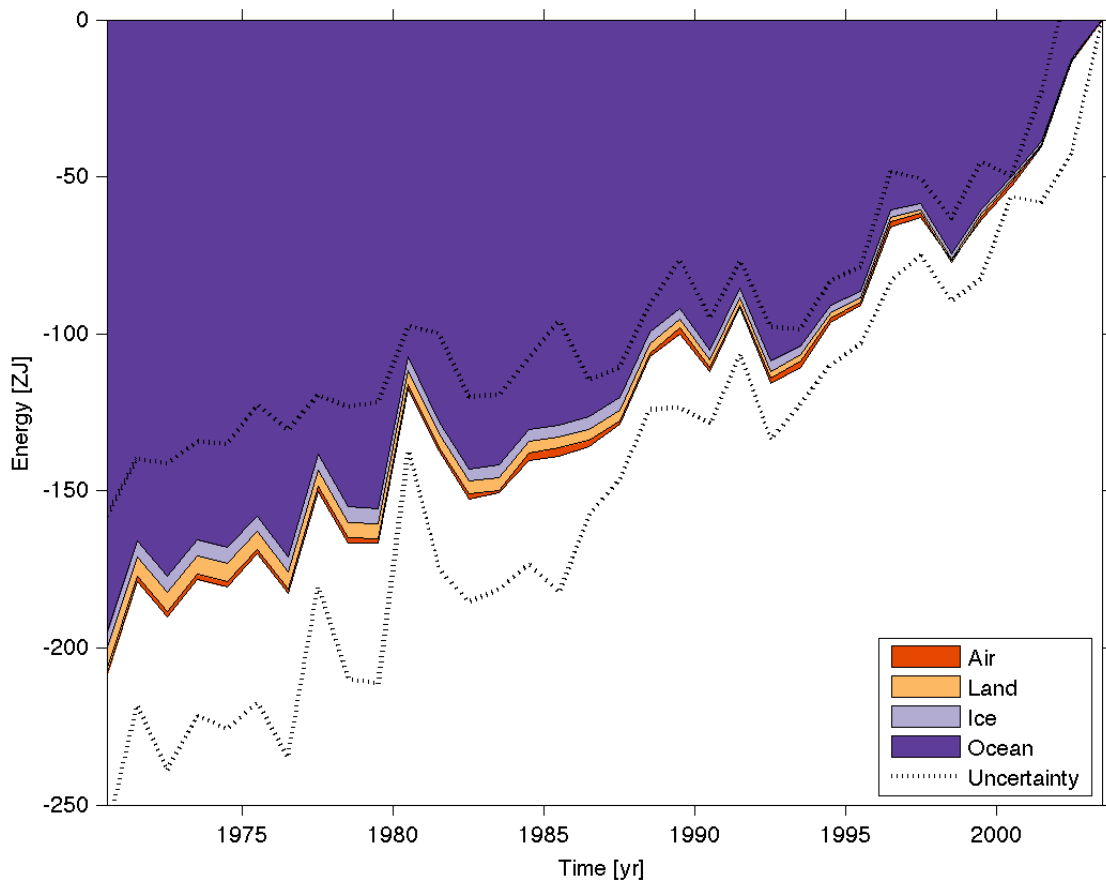
**Figure 3.2:** Observation-based estimates of annual global mean ocean heat content anomaly in ZJ ( $10^{21}$  J) from 0 – 700 m from Domingues et al. (2008) (orange squares with one standard deviation), Ishii and Kimoto (2009) (blue crosses with one standard deviation), and Levitus et al. (2009) (green circles with one standard error) with linear trends fit to the 1970–2003 values for each estimate. The three curves are plotted relative to their means over that time period.

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**Figure 3.3:** Mean local heat fluxes through 4000 m implied by abyssal warming below 4000 m (thin black outlines) centered on 1992–2005 (black numbers and colorbar) with 95% confidence intervals within each of the 24 sampled basins (thick grey lines). The local contribution to the heat flux through 1000 m south of the SAF (magenta line) implied by deep Southern Ocean warming from 1000–4000 m is also given (magenta number) with its 95% confidence interval after Purkey and Johnson (2010a).

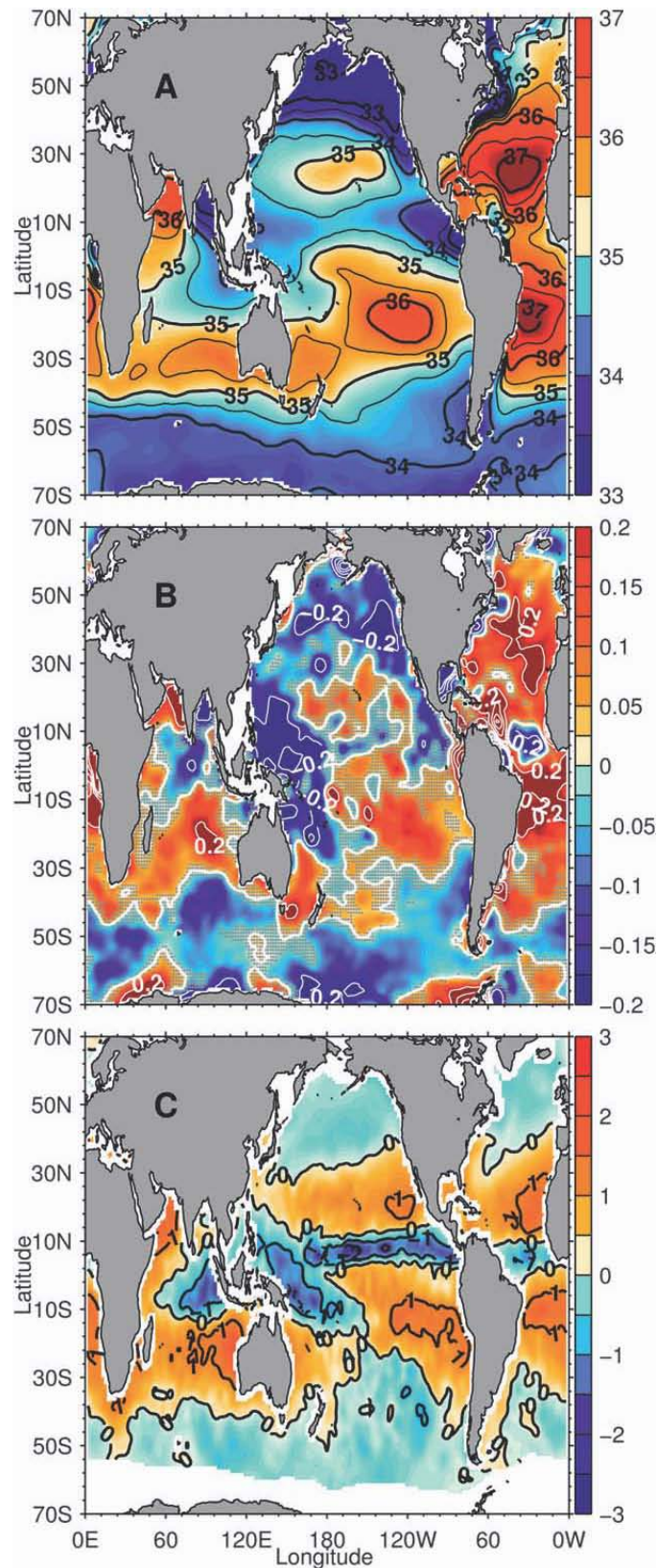
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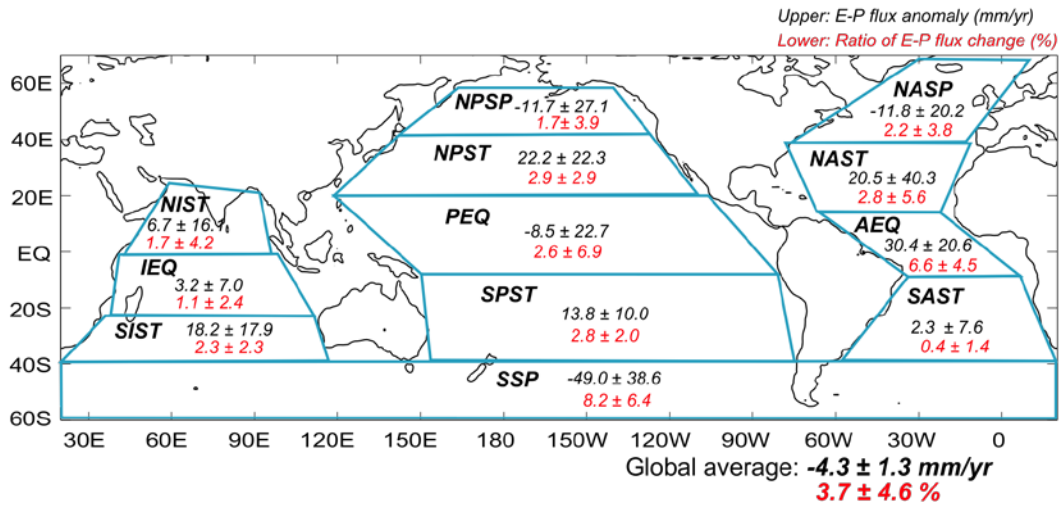
4 **Box 3.1, Figure 1:** [PLACEHOLDER FOR FIRST ORDER DRAFT: figure will be updated and the change  
5 plotted relative to 1970] Plot of energy change inventory in ZJ (10<sup>21</sup> J) within distinct components of Earth's  
6 climate system relative to 2003, and from 1970–2003 unless otherwise indicated. The combined upper and  
7 deep ocean warming (dark purple) dominates; with ice melt (light purple) for glaciers and ice caps,  
8 Greenland, Antarctica from 1996 on, and Arctic sea ice from 1979 on; continental warming (orange) from  
9 1970 on; and atmospheric warming (red) from 1979 on all adding small relative fractions. The ocean  
10 uncertainty also dominates the total uncertainty (dotted lines about the sum of all four components).  
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**Figure 3.4:** **a)** The 1950–2000 climatological-mean surface salinity. Contours every 0.5 pss are plotted in black. **b)** The 50-year linear surface salinity trend [ $\text{pss} \text{ (50 year)}^{-1}$ ]. Contours every 0.2 are plotted in white. Regions where the resolved linear trend is not significant at the 99% confidence level are stippled in grey. **c)** Ocean–atmosphere freshwater flux ( $\text{m}^3 \text{ yr}^{-1}$ ) averaged over 1980–1993 (Josey et al., 1998). Contours are every  $1 \text{ m}^3 \text{ yr}^{-1}$  in black. (from Durack & Wijffels, 2010)

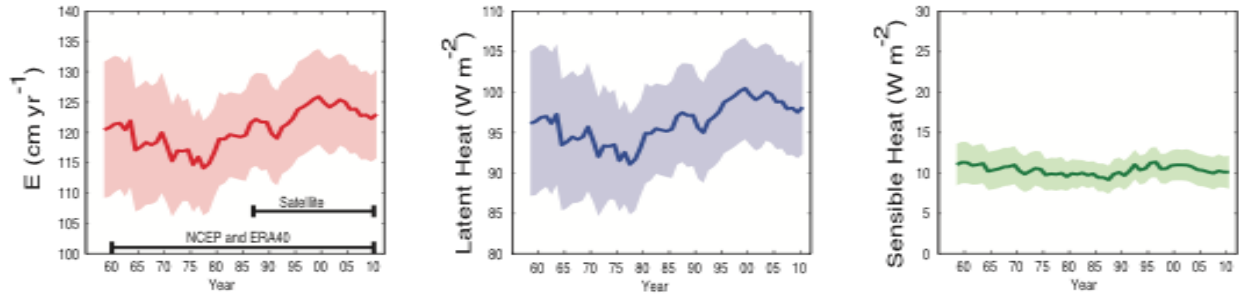
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**Figure 3.5:** Estimated E-P anomalies (mm/yr) calculated from the linear salinity trend based on the difference between the 1960–1989 salinity climatology (WOD05) and Argo salinity (2003–2007), assumed to be representative of the upper 100 m of the ocean. The per cent change in E-P is relative to the mean NCEP flux. (Hosoda et al., 2009).

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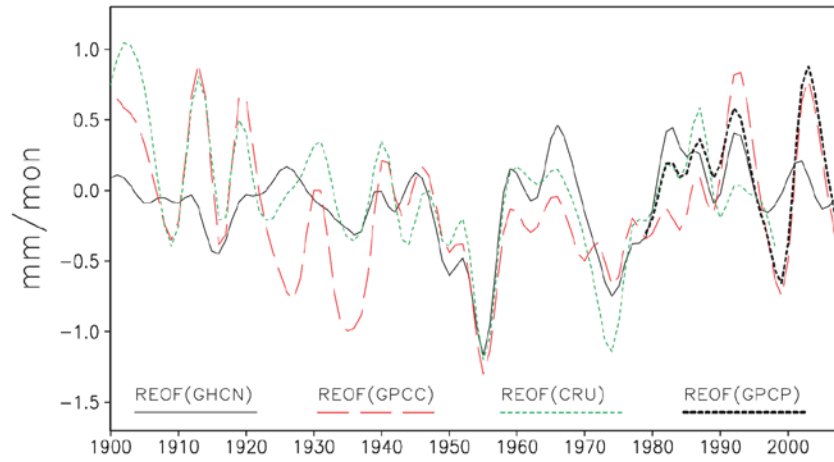
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**Figure 3.6:** Time series of globally averaged annual mean ocean evaporation (E), latent and sensible heat flux from 1958 to 2010 determined from OAFflux (shaded bands show uncertainty estimates; updated from Yu (2007)).

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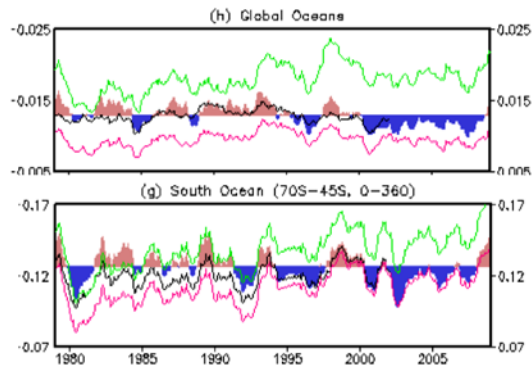
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**Figure 3.7:** Low-pass filtered annual global averages over ocean for each of the indicated rotated empirical orthogonal functions (REOFs) applied by Smith et al. (2010) for the reconstruction. The REOF(GPCP) is the GPCP data filtered using the reconstruction modes.



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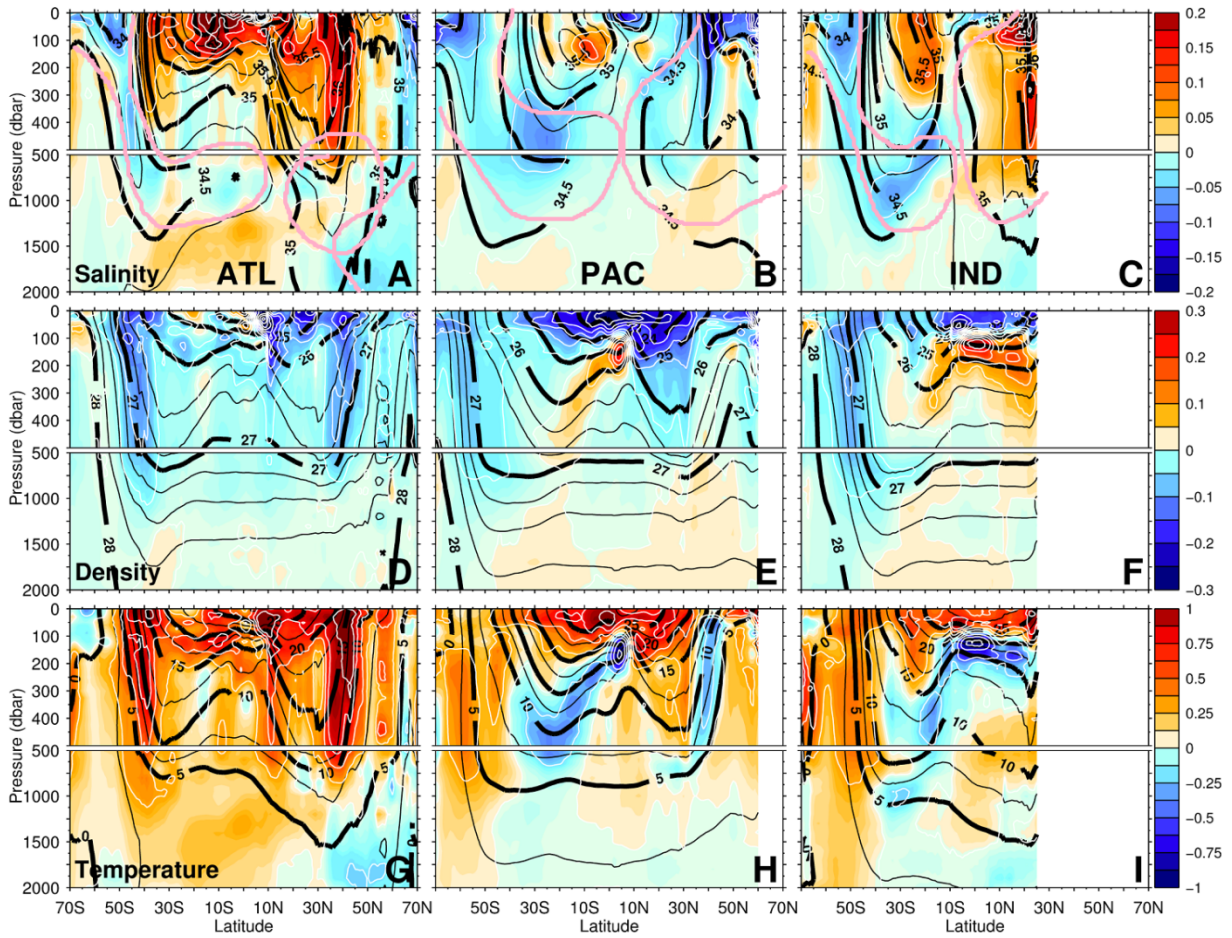
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**Figure 3.8:** Time series of 1-year running mean of zonal wind stress over global ocean (top) and Southern Ocean (bottom) for CFSR (shading), R1 (red line), R2 (green line) and ERA40 (black line). Units are N m<sup>-2</sup> (Xue et al., 2010).

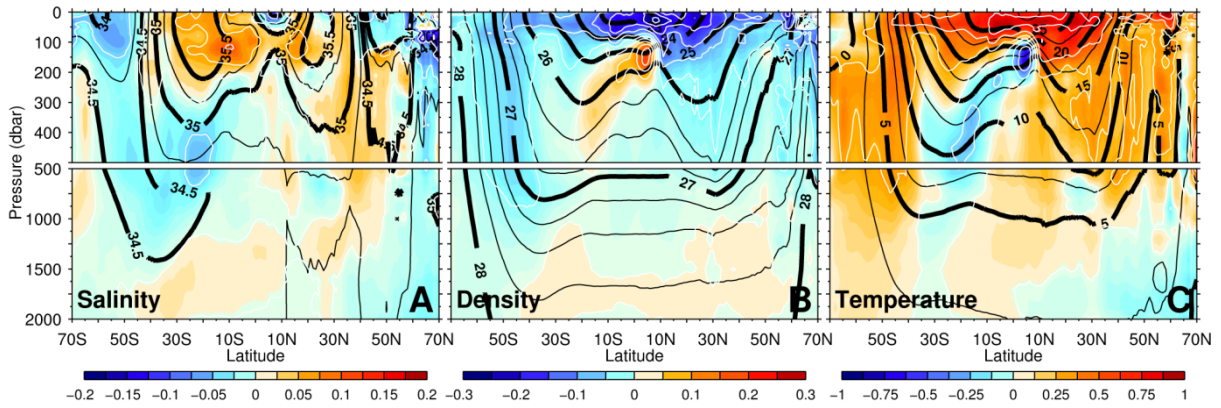
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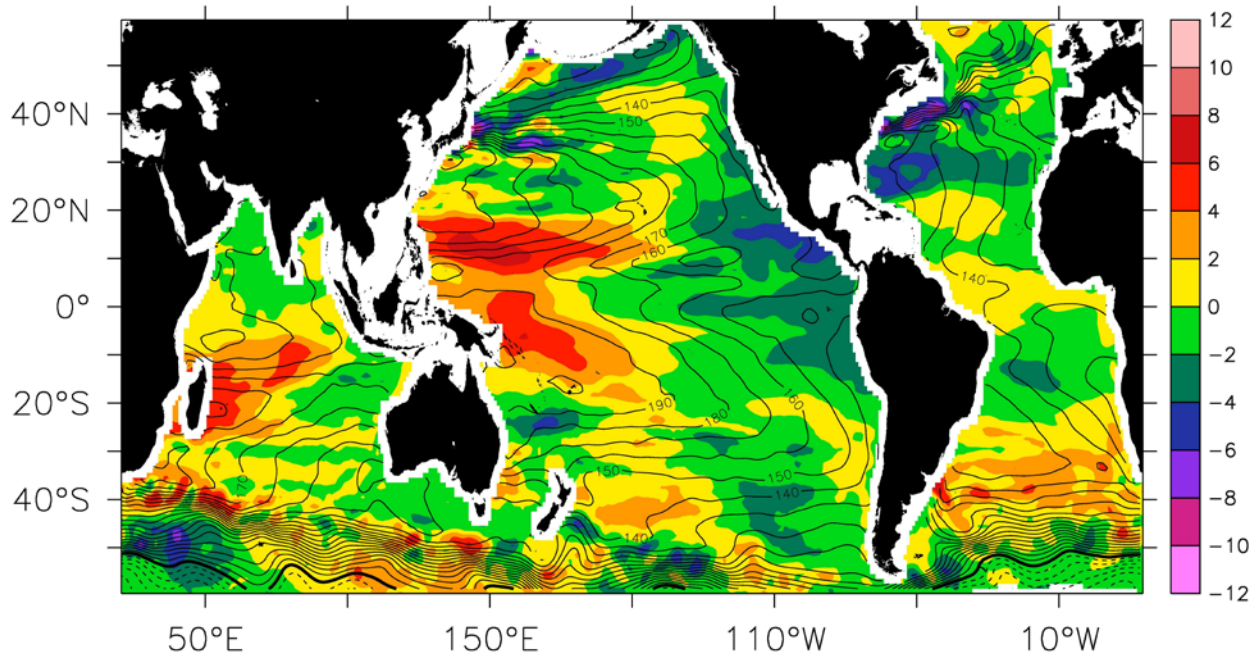
Global averages:



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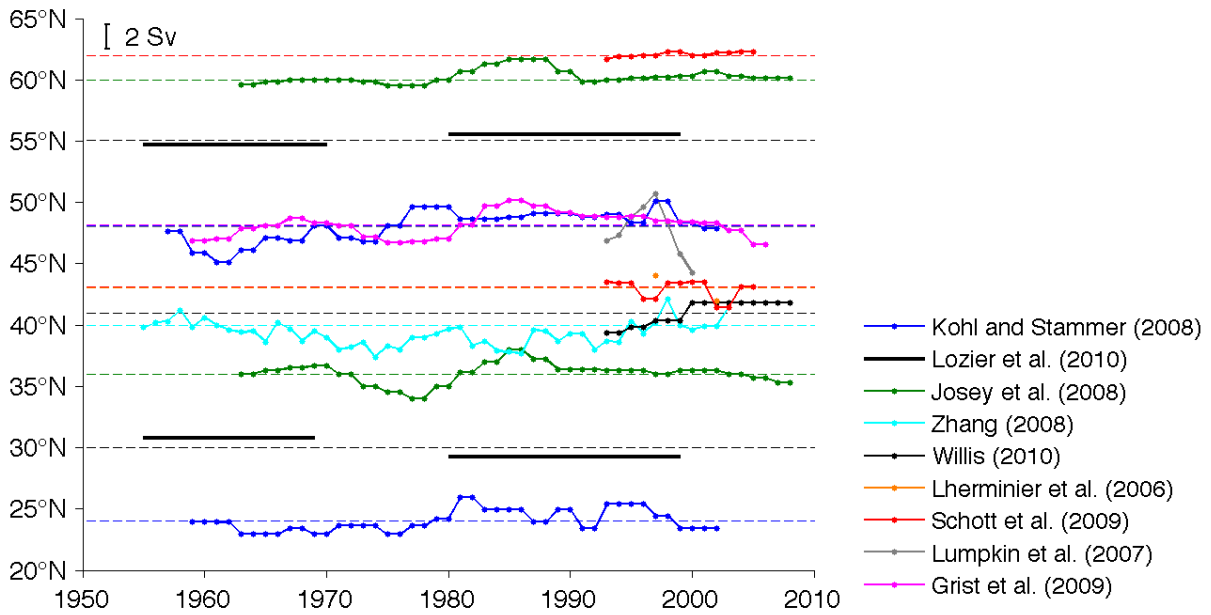
**Figure 3.9:** [PLACEHOLDER FOR FIRST ORDER DRAFT: FIGURE IN PREPARATION] Upper 2000 m zonal average distribution of changes in salinity (row 1) and neutral density (row 2) and potential temperature (row 3), for the Atlantic (column 1), Pacific (column 2) and Indian (column 3) Oceans over the past 50 years (1950–2000). Mean density is overlaid in black (contour interval  $1.0 \text{ kg m}^{-3}$  thick contours, and 26.5 to 27.75 in increments of  $0.25 \text{ kg m}^{-3}$  thin contours), and density changes are contoured in white (contour interval  $0.1 \text{ kg m}^{-3}$  from  $-0.3$  to  $+0.3 \text{ kg m}^{-3}$ ). Data provided from the analysis of Durack & Wijffels (2010). Main intermediate water masses are indicated in row 1.

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**Figure 3.10:** The mean SSH (cm, black contours) for the Argo era is the sum of the geostrophic pressure field at 1000 m based on Argo trajectory data (Katsumata and Yoshinari, 2010) plus the relative pressure field (0/1000 dbar steric height) based on Argo profile data from Roemmich and Gilson (2009). The SSH difference (cm, color shading) between the Argo era (2004–2009) and the first decade of altimetry (1993–2002) is based on the AVISO altimetry “reference” product (Duquet et al, 2000).

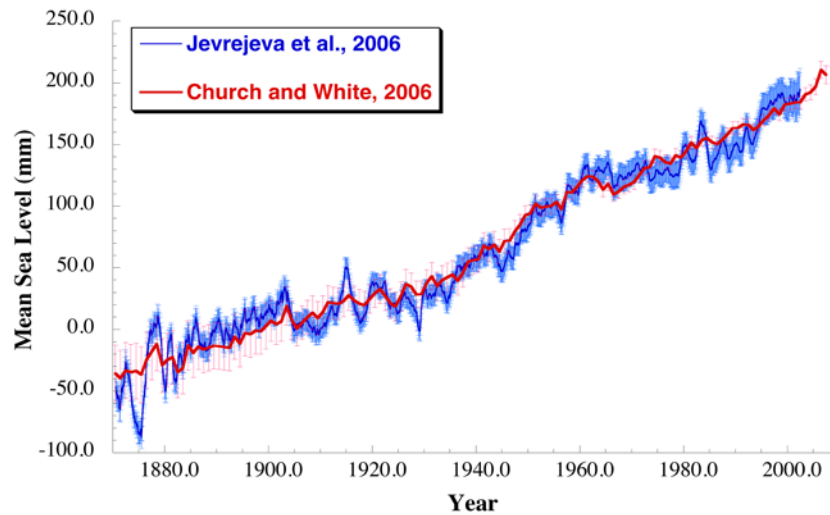
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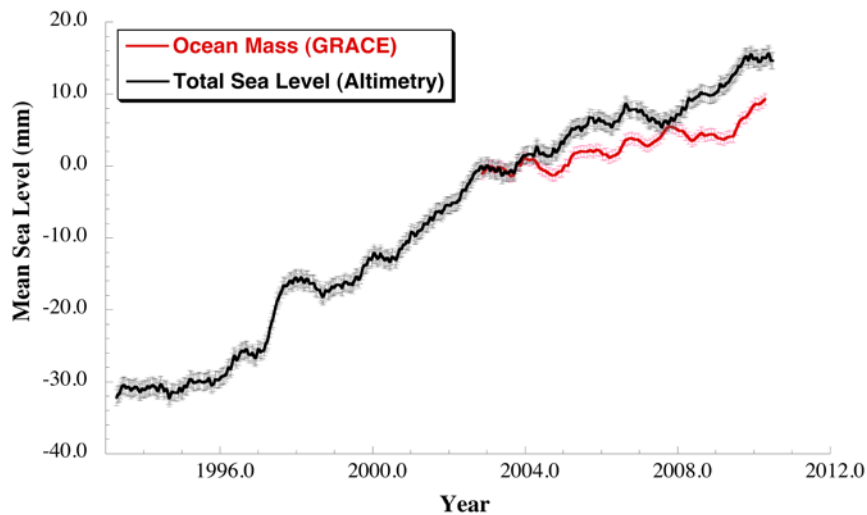
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**Figure 3.11:** [PLACEHOLDER FOR FIRST ORDER DRAFT: final figure will include time series from RAPID and MOVE array] Merged time series of Atlantic MOC transport based on 3 different estimates: (i) Rapid/MOCHA array at 26°N from 2004 to present, (ii) Willis (2010) estimate at 41°N based on Argo+altimetry, 2002 to present, and from altimetry alone, 1993–2001, (iii) Grist et al. (2009) estimate based on surface thermohaline forcing.

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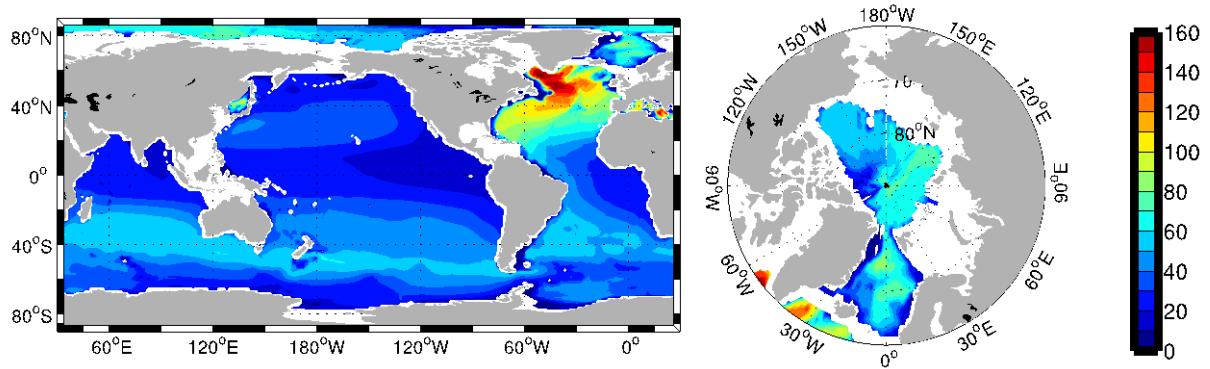
7 **Figure 3.12:** Global mean sea level from a) tide gauges (1870–2007), updated from Church and White  
 8 (2006) and Jevrejeva et al. (2006) and b) altimetry (1993–2010) updated from Nerem et al. (2010), GRACE  
 9 (2003–2010) updated from Chambers et al. (2010), and thermosteric (1993–2005) updated from Domingues  
 10 et al. (2008). The Church and White (2006) data are yearly averages, while the Jevrejeva et al. (2006) data  
 11 are monthly values integrated from low-pass filtered weighted-average annual trends of sea level. The  
 12 altimetry, GRACE, and thermosteric data have been smoothed with a 6-month running mean filter. All  
 13 uncertainty bars are 1-standard error. The tide gauge records are plotted relative to a mean in 1900; the  
 14 altimeter, thermosteric, and GRACE are plotted relative to a mean in 2003.

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**Figure 3.13:** [PLACEHOLDER FOR FIRST ORDER DRAFT: Caption still needs to be written and Figure needs to be made.] Global map of trends in SWH from one source.

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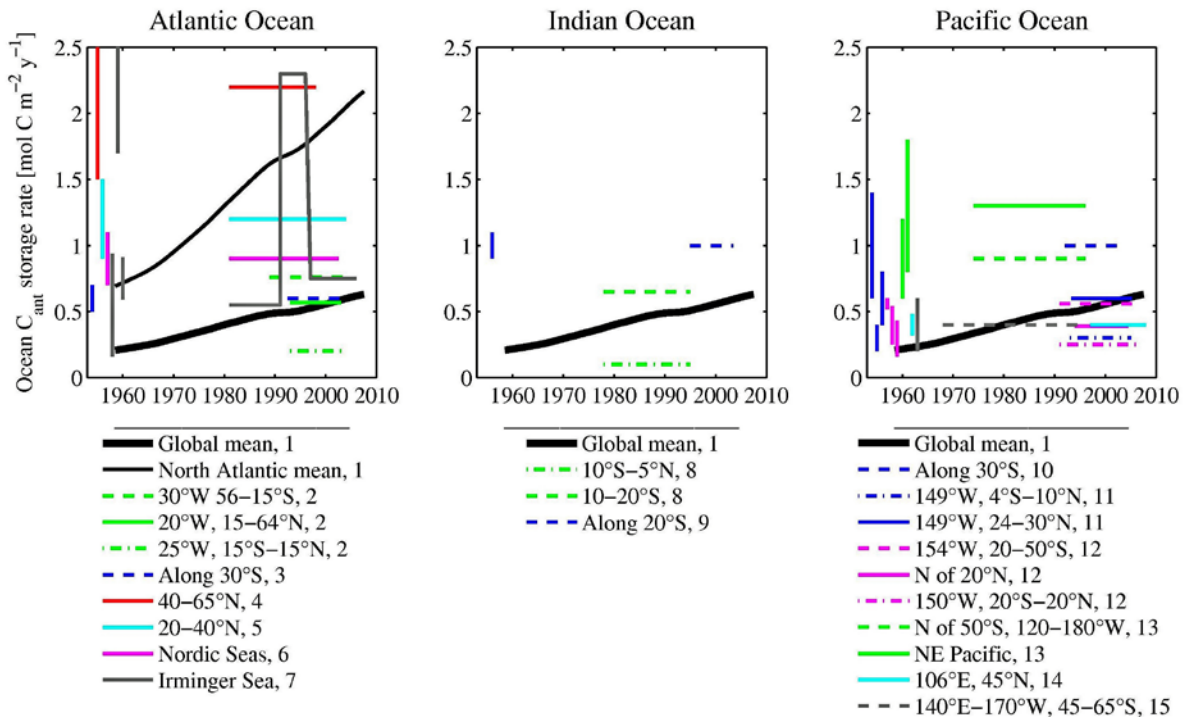
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**Figure 3.14:** Compilation of 2008 column inventories ( $\text{mol m}^{-2}$ ) of anthropogenic  $\text{CO}_2$ : the global Ocean excluding the marginal seas (Khatiwala et al., 2009)  $140 \pm 25 \text{ PgC}$ ; Arctic Ocean (Tanhua et al 2009) 2.6–3.4 PgC; the Nordic Seas (Olsen et al 2010) 1.0–1.5 PgC; the Mediterranean Sea (Schneider et al., 2010) 1.5–2.4 PgC; the East Sea (Sea of Japan) (Park et al 2006)  $0.40 \pm 0.06 \text{ Pg C}$ .

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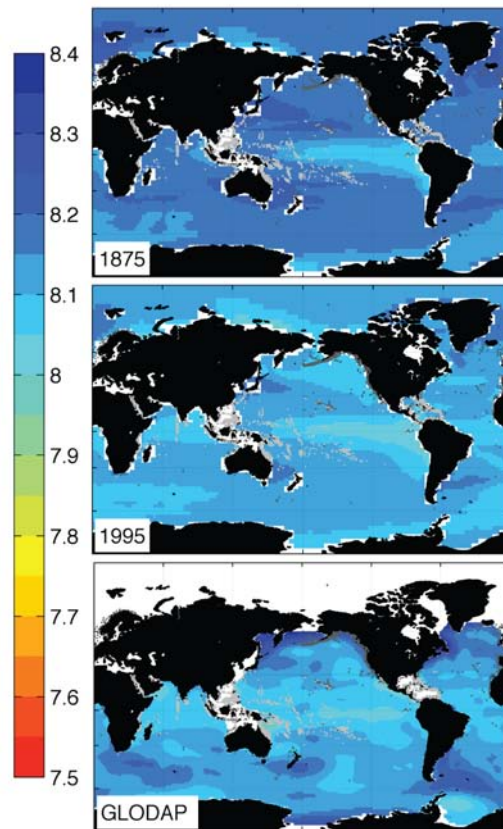
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4 **Figure 3.15:** [PLACEHOLDER FOR FIRST ORDER DRAFT: figure will be improved] Observed storage  
5 rates of anthropogenic carbon ( $\text{mol m}^{-2} \text{y}^{-1}$ ) for the three oceans as observed from repeat hydrography and the  
6 global mean storage rate from tracer measurements. Measurements for the Northern Hemisphere are drawn  
7 as solid lines, the tropics as dash-dotted lines, and dashed lines for the Southern Hemisphere; the color  
8 schemes refer to different studies. Estimates of uncertainties are shown as vertical bars with matching colors  
9 in the left hand side of the panels. The data sources as indicated in the legend are: 1) Khatiwala et al. (2009),  
10 2) Wanninkhof et al. (2010), 3) Murata et al. (2008), 4) Friis et al. (2005), 6) Olsen et al. (2006), 7) Perez et  
11 al. (2008), 8) Peng et al. (1998), 9) Murata et al. (2010), 10) Murata et al. (2007), 11) Murata et al. (2009),  
12 12) Sabine et al. (2008), 13) Peng et al. (2003), 14) Wakita et al. (2010), 15) Matear and McNeil (2003).  
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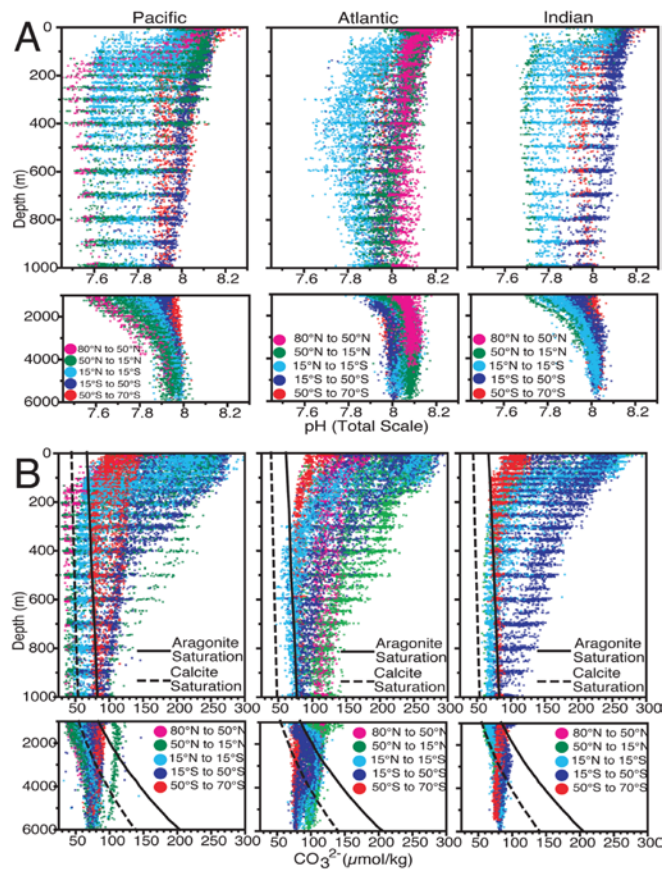


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4 **Box 3.2, Figure 1:** National Center for Atmospheric Research Community Climate System Model 3.1  
5 (CCSM3)-modeled decadal mean pH at the sea surface centered around the years 1875 (top) and 1995  
6 (middle). Global Ocean Data Analysis Project (GLODAP)-based pH at the sea surface, nominally for 1995  
7 (bottom). Deep coral reefs are indicated with darker grey dots; shallow-water coral reefs are indicated with  
8 lighter grey dots. White areas indicate regions with no data (after Feely et al., 2009).  
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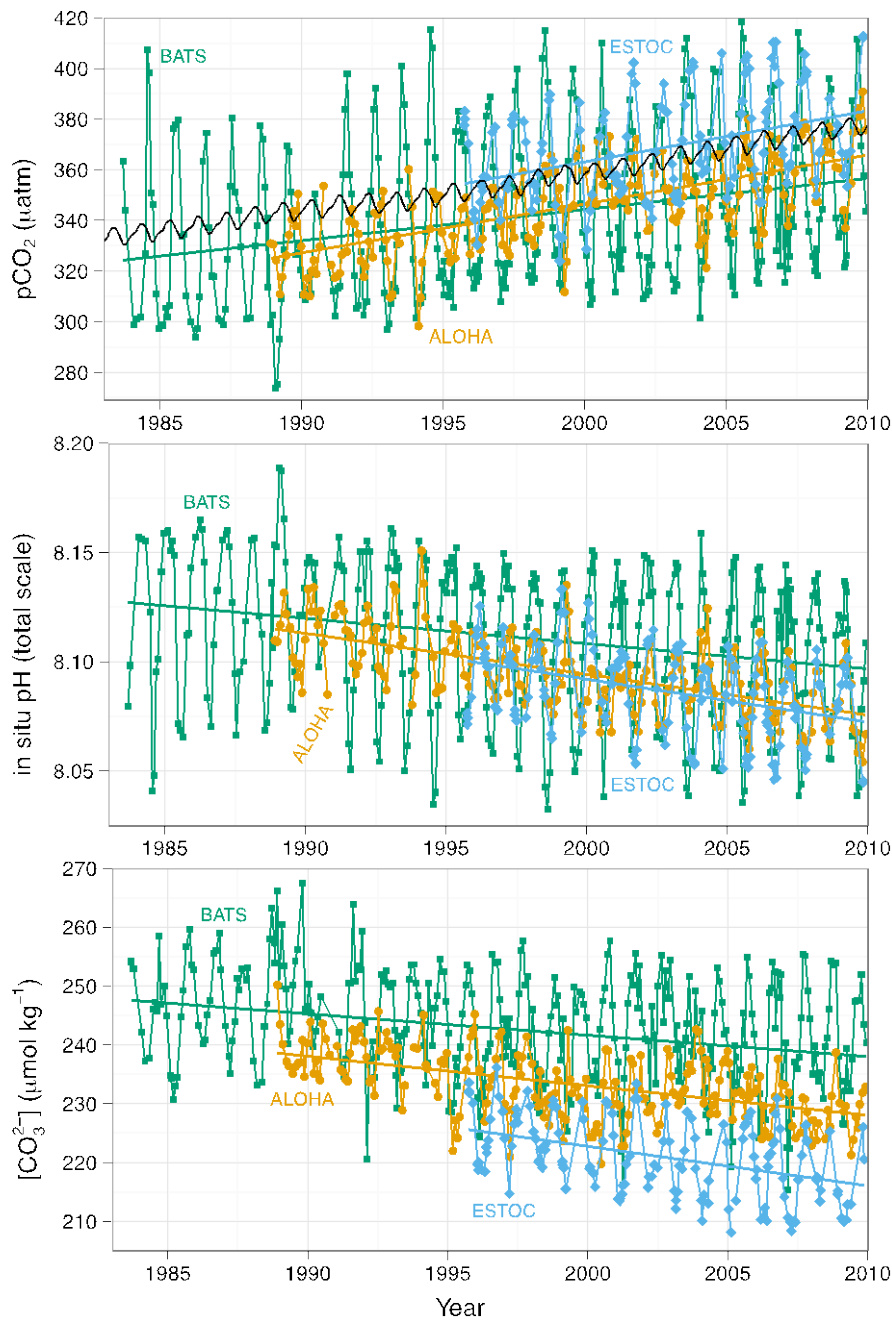
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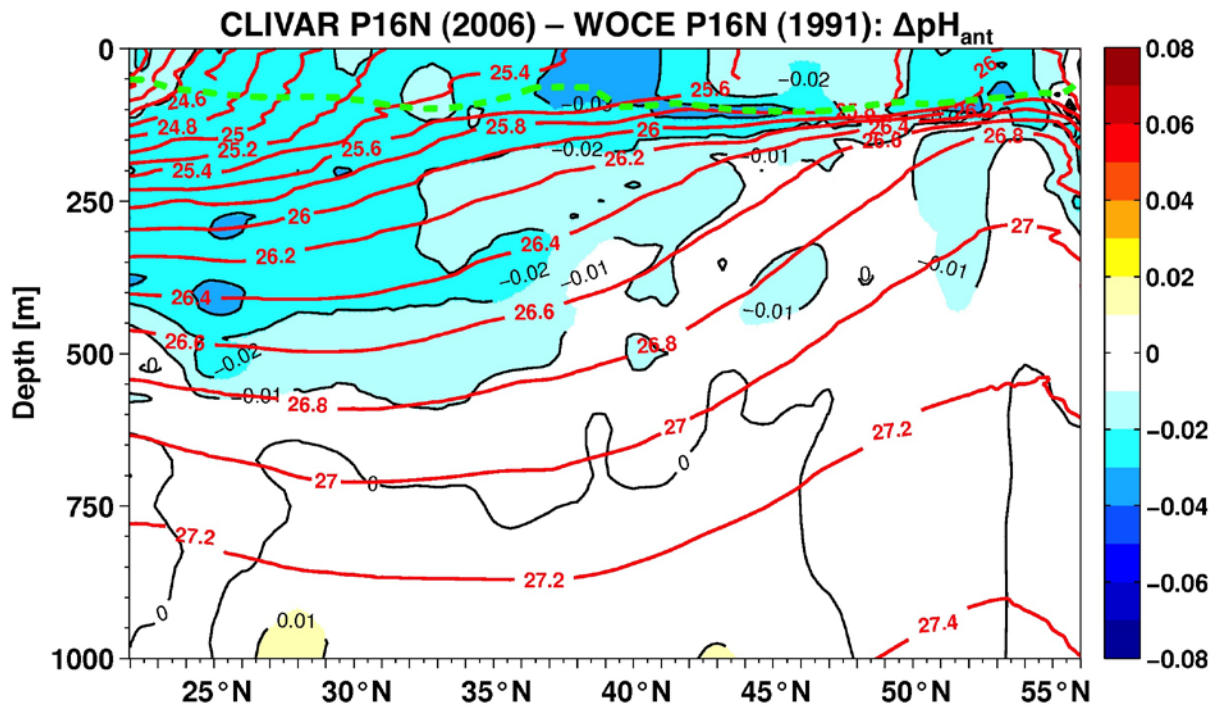
**Box 3.2, Figure 2:** [PLACEHOLDER FOR FIRST ORDER DRAFT: figure will be improved] Distribution of: a) pH and b) CO<sub>3</sub><sup>2-</sup> concentration in the Pacific, Atlantic, and Indian oceans. The data are from the World Ocean Circulation Experiment/Joint Global Ocean Flux Study/Ocean Atmosphere Carbon Exchange Study global CO<sub>2</sub> survey (Sabine et al., 2005). The lines show the average aragonite (solid line) and calcite (dashed line) saturation CO<sub>3</sub><sup>2-</sup> concentration for each of these basins. The color coding shows the latitude bands for the data sets (after Feely et al., 2009).

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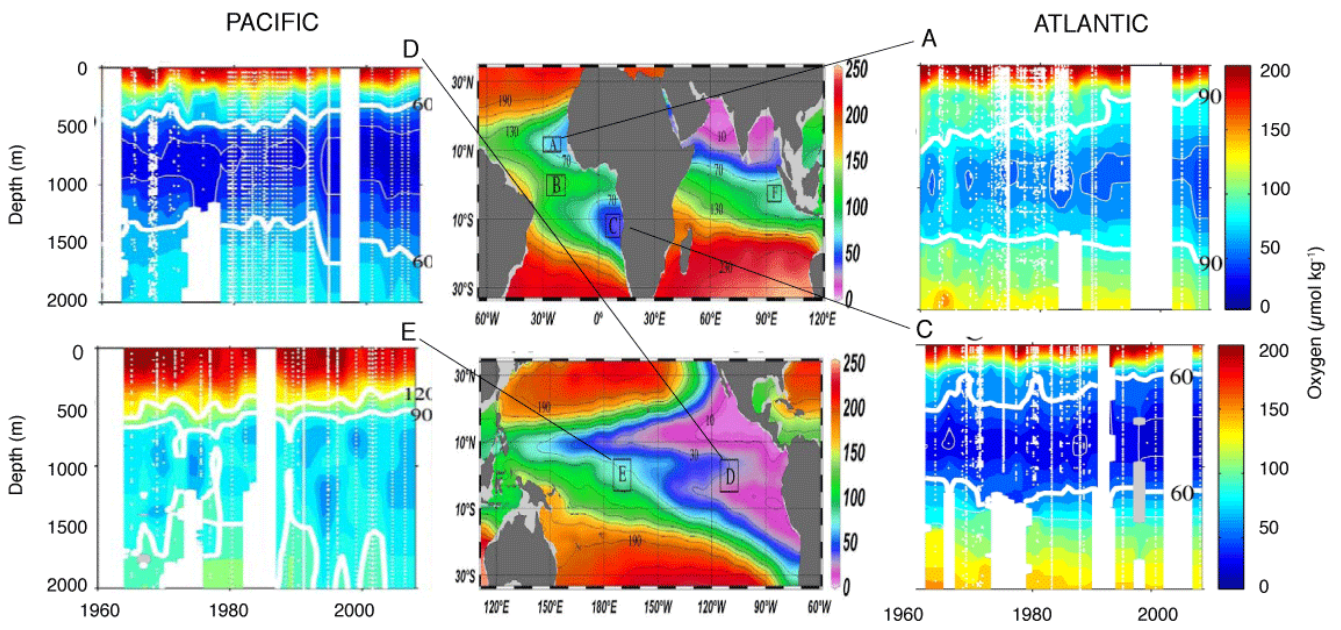
**Figure 3.16:** Long-term trends of surface seawater  $p\text{CO}_2$  (top), pH (middle), and carbonate ion (bottom) concentration at three subtropical ocean time series in the North Atlantic and North Pacific Oceans, including: (1) Bermuda Atlantic Time Series Study (BATS,  $31^\circ 40' \text{N}$ ,  $64^\circ 10' \text{W}$ ; **green** line) and Hydrostation S ( $32^\circ 10' \text{N}$ ,  $64^\circ 30' \text{W}$ ) from 1983 to present (Bates, 2007); (2) Hawaii Ocean Time Series (HOT) at Station ALOHA (A Long-term Oligotrophic Habitat Assessment;  $22^\circ 45' \text{N}$ ,  $158^\circ 00' \text{W}$ ; **orange** line) from 1988 to present (Dore et al., 2009), and; (3) European Station for Time Series in the Ocean (ESTOC,  $29^\circ 10' \text{N}$ ,  $15^\circ 30' \text{W}$ ; **blue** line) from 1994 to present (González-Dávila et al., 2010). Atmospheric  $p\text{CO}_2$  (**black** line) from Hawaii is shown in the top panel.

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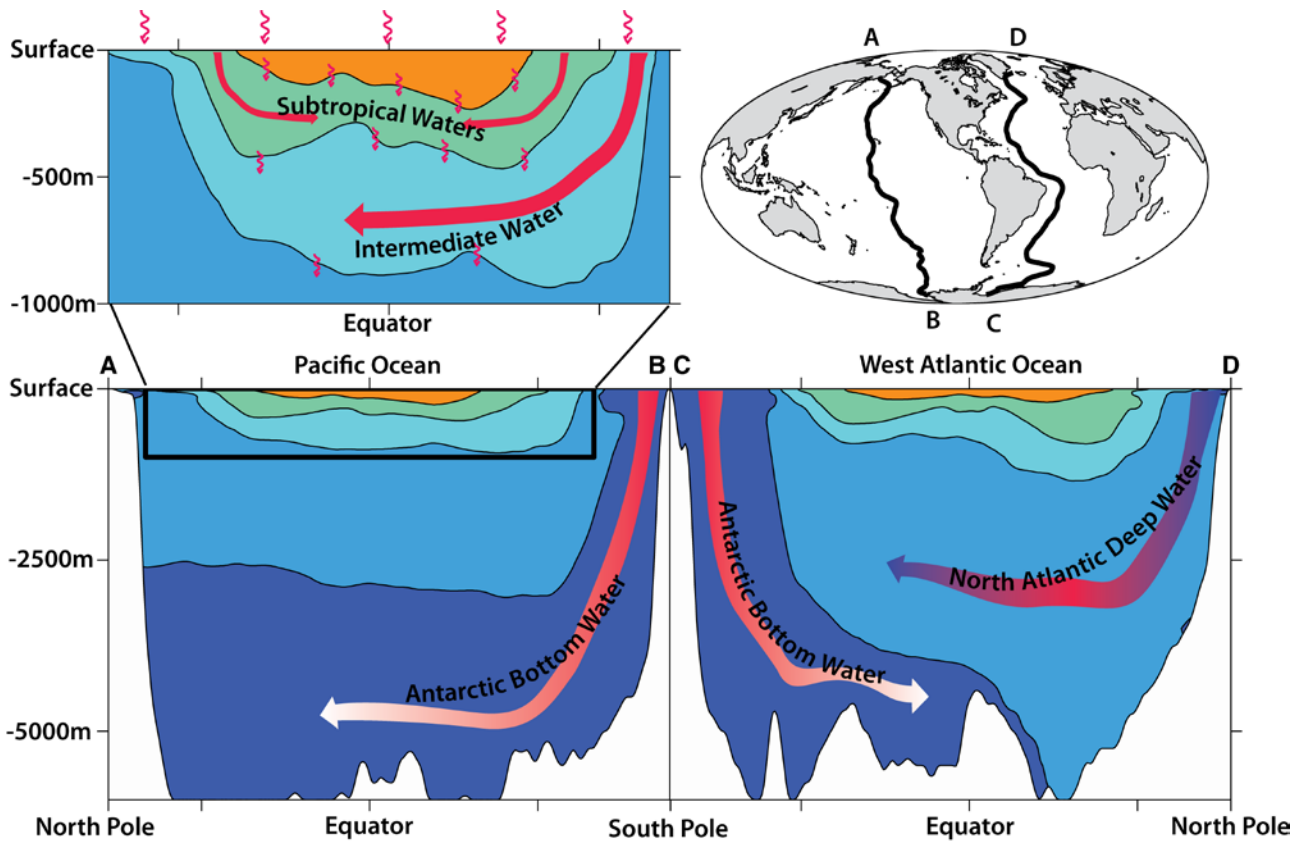
**Figure 3.17:**  $\Delta p H_{ant}$ : pH change attributed to the uptake of anthropogenic carbon between 1991 and 2006 (from Byrne et al., 2010).



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**Figure 3.18:** Long-term evolution of oxygen in 4 representative locations in the tropical ocean: A and C, tropical Atlantic; D and E, Tropical Pacific (adapted from Stramma et al., 2008).

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**FAQ 3.1, Figure 1:** Ocean warming pathways. The ocean is stratified, with the coldest water, Antarctic Bottom Water (dark blue) sinking around Antarctica and spreading northward along the ocean floor into the central Pacific (bottom panel, left) and western Atlantic (bottom panel, right) oceans, as well as the Indian Ocean (not shown). North Atlantic Deep Water (lighter blue) sinks in the northern North Atlantic Ocean and spreads south above the Antarctic Bottom Water and then around Antarctica and into the Pacific and Indian Oceans. Similarly, in the upper ocean (top left panel) Intermediate Waters (cyan) sink in subpolar regions and slip equatorward under Subtropical Waters (green), which in turn slip equatorward under tropical waters (orange) in all three oceans. Excess heat entering at the ocean surface also mixes slowly downward (squiggly red arrows).